

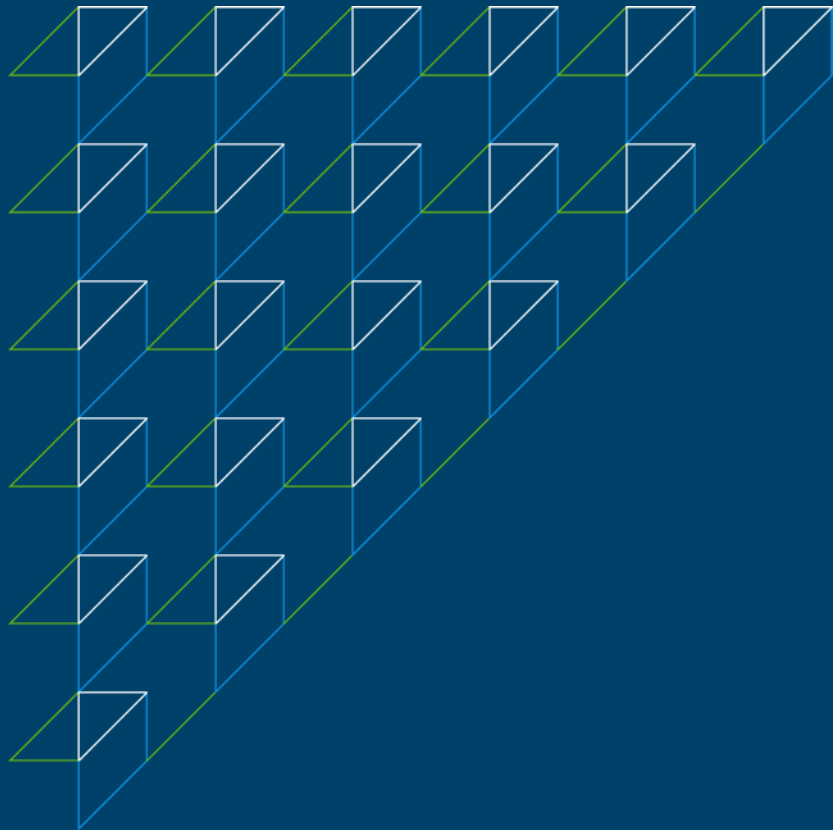
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 29 October 2020



