

# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Dataset Period:

Week Ending 17<sup>th</sup> of Sep 2015

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## Definitions



Nationality	Investor Type	Description
Saudi	<b>Individuals</b>	<b>Saudi Individuals</b> have been classified under 4 categories as defined below:
	<ul style="list-style-type: none"> <li>Retail</li> </ul>	<b>Retail</b> investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	<ul style="list-style-type: none"> <li>High Net Worth Investors (<b>HNWIs</b>)</li> </ul>	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	<ul style="list-style-type: none"> <li>Individual Professional Investors (<b>IPIs</b>)</li> </ul>	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	<ul style="list-style-type: none"> <li>Individual DPMs</li> </ul>	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	<b>Saudi Institutions</b> have been classified under 4 categories as defined below:
	<ul style="list-style-type: none"> <li>Corporates</li> </ul>	Saudi company with a commercial registration permitting its investment in the stock market .
	<ul style="list-style-type: none"> <li>Mutual Funds</li> </ul>	A mutual fund managed by an Authorized Person and licensed by the CMA.
<ul style="list-style-type: none"> <li>Government Related Entities (<b>GREs</b>)</li> </ul>	A Government entity or a supranational authority recognized by the CMA.	
<ul style="list-style-type: none"> <li>Institutional DPMs</li> </ul>	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	<b>Individuals</b>	GCC natural person
	<b>Institutions</b>	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	<b>SWAP Holders</b>	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents</b>	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	<b>QFIs</b>	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Strategic Partners</b>	Foreign investors considered founders and partners of Saudi listed companies.



## Highlights



The total value of shares traded for the week ending 17 September 2015 amounted to SAR 24.30 billion, decreasing by 12.05% over the previous week; while total stock market capitalization reached SAR 1,675.52 billion at the end of this period, decreasing by 3.09% over the previous week.

- The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 23.40 billion representing 96.31% of total buying activity, and sales of SAR 23.24 billion representing 95.64% of total selling activity. Total ownership of “Saudi Investors” stood at 92.42% of total market capitalization as of 17 September 2015, representing an increase of 0.04% from the previous week.
- The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.296 billion representing 1.22% of total buying activity, and sales of SAR 0.326 billion representing 1.34% of total selling activity. Total ownership of “GCC Investors” stood at 2.54% of total market capitalization as of 17 September 2015, representing an increase of 0.01% from the previous week.
- The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 0.601 billion representing 2.47% of total buying activity, and sales of SAR 0.733 billion representing 3.02% of total selling activity. Total ownership of “Foreign Investors” stood at 5.04% of total market capitalization as of 17 September 2015, representing a decrease of 0.05% from the previous week.



# Stock Market Value Traded Breakdown



### Stock Market Traded Value Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	<b>Individuals:</b>							
	Retail	10,955,037,370	45.08%	12,132,157,865	49.93%	-1,177,120,495	-4.84%	
	HNWIs	7,932,370,166	32.64%	7,782,942,020	32.03%	149,428,146	0.61%	
	IPIs	524,912,795	2.16%	845,781,614	3.48%	-320,868,819	-1.32%	
	Individual DPMs	151,608,168	0.62%	383,039,439	1.58%	-231,431,271	-0.95%	
	<b>Sub Total (Individuals)</b>	<b>19,563,928,499</b>	<b>80.51%</b>	<b>21,143,920,938</b>	<b>87.01%</b>	<b>-1,579,992,439</b>	<b>-6.50%</b>	
	<b>Institutions:</b>							
	Corporates	2,439,416,670	10.04%	582,527,218	2.40%	1,856,889,452	7.64%	
	Mutual Funds	996,044,741	4.10%	490,565,170	2.02%	505,479,570	2.08%	
	GRE's	37,904,149	0.16%	15,002,927	0.06%	22,901,222	0.09%	
	Institution DPMs	365,988,701	1.51%	1,009,201,779	4.15%	-643,213,078	-2.65%	
	<b>Sub Total (Institutions)</b>	<b>3,839,354,261</b>	<b>15.80%</b>	<b>2,097,297,094</b>	<b>8.63%</b>	<b>1,742,057,167</b>	<b>7.17%</b>	
<b>Total Saudi Investors</b>	<b>23,403,282,760</b>	<b>96.31%</b>	<b>23,241,218,032</b>	<b>95.64%</b>	<b>162,064,728</b>	<b>0.67%</b>		
GCC	Individuals	88,366,868	0.36%	95,577,092	0.39%	-7,210,224	-0.03%	
	Institutions	205,088,086	0.84%	227,036,173	0.93%	-21,948,087	-0.09%	
	GCC DPMs	3,035,781	0.01%	3,174,194	0.01%	-138,413	0.00%	
	<b>Total GCC Investors</b>	<b>296,490,735</b>	<b>1.22%</b>	<b>325,787,458</b>	<b>1.34%</b>	<b>-29,296,724</b>	<b>-0.12%</b>	
Foreign	SWAP Holders	190,884,652	0.79%	342,388,808	1.41%	-151,504,156	-0.62%	
	Foreign Residents	345,442,141	1.42%	378,098,416	1.56%	-32,656,275	-0.13%	
	QFIs	64,328,545	0.26%	12,936,118	0.05%	51,392,427	0.21%	
	Foreign DPMs	0.00	0.00%	0.00	0.00%	0.00	0.00%	
	Strategic Partners	0.00	0.00%	0.00	0.00%	0.00	0.00%	
	<b>Total Foreign Investors</b>	<b>600,655,337</b>	<b>2.47%</b>	<b>733,423,342</b>	<b>3.02%</b>	<b>-132,768,004</b>	<b>-0.55%</b>	
<b>Grand Total</b>		<b>24,300,428,832</b>	<b>100.00%</b>	<b>24,300,428,832</b>	<b>100.00%</b>			

