

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 19 November 2020



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	47,146,294,973	66.99%	47,222,243,289	67.09%	-75,948,316	-0.11%
	HNWIs	15,139,498,326	21.51%	15,567,491,052	22.12%	-427,992,726	-0.61%
	IPIs	1,398,044,192	1.99%	1,100,780,001	1.56%	297,264,190	0.42%
	Individual DPMs	251,331,922	0.36%	240,806,342	0.34%	10,525,581	0.01%
	Sub Total (Individuals)	63,935,169,413	90.84%	64,131,320,685	91.12%	-196,151,272	-0.28%
	Institutions:						
	Corporates	1,267,416,599	1.80%	1,144,190,602	1.63%	123,225,996	0.18%
	Mutual Funds	826,082,179	1.17%	1,102,099,382	1.57%	-276,017,203	-0.39%
	GRE's	81,255,386	0.12%	82,267,037	0.12%	-1,011,651	0.00%
	Institution DPMs	409,614,950	0.58%	300,203,383	0.43%	109,411,567	0.16%
	Sub Total (Institutions)	2,584,369,114	3.67%	2,628,760,404	3.73%	-44,391,290	-0.06%
	Total Saudi Investors	66,519,538,527	94.51%	66,760,081,089	94.85%	-240,542,562	-0.34%
GCC	Individuals	131,101,164	0.19%	137,977,697	0.20%	-6,876,534	-0.01%
	Institutions	317,851,889	0.45%	396,517,336	0.56%	-78,665,447	-0.11%
	GCC DPMs	1,641,132	0.00%	371,885	0.00%	1,269,247	0.00%
	Total GCC Investors	450,594,184	0.64%	534,866,918	0.76%	-84,272,733	-0.12%
Foreign	SWAP Holders	3,958,001	0.01%	71,900,684	0.10%	-67,942,682	-0.10%
	Foreign Residents & Others	1,416,128,445	2.01%	1,425,956,114	2.03%	-9,827,668	-0.01%
	QFIs	1,990,585,020	2.83%	1,590,312,198	2.26%	400,272,821	0.57%
	Foreign DPMs	2,312,824	0.00%	0	0.00%	2,312,824	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
Total Foreign Investors	3,412,984,291	4.85%	3,088,168,995	4.39%	324,815,295	0.46%	
Grand Total		70,383,117,003	100.00%	70,383,117,003	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	6,550,094,094	9.31%	6,029,448,850	8.57%	520,645,244	0.74%
Non-Institutional	63,833,022,908	90.69%	64,353,668,153	91.43%	-520,645,244	-0.74%
Grand Total	70,383,117,003	100.00%	70,383,117,003	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 12 November 2020			As of 19 November 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	122,506,452,261	1.35%	9.75%	126,010,062,312	1.38%	9.81%	3,503,610,051	0.03%	0.06%
	HNWIs	175,618,386,910	1.94%	13.83%	179,427,256,585	1.96%	13.81%	3,808,869,675	0.03%	-0.01%
	IPIs	286,451,976,599	3.16%	16.63%	292,012,772,193	3.20%	16.67%	5,560,795,594	0.04%	0.04%
	Individual DPMs	5,995,326,790	0.07%	0.48%	6,128,540,564	0.07%	0.48%	133,213,775	0.00%	0.00%
	Sub Total (Individuals)	590,572,142,560	6.51%	40.69%	603,578,631,654	6.61%	40.77%	13,006,489,094	0.09%	0.08%
	Institutions:									
	Corporates	603,419,682,716	6.65%	22.42%	612,482,252,871	6.70%	22.29%	9,062,570,155	0.05%	-0.13%
	Mutual Funds	207,532,045,109	2.29%	11.21%	206,621,927,585	2.26%	11.15%	-910,117,524	-0.03%	-0.07%
	GRE's	7,374,116,779,275	81.30%	6.61%	7,413,312,396,790	81.13%	6.54%	39,195,617,515	-0.17%	-0.07%
	Institution DPMs	49,431,008,887	0.55%	3.94%	50,491,342,919	0.55%	3.94%	1,060,334,032	0.01%	0.00%
Sub Total (Institutions)	8,234,499,515,987	90.79%	44.19%	8,282,907,920,166	90.65%	43.92%	48,408,404,178	-0.14%	-0.27%	
Total Saudi Investors	8,825,071,658,547	97.30%	84.88%	8,886,486,551,819	97.26%	84.69%	61,414,893,272	-0.05%	-0.19%	
GCC	Individuals	2,490,106,891	0.03%	0.20%	2,551,869,220	0.03%	0.20%	61,762,329	0.00%	0.00%
	Institutions	39,276,841,168	0.43%	2.10%	41,863,145,934	0.46%	2.25%	2,586,304,766	0.03%	0.16%
	GCC DPMs	251,644,259	0.00%	0.02%	255,450,404	0.00%	0.02%	3,806,145	0.00%	0.00%
	Total GCC Investors	42,018,592,318	0.46%	2.31%	44,670,465,558	0.49%	2.47%	2,651,873,241	0.03%	0.16%
Foreign	SWAP Holders	2,088,456,226	0.02%	0.16%	2,108,191,091	0.02%	0.16%	19,734,865	0.00%	0.00%
	Foreign Residents & Others	7,756,059,058	0.09%	0.48%	7,897,412,743	0.09%	0.48%	141,353,685	0.00%	0.00%
	QFIs	152,440,437,773	1.68%	12.04%	155,642,231,715	1.70%	12.09%	3,201,793,942	0.02%	0.05%
	Foreign DPMs	1,534,419,457	0.02%	0.12%	1,360,011,201	0.01%	0.11%	-174,408,256	0.00%	-0.02%
	Strategic Investors	38,887,621,006	0.43%	0.00%	39,030,168,668	0.43%	0.00%	142,547,662	0.00%	0.00%
	Total Foreign Investors	202,706,993,520	2.23%	12.81%	206,038,015,418	2.25%	12.84%	3,331,021,898	0.02%	0.03%
Grand Total		9,069,797,244,385	100.00%	100.00%	9,137,195,032,796	100.00%	100.00%	67,397,788,411		