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	27,758,430,134	62.77%	28,749,952,987	65.01%	-991,522,853	-2.24%	
	HNWIs	9,912,701,522	22.42%	10,037,908,681	22.70%	-125,207,159	-0.28%	
	IPIs	958,304,449	2.17%	713,802,556	1.61%	244,501,893	0.55%	
	Individual DPMS	317,740,784	0.72%	410,851,124	0.93%	-93,110,340	-0.21%	
	Sub Total (Individuals)	38,947,176,888	88.07%	39,912,515,348	90.26%	-965,338,460	-2.18%	
	Institutions:							
	Corporates	1,260,750,033	2.85%	548,361,067	1.24%	712,388,967	1.61%	
	Mutual Funds	610,894,188	1.38%	786,713,116	1.78%	-175,818,929	-0.40%	
	GRE's	119,289,738	0.27%	20,623,919	0.05%	98,665,819	0.22%	
	Institution DPMS	341,407,919	0.77%	172,168,430	0.39%	169,239,489	0.38%	
	Sub Total (Institutions)	2,332,341,878	5.27%	1,527,866,531	3.45%	804,475,347	1.82%	
	Total Saudi Investors	41,279,518,766	93.35%	41,440,381,879	93.71%	-160,863,113	-0.36%	
GCC	Individuals	61,872,972	0.14%	78,979,406	0.18%	-17,106,434	-0.04%	
	Institutions	259,284,234	0.59%	238,207,937	0.54%	21,076,296	0.05%	
	GCC DPMS	605,040	0.00%	16,032,011	0.04%	-15,426,970	-0.03%	
	Total GCC Investors	321,762,245	0.73%	333,219,354	0.75%	-11,457,109	-0.03%	
Foreign	SWAP Holders	26,749,975	0.06%	6,625,196	0.01%	20,124,780	0.05%	
	Foreign Residents & Others	934,756,004	2.11%	958,877,462	2.17%	-24,121,458	-0.05%	
	QFIs	1,658,141,811	3.75%	1,480,735,669	3.35%	177,406,142	0.40%	
	Foreign DPMS	981,846	0.00%	2,071,088	0.00%	-1,089,242	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
Total Foreign Investors	2,620,629,637	5.93%	2,448,309,415	5.54%	172,320,221	0.39%		
Grand Total		44,221,910,648	100.00%	44,221,910,648	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,554,150,017	12.56%	4,396,192,113	9.94%	1,157,957,905	2.62%
Non-Institutional	38,667,760,631	87.44%	39,825,718,536	90.06%	-1,157,957,905	-2.62%
Grand Total	44,221,910,648	100.00%	44,221,910,648	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 22 October 2020			As of 29 October 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	128,021,380,568	1.41%	10.15%	111,155,689,914	1.29%	9.44%	-16,865,690,654	-0.12%	-0.71%
	HNWIs	175,263,364,766	1.93%	13.71%	164,050,373,012	1.90%	13.81%	-11,212,991,754	-0.02%	0.09%
	IPIs	292,192,361,682	3.21%	16.76%	272,944,457,646	3.16%	16.94%	-19,247,904,036	-0.05%	0.18%
	Individual DPMs	6,145,511,067	0.07%	0.48%	5,613,766,389	0.07%	0.48%	-531,744,678	0.00%	-0.01%
	Sub Total (Individuals)	601,622,618,083	6.61%	41.11%	553,764,286,961	6.41%	40.66%	-47,858,331,123	-0.19%	-0.44%
	Institutions:									
	Corporates	613,744,171,051	6.74%	22.37%	570,610,246,046	6.61%	22.58%	-43,133,925,005	-0.13%	0.21%
	Mutual Funds	207,734,969,475	2.28%	11.00%	190,177,624,006	2.20%	11.03%	-17,557,345,469	-0.08%	0.03%
	GRE's	7,385,144,199,039	81.12%	6.64%	7,043,573,530,162	81.58%	6.65%	-341,570,668,878	0.46%	0.02%
	Institution DPMs	49,425,971,414	0.54%	3.91%	46,391,936,099	0.54%	3.94%	-3,034,035,315	-0.01%	0.04%
Sub Total (Institutions)	8,256,049,310,979	90.68%	43.91%	7,850,753,336,313	90.93%	44.21%	-405,295,974,667	0.25%	0.30%	
Total Saudi Investors	8,857,671,929,063	97.29%	85.02%	8,404,517,623,273	97.34%	84.87%	-453,154,305,789	0.05%	-0.15%	
GCC	Individuals	2,592,450,239	0.03%	0.20%	2,372,260,401	0.03%	0.20%	-220,189,838	0.00%	0.00%
	Institutions	40,035,489,349	0.44%	2.07%	36,820,019,269	0.43%	2.08%	-3,215,470,080	-0.01%	0.01%
	GCC DPMs	272,579,417	0.00%	0.02%	235,936,772	0.00%	0.02%	-36,642,645	0.00%	0.00%
	Total GCC Investors	42,900,519,005	0.47%	2.30%	39,428,216,442	0.46%	2.30%	-3,472,302,563	-0.01%	0.00%
Foreign	SWAP Holders	2,079,381,216	0.02%	0.16%	1,926,194,029	0.02%	0.16%	-153,187,187	0.00%	0.00%
	Foreign Residents & Others	7,818,060,333	0.09%	0.48%	7,205,125,986	0.08%	0.52%	-612,934,347	0.00%	0.04%
	QFIs	152,030,601,228	1.67%	11.91%	142,637,264,942	1.65%	12.02%	-9,393,336,286	-0.02%	0.11%
	Foreign DPMs	1,608,553,151	0.02%	0.13%	1,498,514,132	0.02%	0.13%	-110,039,019	0.00%	0.00%
	Strategic Investors	40,043,028,016	0.44%	0.00%	36,535,342,917	0.42%	0.00%	-3,507,685,099	-0.02%	0.00%
Total Foreign Investors	203,579,623,943	2.24%	12.68%	189,802,442,005	2.20%	12.83%	-13,777,181,938	-0.04%	0.14%	
Grand Total		9,104,152,072,011	100.00%	100.00%	8,633,748,281,721	100.00%	100.00%	-470,403,790,290		

