

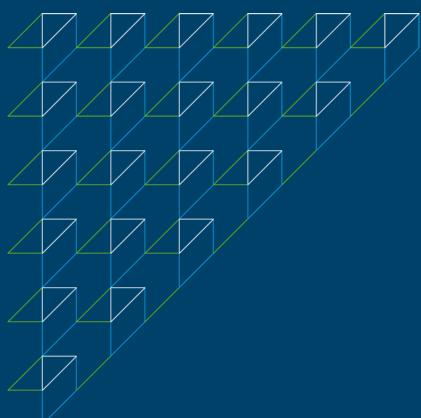
# Weekly Stock Market Ownership and Trading Activity Report

**Market Reports** 

Week Ending 19 July 2018



# Definitions



## Weekly Stock Market Ownership and Trading Activity Report



#### **Definitions**

Nationality	Investor Type	Description						
	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:						
	- Retail	Retail investors are Saudi individuals, excluding IPIs (see below) and HNWIs.						
	- High Net Worth Investors (HNWIs)	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).						
	- Individual Professional Investors (IPIs)	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.						
Saudi	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).						
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:						
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .						
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.						
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.						
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).						
	Individuals*	GCC natural person						
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.						
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).						
	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.						
Foreign	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).						
	QFls	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.						
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).						
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.						

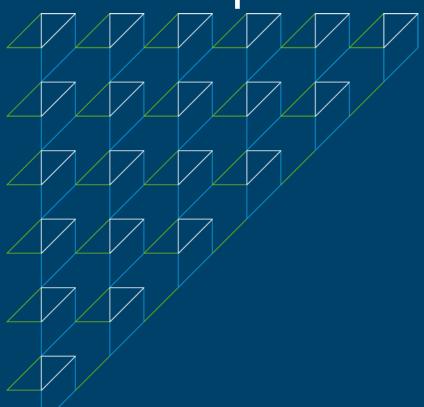
\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.

- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Value Traded & Ownership Breakdown



#### تــداوك Tadawul

## Value Traded (by Nationality and Investor Type)

#### Main Market Value Traded Breakdown - By Nationality and Investor Type Buy Sell **Net Value Traded Nationality Investor Type** Difference SAR SAR % of Total Buys SAR % of Total Sells (Buy - Sell) (% Buy - % Sell) Individuals: Retail 5,188,933,328 35.02% 7,198,444,026 48.59% -2,009,510,698 -13.56% **HNWIs** 4,881,233,416 32.95% 5,244,934,248 35.40% -363,700,832 -2.45% **IPIs** 455.467.326 3.07% 456.749.591 3.08% -1.282.265 -0.01% Individual DPMs 81.480.776 0.55% 146.579.196 0.99% -65.098.419 -0.44% Sub Total (Individuals) 10,607,114,846 71.59% 13,046,707,060 88.06% -2,439,592,215 -16.47% Institutions: Saudi 2.298.922.004 15.52% 2.88% 1.872.357.431 12.64% Corporates 426.564.573 **Mutual Funds** 243.035.521 1.64% 208.373.332 1.41% 34.662.189 0.23% GRE's 0 0.00% 0 0.00% 0 0.00% Institution DPMs 108.103.097 0.73% 190.056.046 1.28% -81.952.949 -0.55% Sub Total (Institutions) 2,650,060,623 17.89% 824,993,951 5.57% 1,825,066,671 12.32% Total Saudi Investors -614,525,543 13,257,175,468 89.48% 13,871,701,011 93.63% -4.15% Individuals 26.927.639 0.18% 44.503.241 0.30% -17.575.602 -0.12% Institutions 647.870.921 4.37% 289.990.464 1.96% 357,880,457 2.42% GCC GCC DPMs 756,238 0.01% 0.01% -137,501 0.00% 893,739 Total GCC Investors 675.554.798 4.56% 335.387.444 2.26% 340.167.354 2.30% **SWAP Holders** 172,706,480 1.17% 201,637,181 1.36% -28,930,700 -0.20% Foreign Residents 160.637.361 1.08% 211.965.849 1.43% -51.328.488 -0.35% QFIs 549,790,870 3.71% 195,173,493 1.32% 354,617,377 2.39% Foreign Foreign DPMs 0.00% 0.00% 0.00% 0 Strategic Partners 0.00% 0.00% 0.00% Total Foreign Investors 883,134,712 5.96% 608,776,523 4.11% 274,358,189 1.85% **Grand Total** 14,815,864,979 14,815,864,979 100.00% 100.00%



Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification									
	Buy	/	Sell Net		Net Value	Value Traded			
Investor Classification	SAR	% of Total Buys	SAR	% of Total Sells		SAR (Buy - Sell)	Difference (% Buy - % Sell)		
Institutional	4,558,133,235	30.77%	2,116,017,615	14.28%		2,442,115,620	16.48%		
Non-Institutional	10,257,731,744	69.23%	12,699,847,364	85.72%		-2,442,115,620	-16.48%		
Grand Total	14,815,864,979	100.00%	14,815,864,979	100.00%					

#### **Definitions**

#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



## Ownership Value (by Nationality and Investor Type)

#### Main Market Ownership Breakdown - By Nationality and Investor Type As of 12 July 2018 As of 19 July 2018 **Weekly Change Nationality Investor Type** Δ Ownership **Holding Value** Ownership **Holding Value** Ownership Δ Holding Value (SAR) (%) (SAR) (%) (SAR) (%) Individuals: Retail 79,258,806,057 3.99% 80,200,985,757 3.99% 942,179,700 0.00% **HNWIs** 158,650,138,364 7.99% 160,055,039,021 7.96% 1,404,900,657 -0.03% **IPIs** 271.958.070.931 13.70% 274.340.819.995 13.65% 2.382.749.064 -0.05% Individual DPMs 5.683.771.541 0.29% 5.750.114.883 0.29% 66.343.342 0.00% Sub Total (Individuals) 515,550,786,893 25.98% 520,346,959,656 25.89% 4,796,172,763 -0.09% Institutions: Saudi 1.636.589.992 308.333.333.236 309.969.923.227 15.43% -0.11% Corporates 15.54% **Mutual Funds** 180.163.782.542 9.08% 182.388.421.599 9.08% 2.224.639.057 0.00% GRE's 775,690,306,412 39.09% 787,848,758,750 39.21% 12,158,452,338 0.12% Institution DPMs 63 955 969 234 3.22% 64.604.959.384 3.21% 648.990.150 -0.01% Sub Total (Institutions) 1,328,143,391,423 66.93% 1,344,812,062,960 66.92% 16,668,671,537 -0.01% Total Saudi Investors 21,464,844,300 1,843,694,178,316 92.91% 1,865,159,022,616 92.82% -0.09% Individuals 3.267.665.949 0.16% 3.283.167.292 0.16% 15.501.343 0.00% Institutions 37.226.853.951 1.88% 37.942.114.983 1.89% 715.261.032 0.01% **GCC** GCC DPMs 685,932,138 0.03% 0.03% 8,006,372 0.00% 693,938,510 Total GCC Investors 41.180.452.038 2.08% 41.919.220.785 2.09% 738.768.747 0.01% **SWAP Holders** 16,048,162,965 0.81% 16,174,363,600 0.80% 126,200,635 0.00% Foreign Residents 4.139.170.094 0.21% 4.150.277.034 0.21% 11.106.940 0.00% **QFIs** 19,193,980,160 0.97% 19,593,430,680 0.98% 399,450,520 0.01% **Foreign** Foreign DPMs 8.776.187 0.00% 9.069.684 0.00% 293.497 0.00% 3.03% 2.322.109.604 Strategic Partners 60.183.884.305 62.505.993.909 3.11% 0.08% Total Foreign Investors 99,573,973,711 5.02% 102,433,134,908 5.10% 2,859,161,197 0.08% **Grand Total** 1,984,448,604,066 2,009,511,378,310 100.00% 25,062,774,244 100.00%



### Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification										
	As of 12 July 2018		As of 19 July 2018		Weekly Change					
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	∆ Holding Value (SAR)	∆ Ownership (%)				
Institutional	1,739,132,823,602	87.64%	1,761,821,909,206	87.67%	22,689,085,603	0.04%				
Non-Institutional	245,315,780,464	12.36%	247,689,469,104	12.33%	2,373,688,641	-0.04%				
<b>Grand Total</b>	1,984,448,604,066	100.00%	2,009,511,378,310	100.00%	25,062,774,244					

