

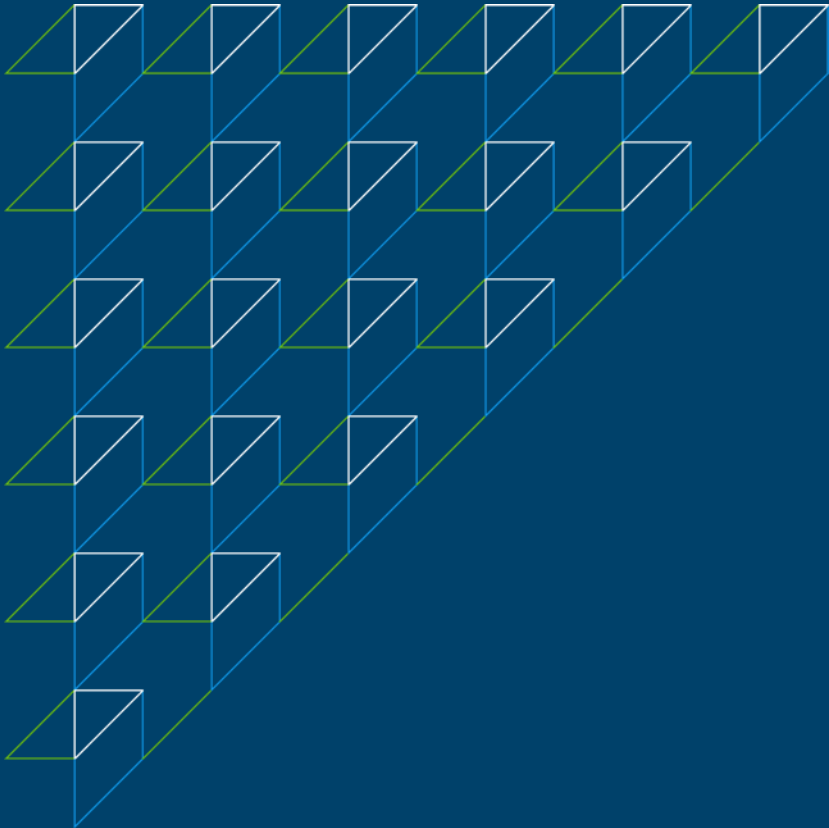
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 13 February 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	8,072,841,857	44.22%	8,155,763,943	44.67%	-82,922,086	-0.45%
	HNWIs	4,143,788,900	22.70%	3,782,794,686	20.72%	360,994,214	1.98%
	IPIs	758,354,029	4.15%	1,327,466,132	7.27%	-569,112,103	-3.12%
	Individual DPMs	254,964,020	1.40%	336,349,802	1.84%	-81,385,781	-0.45%
	Sub Total (Individuals)	13,229,948,806	72.47%	13,602,374,563	74.51%	-372,425,757	-2.04%
	Institutions:						
	Corporates	1,380,507,337	7.56%	682,241,827	3.74%	698,265,510	3.82%
	Mutual Funds	913,999,352	5.01%	811,941,243	4.45%	102,058,110	0.56%
	GRE's	0	0.00%	73,205,202	0.40%	-73,205,202	-0.40%
	Institution DPMs	251,540,322	1.38%	653,414,932	3.58%	-401,874,609	-2.20%
	Sub Total (Institutions)	2,546,047,012	13.95%	2,220,803,204	12.16%	325,243,808	1.78%
	Total Saudi Investors	15,775,995,818	86.41%	15,823,177,767	86.67%	-47,181,949	-0.26%
GCC	Individuals	37,153,585	0.20%	33,406,447	0.18%	3,747,139	0.02%
	Institutions	339,629,868	1.86%	1,061,863,748	5.82%	-722,233,879	-3.96%
	GCC DPMs	213,837	0.00%	16,809,988	0.09%	-16,596,151	-0.09%
	Total GCC Investors	376,997,291	2.06%	1,112,080,183	6.09%	-735,082,892	-4.03%
Foreign	SWAP Holders	40,960,988	0.22%	56,599,263	0.31%	-15,638,275	-0.09%
	Foreign Residents & Others	261,890,415	1.43%	255,594,688	1.40%	6,295,728	0.03%
	QFIs	1,800,478,752	9.86%	1,008,710,367	5.53%	791,768,385	4.34%
	Foreign DPMs	620,933	0.00%	781,930	0.00%	-160,997	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	2,103,951,089	11.52%	1,321,686,247	7.24%	782,264,841	4.28%
Grand Total		18,256,944,197	100.00%	18,256,944,197	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,741,269,440	31.45%	6,029,384,433	33.03%	-288,114,993	-1.58%
Non-Institutional	12,515,674,757	68.55%	12,227,559,764	66.97%	288,114,993	1.58%
Grand Total	18,256,944,197	100.00%	18,256,944,197	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 06 February 2020			As of 13 February 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	103,885,108,808	1.21%	8.80%	102,535,182,588	1.21%	8.88%	-1,349,926,220	0.00%	0.08%
