

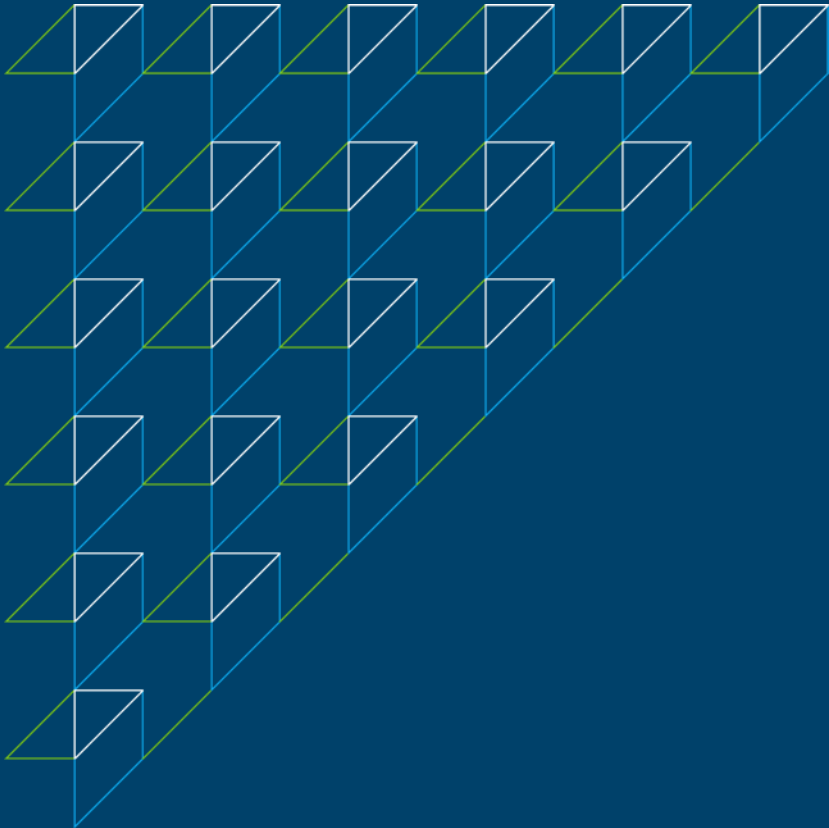
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 01 November 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	7,409,231,086	43.15%	9,057,321,493	52.75%	-1,648,090,407	-9.60%
	HNWIs	5,292,040,710	30.82%	5,154,762,822	30.02%	137,277,888	0.80%
	IPIs	660,870,563	3.85%	617,505,828	3.60%	43,364,736	0.25%
	Individual DPMs	92,651,346	0.54%	89,618,842	0.52%	3,032,505	0.02%
	Sub Total (Individuals)	13,454,793,706	78.35%	14,919,208,984	86.88%	-1,464,415,278	-8.53%
	Institutions:						
	Corporates	2,065,387,063	12.03%	340,711,874	1.98%	1,724,675,189	10.04%
	Mutual Funds	246,746,836	1.44%	255,878,970	1.49%	-9,132,134	-0.05%
	GRE's	30,935,688	0.18%	173,100	0.00%	30,762,588	0.18%
	Institution DPMs	131,303,873	0.76%	440,867,430	2.57%	-309,563,557	-1.80%
	Sub Total (Institutions)	2,474,373,459	14.41%	1,037,631,374	6.04%	1,436,742,086	8.37%
Total Saudi Investors	15,929,167,165	92.76%	15,956,840,358	92.93%	-27,673,193	-0.16%	
GCC	Individuals	75,829,958	0.44%	55,104,809	0.32%	20,725,149	0.12%
	Institutions	317,461,172	1.85%	221,932,617	1.29%	95,528,556	0.56%
	GCC DPMs	647,982	0.00%	0	0.00%	647,982	0.00%
	Total GCC Investors	393,939,111	2.29%	277,037,425	1.61%	116,901,686	0.68%
Foreign	SWAP Holders	97,679,801	0.57%	149,849,972	0.87%	-52,170,170	-0.30%
	Foreign Residents	232,123,346	1.35%	270,922,423	1.58%	-38,799,077	-0.23%
	QFIs	518,631,957	3.02%	517,009,776	3.01%	1,622,182	0.01%
	Foreign DPMs	118,572	0.00%	0	0.00%	118,572	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	848,553,677	4.94%	937,782,170	5.46%	-89,228,494	-0.52%
Grand Total		17,171,659,953	100.00%	17,171,659,953	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,162,434,854	24.24%	2,633,548,407	15.34%	1,528,886,447	8.90%
Non-Institutional	13,009,225,099	75.76%	14,538,111,547	84.66%	-1,528,886,447	-8.90%
Grand Total	17,171,659,953	100.00%	17,171,659,953	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 25 October 2018		As of 01 November 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	72,928,603,334	3.89%	72,213,068,325	3.85%	-715,535,009	-0.04%
	HNWIs	141,974,919,965	7.58%	143,834,069,661	7.67%	1,859,149,696	0.10%
	IPIs	253,120,568,048	13.51%	253,289,165,108	13.51%	168,597,060	0.01%
	Individual DPMs	5,164,644,819	0.28%	5,055,975,389	0.27%	-108,669,429	-0.01%
	Sub Total (Individuals)	473,188,736,166	25.25%	474,392,278,483	25.31%	1,203,542,317	0.06%
	Institutions:						
	Corporates	288,959,368,654	15.42%	291,380,193,931	15.55%	2,420,825,277	0.13%
	Mutual Funds	89,114,664,348	4.76%	89,798,323,903	4.79%	683,659,556	0.04%
	GRE's	768,037,019,119	40.98%	759,950,228,570	40.55%	-8,086,790,549	-0.43%
	Institution DPMs	128,147,378,171	6.84%	132,985,848,926	7.10%	4,838,470,755	0.26%
	Sub Total (Institutions)	1,274,258,430,291	67.99%	1,274,114,595,330	67.98%	-143,834,961	-0.01%
Total Saudi Investors	1,747,447,166,457	93.24%	1,748,506,873,813	93.29%	1,059,707,355	0.05%	
GCC	Individuals	2,975,671,880	0.16%	2,947,702,458	0.16%	-27,969,422	0.00%
	Institutions	33,122,150,738	1.77%	33,637,985,586	1.79%	515,834,848	0.03%
	GCC DPMs	833,464,667	0.04%	828,942,110	0.04%	-4,522,557	0.00%
	Total GCC Investors	36,931,287,285	1.97%	37,414,630,155	2.00%	483,342,870	0.03%
Foreign	SWAP Holders	10,097,620,367	0.54%	9,761,724,234	0.52%	-335,896,132	-0.02%
	Foreign Residents	3,273,272,813	0.17%	3,278,003,232	0.17%	4,730,418	0.00%
	QFIs	14,368,084,517	0.77%	13,501,339,570	0.72%	-866,744,947	-0.05%
	Foreign DPMs	3,233,697,838	0.17%	3,415,828,629	0.18%	182,130,791	0.01%
	Strategic Partners	58,697,472,666	3.13%	58,314,211,607	3.11%	-383,261,059	-0.02%
	Total Foreign Investors	89,670,148,201	4.78%	88,271,107,272	4.71%	-1,399,040,929	-0.08%
Grand Total		1,874,048,601,944	100.00%	1,874,192,611,240	100.00%	144,009,296	

