

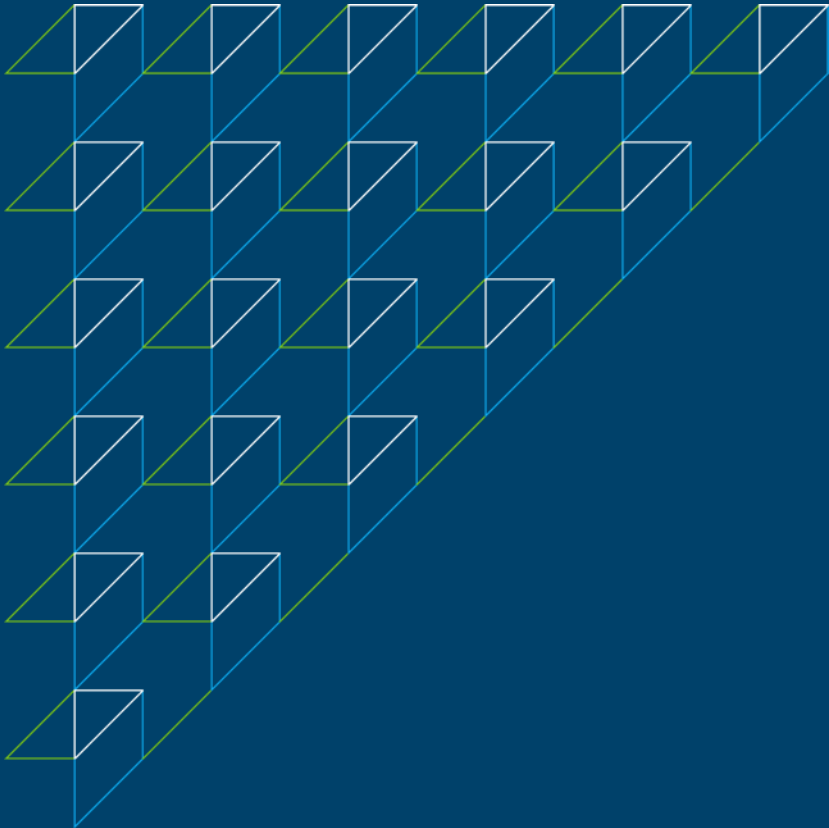
# Monthly Stock Market Ownership and Trading Activity Report

Market Reports

Month Ending 28 February 2018



# Definitions



# Monthly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	<b>Individuals*</b>	<b>Saudi Individuals</b> have been classified under 4 categories as defined below:
	- Retail	<b>Retail</b> investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	- High Net Worth Investors ( <b>HNWIs</b> )	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	- Individual Professional Investors ( <b>IPIs</b> )	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	<b>Saudi Institutions</b> have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities ( <b>GREs</b> )	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	<b>Individuals*</b>	<b>GCC natural person</b>
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	<b>GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents*</b>	<b>Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).</b>
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	<b>Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).</b>
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel  
Market Individual  
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