	HNWIs	162,323,988,520	1.90%	13.58%	158,161,274,219	1.87%	13.53%	-4,162,714,300	-0.02%	-0.05%
	IPIs	272,089,628,322	3.18%	17.93%	265,395,525,471	3.14%	17.85%	-6,694,102,850	-0.04%	-0.08%
	Individual DPMs	7,928,182,411	0.09%	0.67%	7,704,543,452	0.09%	0.67%	-223,638,959	0.00%	0.00%
	Sub Total (Individuals)	546,226,908,061	6.39%	40.98%	533,796,525,731	6.32%	40.93%	-12,430,382,330	-0.06%	-0.05%
	Institutions:									
	Corporates	365,290,882,161	4.27%	22.82%	357,203,913,318	4.23%	22.90%	-8,086,968,843	-0.04%	0.08%
	Mutual Funds	195,065,126,399	2.28%	11.14%	187,200,417,788	2.22%	11.17%	-7,864,708,612	-0.06%	0.03%
	GRE's	7,180,522,494,308	83.94%	7.10%	7,104,840,791,907	84.16%	7.06%	-75,681,702,400	0.21%	-0.04%
	Institution DPMs	36,174,204,832	0.42%	3.06%	35,156,452,689	0.42%	3.05%	-1,017,752,144	-0.01%	-0.02%
	Sub Total (Institutions)	7,777,052,707,700	90.92%	44.12%	7,684,401,575,701	91.02%	44.18%	-92,651,131,998	0.10%	0.06%
Total Saudi Investors	8,323,279,615,760	97.30%	85.10%	8,218,198,101,432	97.34%	85.11%	-105,081,514,328	0.04%	0.01%	
GCC	Individuals	2,607,478,248	0.03%	0.22%	2,547,852,681	0.03%	0.22%	-59,625,566	0.00%	0.00%
	Institutions	39,946,096,711	0.47%	2.25%	37,637,176,321	0.45%	2.17%	-2,308,920,390	-0.02%	-0.08%
	GCC DPMs	617,607,563	0.01%	0.05%	566,486,947	0.01%	0.05%	-51,120,617	0.00%	0.00%
	Total GCC Investors	43,171,182,522	0.50%	2.52%	40,751,515,949	0.48%	2.44%	-2,419,666,573	-0.02%	-0.08%
Foreign	SWAP Holders	3,131,629,713	0.04%	0.27%	3,061,234,012	0.04%	0.27%	-70,395,702	0.00%	0.00%
	Foreign Residents & Others	14,455,966,997	0.17%	0.97%	14,268,147,172	0.17%	0.98%	-187,819,825	0.00%	0.01%
	QFI's	128,337,261,238	1.50%	10.99%	126,275,281,993	1.50%	11.06%	-2,061,979,245	0.00%	0.07%
	Foreign DPMs	1,617,680,797	0.02%	0.14%	1,597,509,694	0.02%	0.14%	-20,171,103	0.00%	0.00%
	Strategic Investors	39,958,328,639	0.47%	0.00%	38,254,750,267	0.45%	0.00%	-1,703,578,372	-0.01%	0.00%
Total Foreign Investors	187,500,867,384	2.19%	12.38%	183,456,923,139	2.17%	12.45%	-4,043,944,245	-0.02%	0.08%	
Grand Total		8,553,951,665,666	100.00%	100.00%	8,442,406,540,520	100.00%	100.00%	-111,545,125,146		

