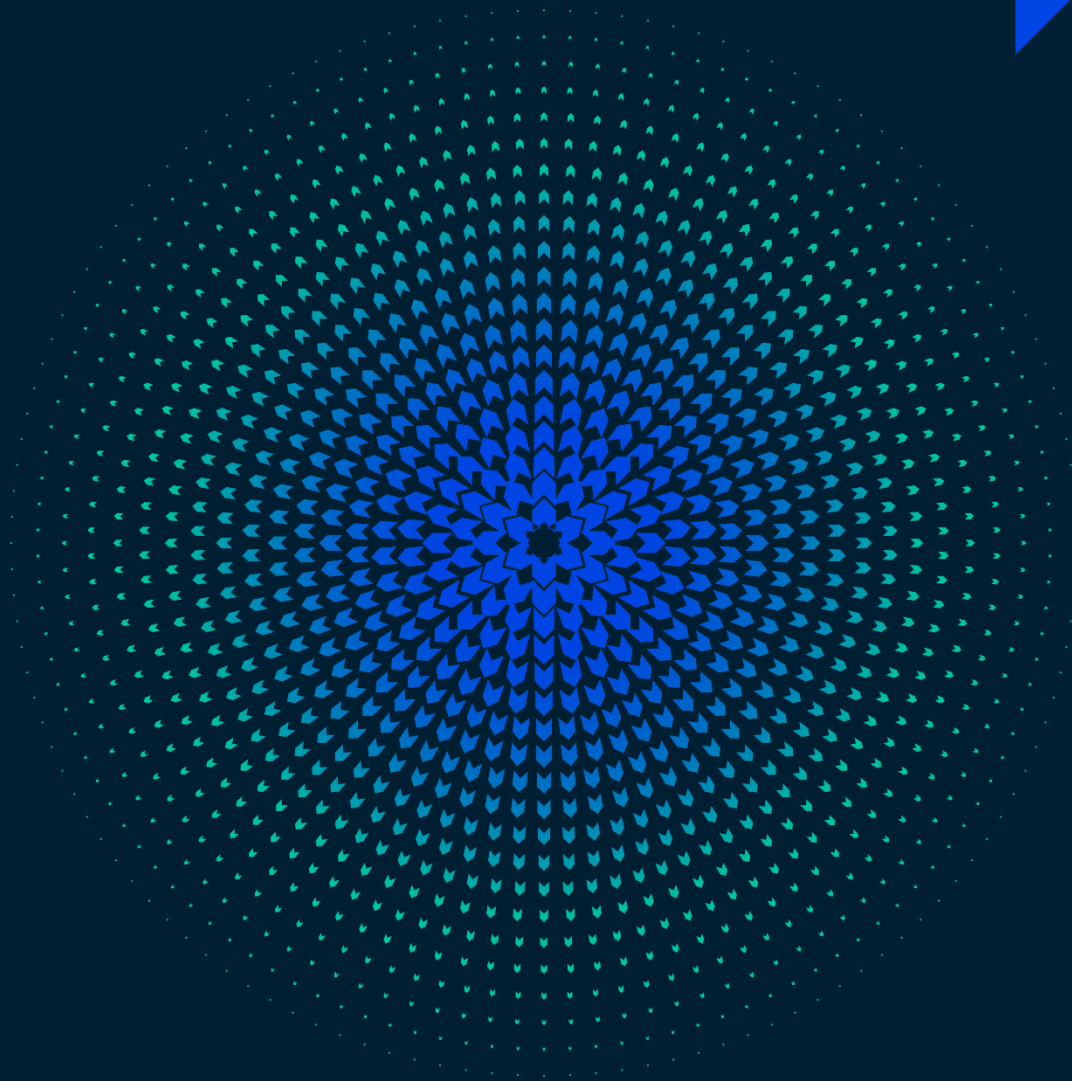


Monthly Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Month Ending 30 December 2021



