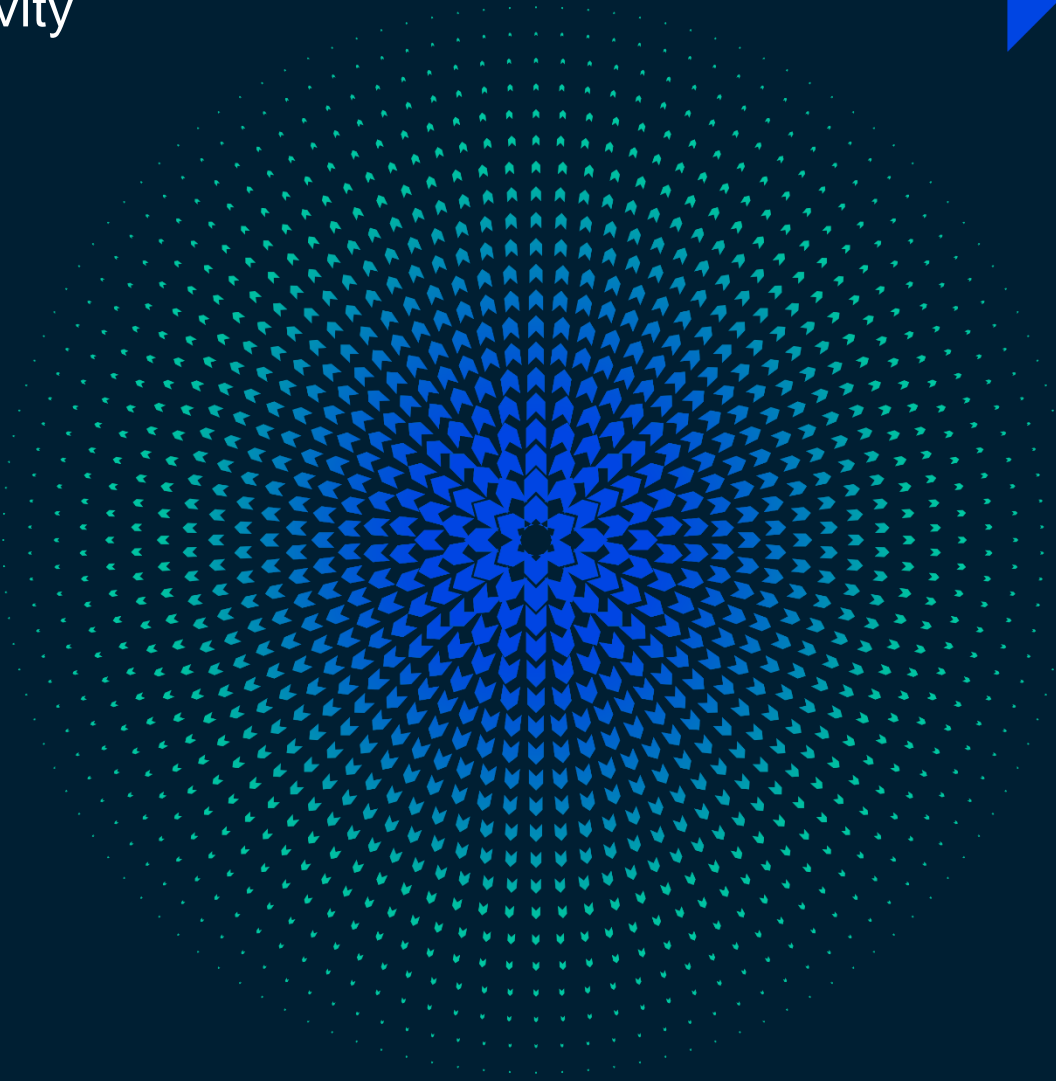


Weekly Stock Market Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Week Ending 05 October - 2023