* Non deposited shares was not reflected as on 19 November holding value (A naam International Holding Group. 4061- Corporate Action -Right Issue)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 12 November 2020			As of 19 November 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,763,047,144,987	96.60%	75.74%	8,822,993,321,840	96.54%	75.69%	59,946,176,853	-0.06%	-0.05%
Non-Institutional	306,750,099,398	3.40%	24.26%	314,201,710,956	3.46%	24.31%	7,451,611,558	0.06%	0.05%
Grand Total	9,069,797,244,385	100.00%	100.00%	9,137,195,032,796	100.00%	100.00%	67,397,788,411		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	218,114,838	97.35%	220,034,537	98.20%	-1,919,698	-0.86%
	Corporates	73,618	0.03%	689,811	0.31%	-616,193	-0.28%
	Institution	11,066	0.00%	89,500	0.04%	-78,434	-0.04%
	Total Saudi Investors	218,199,522	97.38%	220,813,848	98.55%	-2,614,325	-1.17%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	3,285,337	1.47%	3,145,373	1.40%	139,963	0.06%
	QFIs	2,577,355	1.15%	102,993	0.05%	2,474,362	1.10%
	Total Foreign Investors	5,862,692	2.62%	3,248,366	1.45%	2,614,325	1.17%
Grand Total		224,062,214	100.00%	224,062,214	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	2,662,039	1.19%	882,304	0.39%	1,779,735	0.79%
Non-Institutional	221,400,175	98.81%	223,179,910	99.61%	-1,779,735	-0.79%
Grand Total	224,062,214	100.00%	224,062,214	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 12 November 2020			As of 19 November 2020			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	7,117,808,292	69.20%	70.53%	7,053,386,408	69.55%	70.52%	-64,421,883	0.35%	-0.01%
	Corporates	3,050,797,024	29.66%	26.28%	2,970,562,941	29.29%	26.28%	-80,234,083	-0.37%	0.00%
	Institution	12,515,584	0.12%	0.24%	12,374,832	0.12%	0.24%	-140,752	0.00%	0.00%
	Total Saudi Investors	10,181,120,900	98.98%	97.05%	10,036,324,181	98.97%	97.04%	-144,796,719	-0.01%	-0.01%
GCC	Individuals	3,154,004	0.03%	0.09%	3,131,475	0.03%	0.09%	-22,529	0.00%	0.00%
	Institutions	90,810,804	0.88%	2.55%	90,162,155	0.89%	2.55%	-648,649	0.01%	0.00%
	Total GCC Investors	93,964,808	0.91%	2.64%	93,293,631	0.92%	2.64%	-671,177	0.01%	0.00%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	9,896,068	0.10%	0.28%	8,665,730	0.09%	0.25%	-1,230,339	-0.01%	-0.03%
	QFIs	1,018,224	0.01%	0.03%	2,716,459	0.03%	0.08%	1,698,235	0.02%	0.05%
	Total Foreign Investors	10,914,292	0.11%	0.31%	11,382,188	0.11%	0.32%	467,896	0.01%	0.02%
Grand Total		10,286,000,000	100.00%	100.00%	10,141,000,000	100.00%	100.00%	-145,000,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 12 November 2020			As of 19 November 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	3,155,141,636	30.67%	29.10%	3,075,816,387	30.33%	29.15%	-79,325,249	-0.34%	0.04%
Non-Institutional	7,130,858,364	69.33%	70.90%	7,065,183,613	69.67%	70.85%	-65,674,751	0.34%	-0.04%
Grand Total	10,286,000,000	100%	100%	10,141,000,000	100%	100%	-145,000,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