# Main Market - Highlights

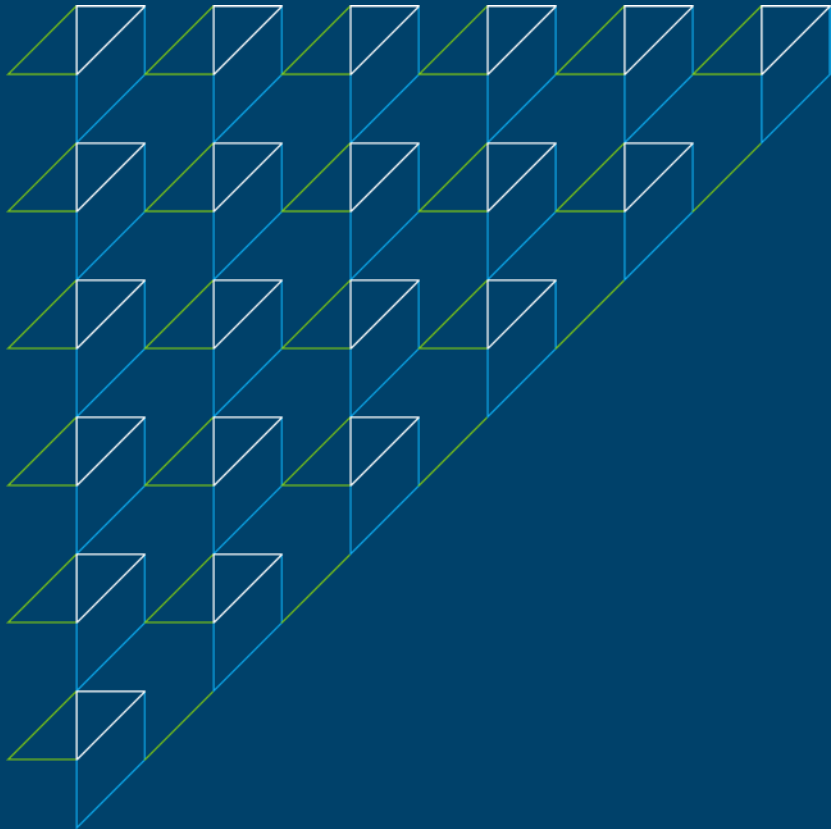


The total value of shares traded for the month ending 28 February 2018 amounted to SAR 67.75 billion, decreasing by 17.34% over the previous month; while total stock market capitalization reached SAR 1,745.77 billion at the end of this period, decreasing by 2.57% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 62.13 billion representing 91.70% of total buying activity, and sales of SAR 63.47 billion representing 93.68% of total selling activity. Total ownership of “Saudi Investors” stood at 93.43% of total market capitalization as of 28 February 2018, representing a decrease of 0.10% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.55 billion representing 2.29% of total buying activity, and sales of SAR 1.57 billion representing 2.32% of total selling activity. Total ownership of “GCC Investors” stood at 2.05% of total market capitalization as of 28 February 2018, representing an increase of 0.005% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 4.07 billion representing 6.01% of total buying activity, and sales of SAR 2.71 billion representing 4.00% of total selling activity. Total ownership of “Foreign Investors” stood at 4.51% of total market capitalization as of 28 February 2018, representing an increase of 0.10% from the previous month.



# Main Market - Value Traded & Ownership Breakdown



# Monthly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	27,003,656,847	39.86%	33,393,369,541	49.29%	-6,389,712,695	-9.43%
	HNWIs	21,417,168,348	31.61%	21,280,286,825	31.41%	136,881,523	0.20%
	IPIs	1,736,916,458	2.56%	3,249,164,529	4.80%	-1,512,248,071	-2.23%
	Individual DPMs	606,297,576	0.89%	623,487,399	0.92%	-17,189,824	-0.03%
	<b>Sub Total (Individuals)</b>	<b>50,764,039,229</b>	<b>74.93%</b>	<b>58,546,308,294</b>	<b>86.41%</b>	<b>-7,782,269,065</b>	<b>-11.49%</b>
	<b>Institutions:</b>						
	Corporates	7,599,973,611	11.22%	2,212,479,646	3.27%	5,387,493,966	7.95%
	Mutual Funds	2,978,240,135	4.40%	1,935,635,026	2.86%	1,042,605,109	1.54%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	783,812,075	1.16%	772,932,469	1.14%	10,879,606	0.02%
	<b>Sub Total (Institutions)</b>	<b>11,362,025,821</b>	<b>16.77%</b>	<b>4,921,047,141</b>	<b>7.26%</b>	<b>6,440,978,680</b>	<b>9.51%</b>
	<b>Total Saudi Investors</b>	<b>62,126,065,050</b>	<b>91.70%</b>	<b>63,467,355,435</b>	<b>93.68%</b>	<b>-1,341,290,385</b>	<b>-1.98%</b>
GCC	Individuals	208,310,770	0.31%	135,008,724	0.20%	73,302,046	0.11%
	Institutions	1,335,919,849	1.97%	1,430,294,895	2.11%	-94,375,046	-0.14%
	GCC DPMs	6,547,494	0.01%	5,880,314	0.01%	667,180	0.00%
	<b>Total GCC Investors</b>	<b>1,550,778,113</b>	<b>2.29%</b>	<b>1,571,183,933</b>	<b>2.32%</b>	<b>-20,405,819</b>	<b>-0.03%</b>
Foreign	SWAP Holders	1,661,385,221	2.45%	638,780,309	0.94%	1,022,604,913	1.51%
	Foreign Residents	835,471,085	1.23%	1,015,489,585	1.50%	-180,018,500	-0.27%
	QFIs	1,517,848,212	2.24%	1,012,086,469	1.49%	505,761,742	0.75%
	Foreign DPMs	59,337,491	0.09%	43,987,076	0.06%	15,350,415	0.02%
	Strategic Partners	0	0.00%	2,002,366	0.00%	-2,002,366	0.00%
	<b>Total Foreign Investors</b>	<b>4,074,042,009</b>	<b>6.01%</b>	<b>2,712,345,805</b>	<b>4.00%</b>	<b>1,361,696,204</b>	<b>2.01%</b>
<b>Grand Total</b>		<b>67,750,885,172</b>	<b>100.00%</b>	<b>67,750,885,172</b>	<b>100.00%</b>		