Classification: Internal



Definitions

Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	8,305,161,058	33.60%	7,703,655,028	31.17%	601,506,030	2.43%
	HNWIs	4,083,890,053	16.52%	4,025,336,134	16.29%	58,553,919	0.24%
	IPIs	731,567,490	2.96%	2,780,333,973	11.25%	-2,048,766,482	-8.29%
	Individual DPMs	170,499,543	0.69%	180,813,600	0.73%	-10,314,057	-0.04%
	Sub Total (Individuals)	13,291,118,145	53.77%	14,690,138,735	59.43%	-1,399,020,590	-5.66%
	Institutions:						
	Corporates	3,198,756,150	12.94%	1,930,298,460	7.81%	1,268,457,690	5.13%
	Mutual Funds	1,733,738,582	7.01%	1,146,856,578	4.64%	586,882,004	2.37%
	GRE's	1,825,486,839	7.39%	999,874,891	4.05%	825,611,949	3.34%
	Institution DPMs	74,815,547	0.30%	150,056,300	0.61%	-75,240,752	-0.30%
Sub Total (Institutions)	6,832,797,118	27.64%	4,227,086,228	17.10%	2,605,710,890	10.54%	
Total Saudi Investors	20,123,915,263	81.41%	18,917,224,963	76.53%	1,206,690,300	4.88%	
GCC	Individuals	123,380,632	0.50%	93,611,565	0.38%	29,769,066	0.12%
	Institutions	366,230,599	1.48%	299,165,241	1.21%	67,065,359	0.27%
	GCC DPMs	26,285,358	0.11%	11,521,759	0.05%	14,763,598	0.06%
	Total GCC Investors	515,896,588	2.09%	404,298,565	1.64%	111,598,023	0.45%
Foreign	SWAP Holders	10,819,410	0.04%	6,073,597	0.02%	4,745,814	0.02%
	Foreign Residents & Others	439,677,359	1.78%	401,542,353	1.62%	38,135,006	0.15%
	QFIs	3,627,101,933	14.67%	4,988,635,180	20.18%	-1,361,533,247	-5.51%
	Foreign DPMs	635,383	0.00%	271,279	0.00%	364,104	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
Total Foreign Investors	4,078,234,086	16.50%	5,398,522,409	21.83%	-1,318,288,324	-5.33%	
Grand Total		24,718,045,937	100.00%	24,718,045,937	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	11,765,936,835	47.60%	12,493,900,856	50.55%	-727,964,022	-2.95%
Non-Institutional	12,952,109,102	52.40%	12,224,145,081	49.45%	727,964,022	2.95%
Grand Total	24,718,045,937	100.00%	24,718,045,937	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 28 September 2023			As of 05 October 2023			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	144,373,699,692	1.27%	5.34%	141,831,115,657	1.29%	5.48%	-2,542,584,035	0.03%	0.14%
	HNWIs	235,441,378,158	2.06%	8.62%	229,787,182,595	2.09%	8.79%	-5,654,195,563	0.03%	0.17%
	IPIs	409,117,538,482	3.59%	11.65%	397,162,203,371	3.62%	11.74%	-11,955,335,111	0.03%	0.09%
	Individual DPMs	8,196,801,128	0.07%	0.30%	8,014,603,100	0.07%	0.31%	-182,198,029	0.00%	0.01%
	Sub Total (Individuals)	797,129,417,460	6.99%	25.91%	776,795,104,723	7.08%	26.32%	-20,334,312,737	0.09%	0.41%
	Institutions:									
	Corporates	1,257,899,513,264	11.02%	31.17%	1,227,190,236,138	11.19%	31.50%	-30,709,277,126	0.16%	0.33%
	Mutual Funds	283,348,762,879	2.48%	8.20%	276,432,253,735	2.52%	8.43%	-6,916,509,144	0.04%	0.24%
	GRE's	8,607,747,969,124	75.44%	19.36%	8,236,995,823,150	75.08%	18.26%	-370,752,145,974	-0.36%	-1.10%
	Institution DPMs	41,711,539,855	0.37%	1.33%	40,827,415,206	0.37%	1.36%	-884,124,649	0.01%	0.03%
Sub Total (Institutions)	10,190,707,785,122	89.31%	60.06%	9,781,445,728,230	89.16%	59.56%	-409,262,056,892	-0.15%	-0.50%	
Total Saudi Investors	10,987,837,202,582	96.30%	85.97%	10,558,240,832,952	96.24%	85.87%	-429,596,369,630	-0.06%	-0.10%	
GCC	Individuals	3,162,508,037	0.03%	0.12%	3,115,697,582	0.03%	0.12%	-46,810,456	0.00%	0.00%
	Institutions	52,659,208,506	0.46%	1.36%	51,669,342,264	0.47%	1.38%	-989,866,242	0.01%	0.03%
	GCC DPMs	957,378,768	0.01%	0.04%	971,184,873	0.01%	0.04%	13,806,105	0.00%	0.00%
	Total GCC Investors	56,779,095,312	0.50%	1.52%	55,756,224,719	0.51%	1.55%	-1,022,870,593	0.01%	0.03%
Foreign	SWAP Holders	2,226,743,878	0.02%	0.09%	2,187,912,214	0.02%	0.09%	-38,831,664	0.00%	0.00%
	Foreign Residents & Others	16,268,261,776	0.14%	0.62%	15,959,319,481	0.15%	0.63%	-308,942,295	0.00%	0.00%
	QFIs	290,069,022,960	2.54%	11.28%	283,330,945,562	2.58%	11.32%	-6,738,077,398	0.04%	0.05%
	Foreign DPMs	13,591,548,813	0.12%	0.53%	13,590,321,459	0.12%	0.54%	-1,227,354	0.00%	0.01%
	Strategic Investors	43,755,975,962	0.38%	0.00%	41,853,676,641	0.38%	0.00%	-1,902,299,321	0.00%	0.00%
	Total Foreign Investors	365,911,553,388	3.21%	12.51%	356,922,175,356	3.25%	12.58%	-8,989,378,033	0.05%	0.07%
Grand Total		11,410,527,851,282	100.00%	100.00%	10,970,919,233,027	100.00%	100.00%	-439,608,618,255		