* Non deposited amount of 626,400,000 SAR was not reflected as on 01 November holding value (Saudi Industrial Export Co. 4140 - Corporate Action - Tradable Rights)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 25 October 2018		As of 01 November 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,652,896,133,951	88.20%	1,651,919,767,564	88.14%	-976,366,387	-0.06%
Non-Institutional	221,152,467,993	11.80%	222,272,843,676	11.86%	1,120,375,683	0.06%
Grand Total	1,874,048,601,944	100.00%	1,874,192,611,240	100.00%	144,009,296	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	6,595,356	98.48%	5,604,814	83.69%	990,542	14.79%
	Corporates	62,195	0.93%	0	0.00%	62,195	0.93%
	Institution	0	0.00%	815,192	12.17%	-815,192	-12.17%
	Total Saudi Investors	6,657,551	99.41%	6,420,005	95.86%	237,546	3.55%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	10,452	0.16%	277,128	4.14%	-266,676	-3.98%
	Total GCC Investors	10,452	0.16%	277,128	4.14%	-266,676	-3.98%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	29,130	0.43%	0	0.00%	29,130	0.43%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	29,130	0.43%	0	0.00%	29,130	0.43%
Grand Total		6,697,133	100.00%	6,697,133	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	72,647	1.08%	1,092,319	16.31%	-1,019,672	-15.23%
Non-Institutional	6,624,486	98.92%	5,604,814	83.69%	1,019,672	15.23%
Grand Total	6,697,133	100.00%	6,697,133	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 25 October 2018		As of 01 November 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,864,183,371	91.45%	1,964,552,129	91.50%	100,368,758	0.04%
	Corporates	110,598,016	5.43%	116,123,526	5.41%	5,525,510	-0.02%
	Institution	38,505,803	1.89%	39,091,392	1.82%	585,589	-0.07%
	Total Saudi Investors	2,013,287,190	98.77%	2,119,767,047	98.72%	106,479,857	-0.04%
GCC	Individuals	23,322	0.00%	24,006	0.00%	684	0.00%
	Institutions	12,559,368	0.62%	13,707,273	0.64%	1,147,905	0.02%
	Total GCC Investors	12,582,690	0.62%	13,731,279	0.64%	1,148,589	0.02%
Foreign	SWAP Holders	157,754	0.01%	158,339	0.01%	585	0.00%
	Foreign Residents	1,977,585	0.10%	2,099,998	0.10%	122,413	0.00%
	QFIs	10,423,151	0.51%	11,410,608	0.53%	987,456	0.02%
	Total Foreign Investors	12,558,490	0.62%	13,668,944	0.64%	1,110,454	0.02%
Grand Total		2,038,428,370	100.00%	2,147,167,270	100.00%	108,738,900	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 25 October 2018		As of 01 November 2018		Weekly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Institutional	172,244,092	8.45%	180,491,136	8.41%	8,247,045	-0.04%	
Non-Institutional	1,866,184,278	91.55%	1,966,676,134	91.59%	100,491,855	0.04%	
Grand Total	2,038,428,370	100.00%	2,147,167,270	100.00%	108,738,900		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Tadawul

Thank you