* Non deposited shares was not reflected as on 29 October holding value (Al Kathiri Holding Co. 3008 - Corporate Action -Right Issue)

* Non deposited shares was not reflected as on 29 October holding value (Mobile Telecommunication Company Saudi Arabia. 7030 - Corporate Action -Right Issue)

* Non deposited shares was not reflected as on 29 October holding value (Anaam International Holding Group. 4061 - Corporate Action -Right Issue)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 22 October 2020			As of 29 October 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,792,073,922,291	96.55%	75.45%	8,350,425,942,695	96.70%	76.04%	-441,647,979,596	0.15%	0.58%
Non-Institutional	312,078,149,720	3.45%	24.55%	283,322,339,026	3.30%	23.96%	-28,755,810,694	-0.15%	-0.58%
Grand Total	9,104,152,072,011	100.00%	100.00%	8,633,748,281,721	100.00%	100.00%	-470,403,790,290		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	245,267,211	93.73%	252,158,483	96.37%	-6,891,272	-2.63%
	Corporates	9,133,158	3.49%	3,097,762	1.18%	6,035,396	2.31%
	Institution	34,029	0.01%	0	0.00%	34,029	0.01%
	Total Saudi Investors	254,434,398	97.24%	255,256,245	97.55%	-821,847	-0.31%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	5,949,497	2.27%	5,416,459	2.07%	533,037	0.20%
	QFIs	1,278,595	0.49%	989,786	0.38%	288,810	0.11%
	Total Foreign Investors	7,228,092	2.76%	6,406,245	2.45%	821,847	0.31%
Grand Total		261,662,490	100.00%	261,662,490	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	10,445,782	3.99%	4,087,548	1.56%	6,358,234	2.43%
Non-Institutional	251,216,708	96.01%	257,574,942	98.44%	-6,358,234	-2.43%
Grand Total	261,662,490	100.00%	261,662,490	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 22 October 2020			As of 29 October 2020			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	5,048,818,366	62.36%	66.96%	5,368,564,770	64.80%	67.24%	319,746,404	2.44%	0.28%
	Corporates	2,936,348,878	36.27%	29.47%	2,804,523,048	33.85%	29.23%	-131,825,831	-2.41%	-0.25%
	Institution	11,541,136	0.14%	0.25%	11,443,302	0.14%	0.25%	-97,835	0.00%	0.00%
	Total Saudi Investors	7,996,708,381	98.77%	96.68%	8,184,531,119	98.79%	96.72%	187,822,739	0.03%	0.04%
GCC	Individuals	3,033,202	0.04%	0.10%	2,945,916	0.04%	0.10%	-87,286	0.00%	0.00%
	Institutions	90,162,155	1.11%	3.00%	87,567,561	1.06%	2.88%	-2,594,594	-0.06%	-0.13%
	Total GCC Investors	93,195,358	1.15%	3.10%	90,513,477	1.09%	2.97%	-2,681,881	-0.06%	-0.13%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	5,374,844	0.07%	0.18%	8,344,185	0.10%	0.27%	2,969,341	0.03%	0.10%
	QFIs	1,221,418	0.02%	0.04%	1,111,219	0.01%	0.04%	-110,199	0.00%	0.00%
	Total Foreign Investors	6,596,262	0.08%	0.22%	9,455,404	0.11%	0.31%	2,859,142	0.03%	0.09%
Grand Total		8,096,500,000	100.00%	100.00%	8,284,500,000	100.00%	100.00%	188,000,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 22 October 2020			As of 29 October 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	3,039,273,588	37.54%	32.76%	2,904,645,129	35.06%	32.39%	-134,628,459	-2.48%	-0.37%
Non-Institutional	5,057,226,413	62.46%	67.24%	5,379,854,871	64.94%	67.61%	322,628,459	2.48%	0.37%
Grand Total	8,096,500,000	100%	100%	8,284,500,000	100%	100%	188,000,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