#### **Definitions**

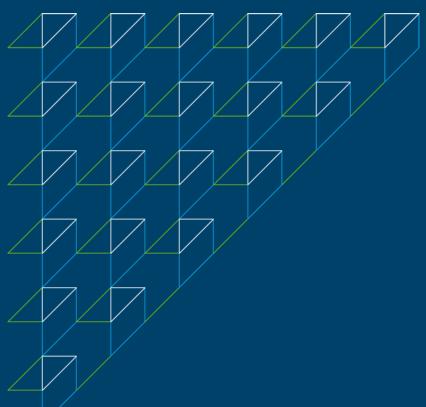
#### Institutional:

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#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

## Nomu-Parallel Market - Value Traded & Ownership Breakdown



## Weekly Report – Nomu-Parallel Market



(% Buy - % Sell)

-20.66%

20.66%

(Buy - Sell)

-901,812

#### Value Traded (by Nationality, Investor Type, & Classification)

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Se
	Individuals	4,256,365	97.51%	3,356,664	76.90%	899,700	20.61
Coudi	Corporates	0	0.00%	291,032	6.67%	-291,032	-6.67
Saudi	Institution	0	0.00%	644,306	14.76%	-644,306	-14.76
	Total Saudi Investors	4,256,365	97.51%	4,292,002	98.33%	-35,637	-0.82
GCC	Individuals	0	0.00%	0	0.00%	0	0.00
	Institutions	0	0.00%	0	0.00%	0	0.00
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00
	SWAP Holders	0	0.00%	0	0.00%	0	0.00
Fausian	Foreign Residents	30,414	0.70%	28,302	0.65%	2,112	0.05
Foreign	QFIs	78,273	1.79%	44,748	1.03%	33,525	0.77
	Total Foreign Investors	108,687	2.49%	73,050	1.67%	35,637	0.82
Grand Total		4,365,052	100.00%	4,365,052	100.00%		
Nomu-Pai	rallel Market Value T	raded Break	down - By Inve	stor Classific	cation		
Investor Classification		Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR	Difference

#### Institutional:

Non-Institutional

**Grand Total** 

Institutional

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

980,086

3,384,967

4,365,052

22.45%

77.55%

100.00%

1.79%

98.21%

100.00%

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

78,273

4,286,779

4,365,052

## Weekly Report – Nomu-Parallel Market



## Ownership Value (by Nationality, Investor Type, & Classification)

Nationality	Investor Type	As of 12 July 2018		As of 19 July 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
	Individuals	2,091,572,059	91.29%	2,064,123,611	91.39%	-27,448,448	0.10%
Saudi	Corporates	106,824,313	4.66%	102,822,166	4.55%	-4,002,147	-0.11%
	Institution	69,275,092	3.02%	66,970,745	2.97%	-2,304,348	-0.06%
	Total Saudi Investors	2,267,671,464	98.97%	2,233,916,521	98.91%	-33,754,943	-0.07%
GCC	Individuals	27,834	0.00%	27,581	0.00%	-254	0.00%
	Institutions	13,324,898	0.58%	13,560,043	0.60%	235,145	0.02%
	Total GCC Investors	13,352,732	0.58%	13,587,624	0.60%	234,892	0.02%
Foreign	SWAP Holders	782,799	0.03%	769,482	0.03%	-13,317	0.00%
	Foreign Residents	2,460,563	0.11%	2,398,345	0.11%	-62,218	0.00%
	QFIs	6,897,393	0.30%	7,899,229	0.35%	1,001,836	0.05%
	Total Foreign Investors	10,140,755	0.44%	11,067,056	0.49%	926,301	0.05%
Grand Total		2,291,164,950	100.00%	2,258,571,200	100.00%	-32,593,750	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
	As of 12 J	uly 2018	018 As of 19 July 2018		Weekly C	Weekly Change			
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)		
Institutional	197,104,494	8.60%	192,021,664	8.50%		-5,082,830	-0.10%		
Non-Institutional	2,094,060,456	91.40%	2,066,549,536	91.50%		-27,510,920	0.10%		
Grand Total	2,291,164,950	100.00%	2,258,571,200	100.00%		-32,593,750			

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