# Monthly Report - Main Market

## Value Traded (by Investor Classification)

### Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	18,286,278,122	26.99%	11,926,730,497	17.60%	6,359,547,625	9.39%
Non-Institutional	49,464,607,050	73.01%	55,824,154,675	82.40%	-6,359,547,625	-9.39%
<b>Grand Total</b>	<b>67,750,885,172</b>	<b>100.00%</b>	<b>67,750,885,172</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



## Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 31 January 2018		As of 28 February 2018		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	<b>Individuals:</b>						
	Retail	74,762,678,593	4.17%	72,864,717,941	4.17%	-1,897,960,652	0.00%
	HNWIs	141,880,692,704	7.92%	138,606,319,652	7.94%	-3,274,373,052	0.02%
	IPIs	264,663,974,469	14.77%	252,550,803,070	14.47%	-12,113,171,399	-0.30%
	Individual DPMs	4,356,183,351	0.24%	5,004,178,540	0.29%	647,995,189	0.04%
	<b>Sub Total (Individuals)</b>	<b>485,663,529,116</b>	<b>27.10%</b>	<b>469,026,019,203</b>	<b>26.87%</b>	<b>-16,637,509,913</b>	<b>-0.24%</b>
	<b>Institutions:</b>						
	Corporates	291,639,463,979	16.28%	283,565,345,824	16.24%	-8,074,118,156	-0.03%
	Mutual Funds	169,409,498,638	9.45%	163,855,717,802	9.39%	-5,553,780,836	-0.07%
	GRE's	676,640,671,875	37.76%	661,868,078,287	37.91%	-14,772,593,589	0.15%
	Institution DPMs	52,749,443,059	2.94%	52,842,005,379	3.03%	92,562,319	0.08%
	<b>Sub Total (Institutions)</b>	<b>1,190,439,077,552</b>	<b>66.43%</b>	<b>1,162,131,147,291</b>	<b>66.57%</b>	<b>-28,307,930,262</b>	<b>0.13%</b>
<b>Total Saudi Investors</b>	<b>1,676,102,606,668</b>	<b>93.54%</b>	<b>1,631,157,166,494</b>	<b>93.43%</b>	<b>-44,945,440,175</b>	<b>-0.10%</b>	
GCC	Individuals	3,024,380,800	0.17%	3,019,047,872	0.17%	-5,332,928	0.00%
	Institutions	33,090,553,364	1.85%	32,249,172,901	1.85%	-841,380,464	0.00%
	GCC DPMs	592,834,306	0.03%	579,552,974	0.03%	-13,281,332	0.00%
	<b>Total GCC Investors</b>	<b>36,707,768,470</b>	<b>2.05%</b>	<b>35,847,773,747</b>	<b>2.05%</b>	<b>-859,994,723</b>	<b>0.00%</b>
Foreign	SWAP Holders	10,160,437,058	0.57%	11,121,270,963	0.64%	960,833,905	0.07%
	Foreign Residents	4,374,432,175	0.24%	4,152,044,084	0.24%	-222,388,091	-0.01%
	QFIs	8,628,093,706	0.48%	12,296,641,401	0.70%	3,668,547,695	0.22%
	Foreign DPMs	3,173,081,146	0.18%	7,580,832	0.00%	-3,165,500,314	-0.18%
	Strategic Partners	52,742,586,995	2.94%	51,185,798,622	2.93%	-1,556,788,373	-0.01%
<b>Total Foreign Investors</b>	<b>79,078,631,082</b>	<b>4.41%</b>	<b>78,763,335,903</b>	<b>4.51%</b>	<b>-315,295,179</b>	<b>0.10%</b>	
<b>Grand Total</b>		<b>1,791,889,006,220</b>	<b>100.00%</b>	<b>1,745,768,276,143</b>	<b>100.00%</b>	<b>-46,120,730,077</b>	

# Monthly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 31 January 2018		As of 28 February 2018		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,567,846,821,948	87.50%	1,527,126,146,594	87.48%	-40,720,675,355	-0.02%
Non-Institutional	224,042,184,272	12.50%	218,642,129,550	12.52%	-5,400,054,722	0.02%
<b>Grand Total</b>	<b>1,791,889,006,220</b>	<b>100.00%</b>	<b>1,745,768,276,143</b>	<b>100.00%</b>	<b>-46,120,730,077</b>	