# Saudi Stock Market Weekly Report

## Value Traded (by Investor Classification)



### Stock Market Traded Value Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,979,212,287	20.49%	3,911,653,439	16.10%	1,067,558,848	4.39%
Non-Institutional	19,321,216,545	79.51%	20,388,775,393	83.90%	-1,067,558,848	-4.39%
<b>Grand Total</b>	<b>24,300,428,832</b>	<b>100.00%</b>	<b>24,300,428,832</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.





# Stock Market Ownership Breakdown



### Stock Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 10 Sep 2015		As of 17 Sep 2015		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	<b>Individuals:</b>							
	Retail	76,468,726,556	4.42%	74,421,647,076	4.44%	-2,047,079,481	0.02%	
	HNWIs	145,924,104,784	8.44%	141,301,876,951	8.43%	-4,622,227,834	-0.01%	
	IPIs	335,634,576,002	19.41%	325,751,828,191	19.44%	-9,882,747,811	0.03%	
	Individual DPMs	5,146,237,297	0.30%	4,926,415,769	0.29%	-219,821,529	0.00%	
	<b>Sub Total (Individuals)</b>	<b>563,173,644,640</b>	<b>32.57%</b>	<b>546,401,767,986</b>	<b>32.61%</b>	<b>-16,771,876,654</b>	<b>0.04%</b>	
	<b>Institutions:</b>							
	Corporates	317,010,320,381	18.34%	307,565,668,987	18.36%	-9,444,651,394	0.02%	
	Mutual Funds	44,375,616,412	2.57%	43,151,446,988	2.58%	-1,224,169,424	0.01%	
	GRE's	614,961,444,730	35.57%	596,772,418,717	35.62%	-18,189,026,014	0.05%	
	Institution DPMs	57,606,576,815	3.33%	54,537,200,954	3.25%	-3,069,375,861	-0.08%	
<b>Sub Total (Institutions)</b>	<b>1,033,953,958,338</b>	<b>59.80%</b>	<b>1,002,026,735,645</b>	<b>59.80%</b>	<b>-31,927,222,693</b>	<b>0.00%</b>		
<b>Total Saudi Investors</b>	<b>1,597,127,602,977</b>	<b>92.37%</b>	<b>1,548,428,503,631</b>	<b>92.42%</b>	<b>-48,699,099,347</b>	<b>0.04%</b>		
GCC	Individuals	2,261,425,075	0.13%	2,172,345,201	0.13%	-89,079,874	0.00%	
	Institutions	40,779,757,906	2.36%	39,659,151,809	2.37%	-1,120,606,098	0.01%	
	GCC DPMs	777,271,608	0.04%	749,522,978	0.04%	-27,748,630	0.00%	
	<b>Total GCC Investors</b>	<b>43,818,454,589</b>	<b>2.53%</b>	<b>42,581,019,987</b>	<b>2.54%</b>	<b>-1,237,434,602</b>	<b>0.01%</b>	
Foreign	SWAP Holders	18,859,311,875	1.09%	18,227,967,648	1.09%	-631,344,227	0.00%	
	Foreign Residents	5,848,959,223	0.34%	5,746,715,411	0.34%	-102,243,812	0.00%	
	QFIs	429,941,935	0.02%	470,856,880	0.03%	40,914,945	0.00%	
	Foreign DPMs	10,843,322	0.00%	10,341,248	0.00%	-502,074	0.00%	
	Strategic Partners	62,871,953,421	3.64%	60,050,397,184	3.58%	-2,821,556,236.46	-0.05%	
	<b>Total Foreign Investors</b>	<b>88,021,009,776</b>	<b>5.09%</b>	<b>84,506,278,371</b>	<b>5.04%</b>	<b>-3,514,731,405</b>	<b>-0.05%</b>	
<b>Grand Total</b>		<b>1,728,967,067,343</b>	<b>100.00%</b>	<b>1,675,515,801,989</b>	<b>100.00%</b>	<b>-53,451,265,354.00</b>		

# Saudi Stock Market Weekly Report

## Ownership Value (by Investor Classification)



### Stock Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 10 Sep 2015		As of 17 Sep 2015		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,498,463,851,704	86.67%	1,451,873,217,351	86.65%	-46,590,634,353	-0.02%
Non-Institutional	230,503,215,639	13.33%	223,642,584,638	13.35%	-6,860,631,001	0.02%
<b>Grand Total</b>	<b>1,728,967,067,343</b>	<b>100.00%</b>	<b>1,675,515,801,989</b>	<b>100.00%</b>	<b>-53,451,265,354.00</b>	

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### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Thank you

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Saudi Stock Exchange  
P.O. Box 60612, Riyadh 11555

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