Definitions

Monthly Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	72,379,196,693	45.23%	74,059,405,977	46.28%	-1,680,209,283	-1.05%	
	HNWIs	41,524,916,586	25.95%	41,753,250,176	26.09%	-228,333,590	-0.14%	
	IPIs	4,739,657,807	2.96%	3,110,399,213	1.94%	1,629,258,594	1.02%	
	Individual DPMs	1,832,024,046	1.14%	1,980,258,107	1.24%	-148,234,061	-0.09%	
	Sub Total (Individuals)	120,475,795,132	75.29%	120,903,313,472	75.55%	-427,518,341	-0.27%	
	Institutions:							
	Corporates	8,068,353,405	5.04%	4,305,110,767	2.69%	3,763,242,639	2.35%	
	Mutual Funds	3,062,702,464	1.91%	4,270,934,602	2.67%	-1,208,232,137	-0.76%	
	GRE's	134,239,227	0.08%	10,864,988,580	6.79%	-10,730,749,353	-6.71%	
	Institution DPMs	1,884,192,373	1.18%	1,741,405,562	1.09%	142,786,811	0.09%	
	Sub Total (Institutions)	13,149,487,469	8.22%	21,182,439,510	13.24%	-8,032,952,041	-5.02%	
Total Saudi Investors	133,625,282,601	83.50%	142,085,752,982	88.79%	-8,460,470,382	-5.29%		
GCC	Individuals	304,518,473	0.19%	256,410,064	0.16%	48,108,409	0.03%	
	Institutions	3,990,315,367	2.49%	1,027,021,483	0.64%	2,963,293,885	1.85%	
	GCC DPMs	20,607,990	0.01%	20,234,020	0.01%	373,971	0.00%	
	Total GCC Investors	4,315,441,830	2.70%	1,303,665,566	0.81%	3,011,776,264	1.88%	
Foreign	SWAP Holders	191,217,846	0.12%	130,747,277	0.08%	60,470,569	0.04%	
	Foreign Residents & Others	2,368,430,429	1.48%	2,337,258,295	1.46%	31,172,133	0.02%	
	QFIs	19,484,141,939	12.18%	14,127,123,279	8.83%	5,357,018,661	3.35%	
	Foreign DPMs	41,614,605	0.03%	41,581,850	0.03%	32,754	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	22,085,404,819	13.80%	16,636,710,702	10.40%	5,448,694,117	3.40%	
Grand Total		160,026,129,250	100.00%	160,026,129,250	100.00%			

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	43,449,067,069	27.15%	41,619,804,738	26.01%	1,829,262,331	1.14%
Non-Institutional	116,577,062,180	72.85%	118,406,324,512	73.99%	-1,829,262,331	-1.14%
Grand Total	160,026,129,250	100.00%	160,026,129,250	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 30 November 2021			As of 30 December 2021			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	137,139,783,199	1.42%	8.47%	161,188,767,570	1.61%	8.95%	24,048,984,371	0.19%	0.47%
	HNWIs	234,809,972,217	2.44%	14.35%	246,216,508,924	2.46%	14.06%	11,406,536,707	0.02%	-0.29%
	IPIs	384,619,066,340	3.99%	17.35%	385,004,454,134	3.85%	16.77%	385,387,794	-0.15%	-0.59%
	Individual DPMS	8,332,668,441	0.09%	0.51%	8,438,398,756	0.08%	0.49%	105,730,316	0.00%	-0.03%
	Sub Total (Individuals)	764,901,490,196	7.94%	40.69%	800,848,129,385	8.00%	40.26%	35,946,639,188	0.06%	-0.43%
	Institutions:									
	Corporates	802,666,449,502	8.34%	23.86%	857,216,590,250	8.57%	24.19%	54,550,140,748	0.23%	0.34%
	Mutual Funds	257,888,351,949	2.68%	11.37%	275,243,782,093	2.75%	11.58%	17,355,430,144	0.07%	0.21%
	GRE's	7,393,943,108,267	76.80%	2.41%	7,645,338,824,435	76.39%	2.32%	251,395,716,169	-0.41%	-0.09%
	Institution DPMS	76,467,681,136	0.79%	4.71%	71,164,884,020	0.71%	4.10%	-5,302,797,116	-0.08%	-0.62%
Sub Total (Institutions)	8,530,965,590,853	88.61%	42.35%	8,848,964,080,798	88.42%	42.19%	317,998,489,945	-0.19%	-0.16%	
Total Saudi Investors	9,295,867,081,050	96.55%	83.04%	9,649,812,210,183	96.42%	82.45%	353,945,129,134	-0.13%	-0.59%	
GCC	Individuals	2,987,251,762	0.03%	0.19%	3,199,709,331	0.03%	0.19%	212,457,569	0.00%	0.00%
	Institutions	44,675,288,342	0.46%	1.93%	49,630,209,149	0.50%	2.12%	4,954,920,807	0.03%	0.19%
	GCC DPMS	166,953,050	0.00%	0.01%	178,082,611	0.00%	0.01%	11,129,561	0.00%	0.00%
	Total GCC Investors	47,829,493,154	0.50%	2.13%	53,008,001,091	0.53%	2.32%	5,178,507,937	0.03%	0.19%
Foreign	SWAP Holders	2,079,999,687	0.02%	0.13%	2,108,870,826	0.02%	0.13%	28,871,139	0.00%	0.00%
	Foreign Residents & Others	7,061,896,602	0.07%	0.36%	7,542,065,947	0.08%	0.36%	480,169,346	0.00%	0.01%
	QFIs	227,254,427,416	2.36%	14.34%	245,903,354,137	2.46%	14.74%	18,648,926,721	0.10%	0.40%
	Foreign DPMS	22,937,055	0.00%	0.00%	22,010,408	0.00%	0.00%	-926,647	0.00%	0.00%
	Strategic Investors	47,775,926,048	0.50%	0.00%	49,664,637,868	0.50%	0.00%	1,888,711,820	0.00%	0.00%
	Total Foreign Investors	284,195,186,808	2.95%	14.83%	305,240,939,187	3.05%	15.23%	21,045,752,378	0.10%	0.40%
Grand Total		9,627,891,761,012	100.00%	100.00%	10,008,061,150,461	100.00%	100.00%	380,169,389,449		