* Non deposited shares for Al Sorayal Trading and Industrial Group 1213 (Corporate Action - Capital Increase-Right issue) was not reflected on 13 February 2020

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 06 February 2020			As of 13 February 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,278,442,732,731	96.69%	76.42%	8,172,596,857,137	96.71%	76.39%	-105,845,875,594	0.02%	-0.04%
Non-Institutional	275,508,932,935	3.31%	23.58%	269,809,683,383	3.29%	23.61%	-5,699,249,552	-0.02%	0.04%
Grand Total	8,553,951,665,666	100.00%	100.00%	8,442,406,540,520	100.00%	100.00%	-111,545,125,146		

Definitions

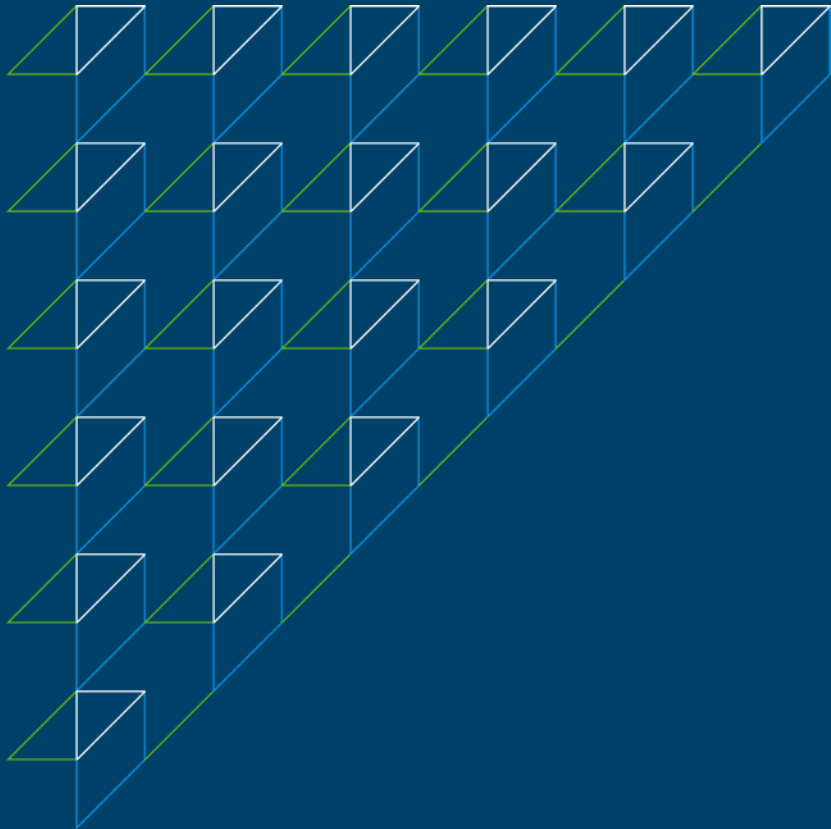
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	89,406,472	96.05%	90,648,439	97.39%	-1,241,966	-1.33%
	Corporates	791,038	0.85%	267,939	0.29%	523,099	0.56%
	Institution	296,477	0.32%	20,670	0.02%	275,807	0.30%
	Total Saudi Investors	90,493,988	97.22%	90,937,048	97.70%	-443,060	-0.48%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	2,587,308	2.78%	2,144,248	2.30%	443,060	0.48%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	2,587,308	2.78%	2,144,248	2.30%	443,060	0.48%
Grand Total		93,081,296	100.00%	93,081,296	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,087,516	1.17%	288,609	0.31%	798,907	0.86%
Non-Institutional	91,993,780	98.83%	92,792,687	99.69%	-798,907	-0.86%
Grand Total	93,081,296	100.00%	93,081,296	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 06 February 2020			As of 13 February 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	2,178,698,219	96.13%	98.78%	2,400,894,230	96.88%	96.50%	222,196,011	0.74%	-2.28%
	Corporates	80,980,790	3.57%	0.30%	70,485,317	2.84%	2.61%	-10,495,473	-0.73%	2.32%
	Institution	2,563,279	0.11%	0.35%	2,564,804	0.10%	0.31%	1,525	-0.01%	-0.04%
	Total Saudi Investors	2,262,242,288	99.82%	99.43%	2,473,944,351	99.82%	99.43%	211,702,063	0.00%	0.00%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	4,057,712	0.18%	0.57%	4,355,649	0.18%	0.57%	297,937	0.00%	0.00%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	4,057,712	0.18%	0.57%	4,355,649	0.18%	0.57%	297,937	0.00%	0.00%
Grand Total		2,266,300,000	100.00%	100.00%	2,478,300,000	100.00%	100.00%	212,000,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 06 February 2020			As of 13 February 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	83,544,069	3.69%	0.64%	73,050,121	2.95%	2.92%	-10,493,948	-0.74%	2.28%
Non-Institutional	2,182,755,931	96.31%	99.36%	2,405,249,879	97.05%	97.08%	222,493,948	0.74%	-2.28%
Grand Total	2,266,300,000	100%	100%	2,478,300,000	100%	100%	212,000,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