*Excluding corporate actions

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 28 September 2023			As of 05 October 2023			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	11,011,282,003,619	96.50%	85.29%	10,580,225,917,713	96.44%	84.98%	-431,056,085,907	-0.06%	-0.31%
Non-Institutional	399,245,847,663	3.50%	14.71%	390,693,315,314	3.56%	15.02%	-8,552,532,348	0.06%	0.31%
Grand Total	11,410,527,851,282	100.00%	100.00%	10,970,919,233,027	100.00%	100.00%	-439,608,618,255		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	196,362,344	94.54%	175,574,308	84.53%	20,788,036	10.01%
	Corporates	1,120,704	0.54%	21,583,870	10.39%	-20,463,165	-9.85%
	Institution	1,853,565	0.89%	1,305,980	0.63%	547,585	0.26%
	Total Saudi Investors	199,336,613	95.97%	198,464,157	95.55%	872,456	0.42%
GCC	Individuals	173,535	0.08%	138,219	0.07%	35,316	0.02%
	Institutions	0	0.00%	280,400	0.13%	-280,400	-0.13%
	Total GCC Investors	173,535	0.08%	418,619	0.20%	-245,084	-0.12%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	3,061,111	1.47%	3,652,926	1.76%	-591,815	-0.28%
	QFIs	5,137,412	2.47%	5,172,969	2.49%	-35,557	-0.02%
	Total Foreign Investors	8,198,523	3.95%	8,825,894	4.25%	-627,372	-0.30%
Grand Total		207,708,671	100.00%	207,708,671	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	8,111,681	3.91%	28,343,218	13.65%	-20,231,537	-9.74%
Non-Institutional	199,596,989	96.09%	179,365,453	86.35%	20,231,537	9.74%
Grand Total	207,708,671	100.00%	207,708,671	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 28 September 2023			As of 05 October 2023			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	32,450,488,669	68.63%	66.62%	32,904,248,781	68.70%	66.44%	453,760,112	0.08%	-0.18%
	Corporates	13,358,976,675	28.25%	28.99%	13,451,632,313	28.09%	28.94%	92,655,637	-0.16%	-0.05%
	Institution	735,925,278	1.56%	1.06%	729,938,877	1.52%	1.04%	-5,986,401	-0.03%	-0.02%
	Total Saudi Investors	46,545,390,622	98.43%	96.67%	47,085,819,970	98.31%	96.42%	540,429,348	-0.12%	-0.25%
GCC	Individuals	49,470,917	0.10%	0.22%	115,089,929	0.24%	0.51%	65,619,012	0.14%	0.29%
	Institutions	145,588,797	0.31%	0.65%	144,574,951	0.30%	0.64%	-1,013,846	-0.01%	-0.01%
	Total GCC Investors	195,059,714	0.41%	0.88%	259,664,880	0.54%	1.15%	64,605,166	0.13%	0.28%
Foreign	SWAP Holders	2,312,240	0.00%	0.01%	2,352,960	0.00%	0.01%	40,720	0.00%	0.00%
	Foreign Residents	268,398,995	0.57%	1.21%	272,304,693	0.57%	1.21%	3,905,698	0.00%	0.00%
	QFIs	274,864,022	0.58%	1.24%	273,461,673	0.57%	1.21%	-1,402,349	-0.01%	-0.02%
	Total Foreign Investors	545,575,257	1.15%	2.45%	548,119,327	1.14%	2.43%	2,544,069	-0.01%	-0.02%
Grand Total		47,286,025,593	100.00%	100.00%	47,893,604,177	100.00%	100.00%	607,578,584		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 28 September 2023			As of 05 October 2023			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	14,517,667,013	30.70%	31.95%	14,601,960,774	30.49%	31.84%	84,293,761	-0.21%	-0.11%
Non-Institutional	32,768,358,580	69.30%	68.05%	33,291,643,403	69.51%	68.16%	523,284,822	0.21%	0.11%
Grand Total	47,286,025,593	100.00%	100.00%	47,893,604,177	100.00%	100.00%	607,578,584		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you