## Definitions

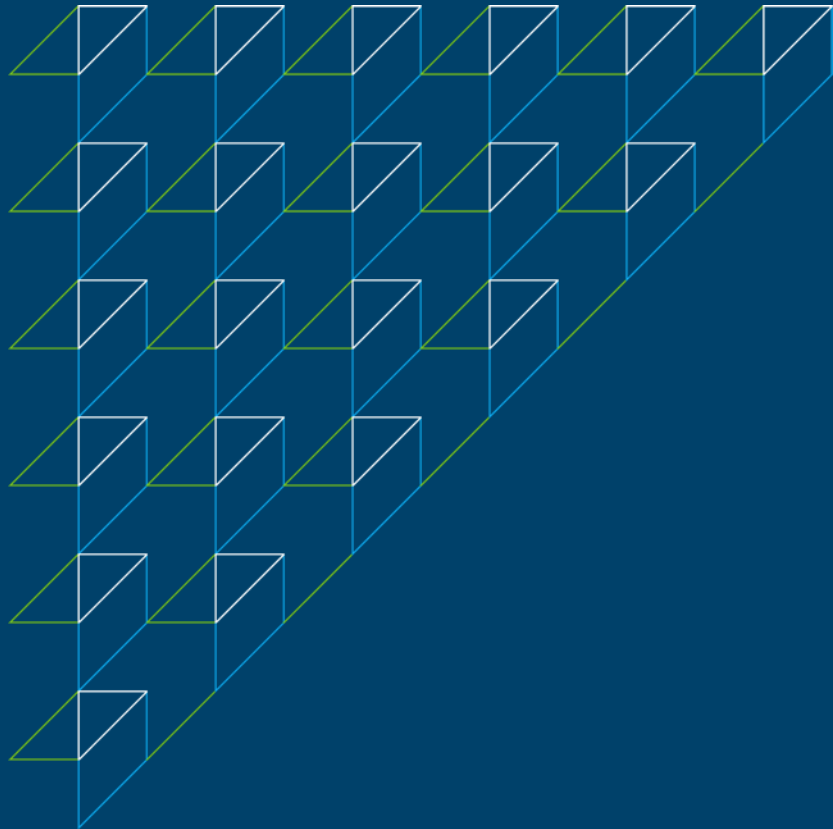
### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Nomu-Parallel Market - Highlights

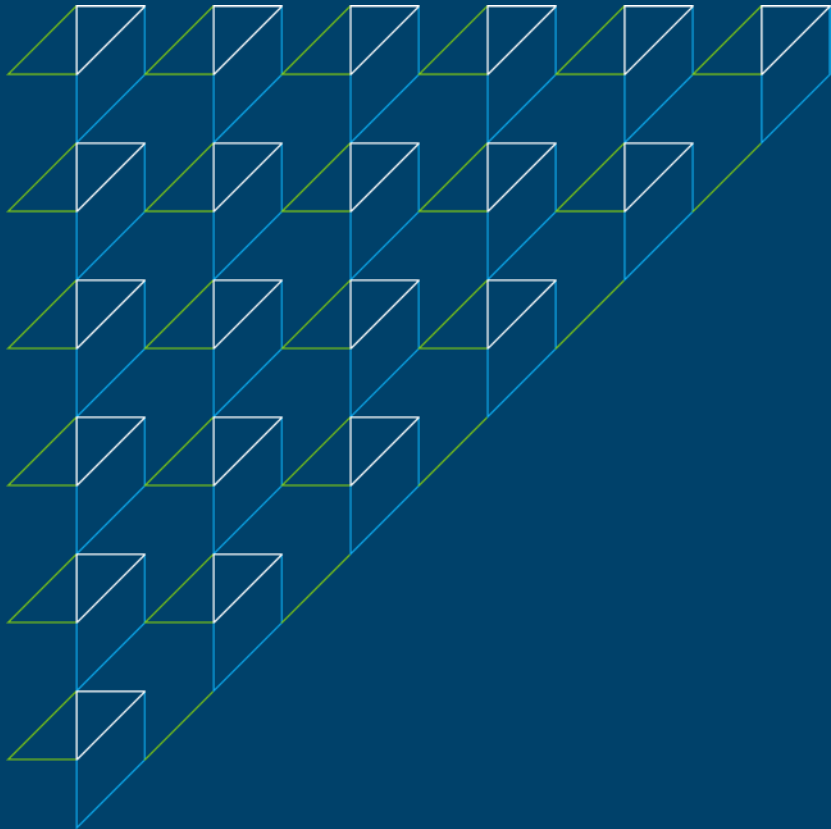


The total value of shares traded for the month ending 28 February 2018 amounted to SAR 39.73 million, increasing by 30.54% over the previous month; while total stock market capitalization reached SAR 2,238.99 million at the end of this period, increasing by 2.35% over the previous month.



- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 38.70 million representing 97.40% of total buying activity, and sales of SAR 39.25 million representing 98.78% of total selling activity. Total ownership of “Saudi Investors” stood at 99.05% of total market capitalization as of 28 February 2018, representing an increase of 0.01% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.00 million representing 0.00% of total buying activity, and sales of SAR 0.00 million representing 0.00% of total selling activity. Total ownership of “GCC Investors” stood at 0.60% of total market capitalization as of 28 February 2018, representing a decrease of 0.02% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 1.03 million representing 2.60% of total buying activity, and sales of SAR 0.48 million representing 1.22% of total selling activity. Total ownership of “Foreign Investors” stood at 0.36% of total market capitalization as of 28 February 2018 representing an increase of 0.01% from the previous month.

# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Monthly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	34,138,896	85.93%	34,323,801	86.39%	-184,904	-0.47%
	Corporates	3,330,269	8.38%	184,507	0.46%	3,145,763	7.92%
	Institution	1,225,970	3.09%	4,738,259	11.93%	-3,512,290	-8.84%
	<b>Total Saudi Investors</b>	<b>38,695,136</b>	<b>97.40%</b>	<b>39,246,567</b>	<b>98.78%</b>	<b>-551,431</b>	<b>-1.39%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	246,770	0.62%	483,463	1.22%	-236,693	-0.60%
	QFIs	788,124	1.98%	0	0.00%	788,124	1.98%
	<b>Total Foreign Investors</b>	<b>1,034,895</b>	<b>2.60%</b>	<b>483,463</b>	<b>1.22%</b>	<b>551,431</b>	<b>1.39%</b>
<b>Grand Total</b>		<b>39,730,030</b>	<b>100.00%</b>	<b>39,730,030</b>	<b>100.00%</b>		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,344,363	13.45%	4,922,766	12.39%	421,597	1.06%
Non-Institutional	34,385,667	86.55%	34,807,264	87.61%	-421,597	-1.06%
<b>Grand Total</b>	<b>39,730,030</b>	<b>100.00%</b>	<b>39,730,030</b>	<b>100.00%</b>		

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Monthly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 31 January 2018		As of 28 February 2018		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,944,826,771	88.90%	1,991,523,653	88.95%	46,696,882	0.04%
	Corporates	120,388,855	5.50%	125,207,825	5.59%	4,818,971	0.09%
	Institution	101,295,856	4.63%	100,945,894	4.51%	-349,962	-0.12%
	<b>Total Saudi Investors</b>	<b>2,166,511,482</b>	<b>99.04%</b>	<b>2,217,677,373</b>	<b>99.05%</b>	<b>51,165,891</b>	<b>0.01%</b>
GCC	Individuals	30,116	0.00%	29,862	0.00%	-254	0.00%
	Institutions	13,551,777	0.62%	13,334,098	0.60%	-217,679	-0.02%
	<b>Total GCC Investors</b>	<b>13,581,893</b>	<b>0.62%</b>	<b>13,363,961</b>	<b>0.60%</b>	<b>-217,932</b>	<b>-0.02%</b>
Foreign	SWAP Holders	249,462	0.01%	224,107	0.01%	-25,356	0.00%
	Foreign Residents	3,092,487	0.14%	2,722,076	0.12%	-370,412	-0.02%
	QFIs	4,157,731	0.19%	5,005,925	0.22%	848,194	0.03%
	<b>Total Foreign Investors</b>	<b>7,499,680</b>	<b>0.34%</b>	<b>7,952,107</b>	<b>0.36%</b>	<b>452,427</b>	<b>0.01%</b>
<b>Grand Total</b>		<b>2,187,593,055</b>	<b>100.00%</b>	<b>2,238,993,440</b>	<b>100.00%</b>	<b>51,400,385</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 31 January 2018		As of 28 February 2018		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	239,643,681	10.95%	244,717,849	10.93%	5,074,168	-0.02%
Non-Institutional	1,947,949,374	89.05%	1,994,275,591	89.07%	46,326,217	0.02%
<b>Grand Total</b>	<b>2,187,593,055</b>	<b>100.00%</b>	<b>2,238,993,440</b>	<b>100.00%</b>	<b>51,400,385</b>	

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



السوق المالية السعودية  
Saudi Stock Exchange

تداول  
Tadawul

Thank you