*Non deposited shares was not reflected as on 30 December holding value (Batic Investments and Logistics Co. 410 - Corporate Action - Right Issue)

*Non deposited shares was not reflected as on 30 December holding value (Allied Cooperative Insurance Group. 810 - Corporate Action - Right Issue)

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 30 November 2021			As of 30 December 2021			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	9,247,299,432,861	96.03%	76.63%	9,591,320,674,317	95.82%	76.44%	344,021,241,456	-0.21%	-0.19%
Non-Institutional	380,592,328,150	3.97%	23.37%	416,740,476,144	4.18%	23.56%	36,148,147,993	0.21%	0.19%
Grand Total	9,627,891,761,012	100.00%	100.00%	10,008,061,150,461	100.00%	100.00%	380,169,389,449		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	1,727,814,496	94.97%	1,695,986,305	93.22%	31,828,191	1.75%
	Corporates	20,225,238	1.11%	33,636,491	1.85%	-13,411,252	-0.74%
	Institution	13,190,793	0.73%	13,718,326	0.75%	-527,533	-0.03%
	Total Saudi Investors	1,761,230,528	96.80%	1,743,341,122	95.82%	17,889,406	0.98%
GCC	Individuals	1,732,608	0.10%	1,424,393	0.08%	308,216	0.02%
	Institutions	187,955	0.01%	23,604,698	1.30%	-23,416,743	-1.29%
	Total GCC Investors	1,920,564	0.11%	25,029,091	1.38%	-23,108,527	-1.27%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	36,879,352	2.03%	35,827,690	1.97%	1,051,662	0.06%
	QFIs	19,353,280	1.06%	15,185,821	0.83%	4,167,459	0.23%
	Total Foreign Investors	56,232,632	3.09%	51,013,510	2.80%	5,219,121	0.29%
Grand Total		1,819,383,723	100.00%	1,819,383,723	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	52,957,267	2.91%	86,145,336	4.73%	-33,188,069	-1.82%
Non-Institutional	1,766,426,456	97.09%	1,733,238,387	95.27%	33,188,069	1.82%
Grand Total	1,819,383,723	100.00%	1,819,383,723	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 30 November 2021			As of 30 December 2021			Monthly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	12,224,907,660	74.45%	69.71%	14,310,089,775	75.22%	70.61%	2,085,182,115	0.77%	0.90%
	Corporates	3,992,058,984	24.31%	28.05%	4,492,815,288	23.61%	27.31%	500,756,304	-0.70%	-0.74%
	Institution	92,985,240	0.57%	0.65%	111,749,624	0.59%	0.68%	18,764,384	0.02%	0.03%
	Total Saudi Investors	16,309,951,884	99.32%	98.42%	18,914,654,687	99.42%	98.60%	2,604,702,803	0.10%	0.19%
GCC	Individuals	4,435,824	0.03%	0.06%	5,081,847	0.03%	0.06%	646,024	0.00%	0.00%
	Institutions	20,023,832	0.12%	0.28%	2,090,286	0.01%	0.03%	-17,933,546	-0.11%	-0.26%
	Total GCC Investors	24,459,656	0.15%	0.35%	7,172,133	0.04%	0.09%	-17,287,522	-0.11%	-0.26%
Foreign	SWAP Holders	15,768,443	0.10%	0.22%	22,206,088	0.12%	0.28%	6,437,645	0.02%	0.06%
	Foreign Residents	16,497,095	0.10%	0.23%	18,553,039	0.10%	0.23%	2,055,944	0.00%	0.00%
	QFIs	54,466,922	0.33%	0.77%	62,687,051	0.33%	0.79%	8,220,130	0.00%	0.02%
	Total Foreign Investors	86,732,460	0.53%	1.23%	103,446,179	0.54%	1.31%	16,713,719	0.02%	0.07%
Grand Total		16,421,144,000	100.00%	100.00%	19,025,273,000	100.00%	100.00%	2,604,129,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 30 November 2021			As of 30 December 2021			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,175,303,421	25.43%	29.99%	4,691,548,338	24.66%	29.09%	516,244,917	-0.77%	-0.90%
Non-Institutional	12,245,840,579	74.57%	70.01%	14,333,724,662	75.34%	70.91%	2,087,884,083	0.77%	0.90%
Grand Total	16,421,144,000	100.00%	100.00%	19,025,273,000	100.00%	100.00%	2,604,129,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



Sukuk/Bonds Market - Value Traded & Ownership Breakdown

Monthly Report – Sukuk/Bonds Market

Value Traded (by Nationality, Investor Type, & Classification)

Sukuk/Bonds Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Corporates	567,735,245	82.21%	602,816,924	87.29%	-35,081,679	-5.08%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Individual	96,195	0.01%	10,319,213	1.49%	-10,223,017	-1.48%
	Institutions	122,776,557	17.78%	65,675,171	9.51%	57,101,386	8.27%
	Total Saudi Investors	690,607,997	100.00%	678,811,307	98.29%	11,796,690	1.71%
GCC	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	0	0.00%	0	0.00%	0	0.00%
	QFIs	0	0.00%	11,796,690	1.71%	-11,796,690	-1.71%
	Total Foreign Investors	0	0.00%	11,796,690	1.71%	-11,796,690	-1.71%
Grand Total		690,607,997	100.00%	690,607,997	100.00%		

Sukuk/Bonds Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	690,511,802	99.99%	680,288,785	98.51%	10,223,017	1.48%
Non-Institutional	96,195	0.01%	10,319,213	1.49%	-10,223,017	-1.48%
Grand Total	690,607,997	100.00%	690,607,997	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Sukuk/Bonds Market

Ownership Value (by Nationality, Investor Type, & Classification)

Sukuk/Bonds Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 30 November 2021		As of 30 December 2021		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Corporates	360,212,763,680	78.08%	360,370,800,020	78.11%	158,036,340	0.03%
	GRE's	86,030,492,000	18.65%	85,923,297,000	18.62%	-107,195,000	-0.03%
	Individual	253,040,000	0.05%	242,935,000	0.05%	-10,105,000	0.00%
	Institutions	10,883,255,060	2.36%	10,918,673,840	2.37%	35,418,780	0.01%
	Total Saudi Investors	457,379,550,740	99.15%	457,455,705,860	99.15%	76,155,120	0.01%
GCC	Institutions	1,519,000,000	0.33%	1,519,000,000	0.33%	0	0.00%
	Total GCC Investors	1,519,000,000	0.33%	1,519,000,000	0.33%	0	0.00%
Foreign	SWAP Holders	100,000,000	0.02%	100,000,000	0.02%	0	0.00%
	Foreign Residents	10,000	0.00%	10,000	0.00%	0	0.00%
	QFIs	2,322,500,000	0.50%	2,295,500,000	0.50%	-27,000,000	-0.01%
	Total Foreign Investors	2,422,510,000	0.53%	2,395,510,000	0.52%	-27,000,000	-0.01%
Grand Total		461,321,060,740	100.00%	461,370,215,860	100.00%	49,155,120	

Sukuk/Bonds Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 30 November 2021		As of 30 December 2021		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	461,068,010,740	99.95%	461,127,270,860	99.95%	59,260,120	0.00%
Non-Institutional	253,050,000	0.05%	242,945,000	0.05%	-10,105,000	0.00%
Grand Total	461,321,060,740	100.00%	461,370,215,860	100.00%	49,155,120	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you