

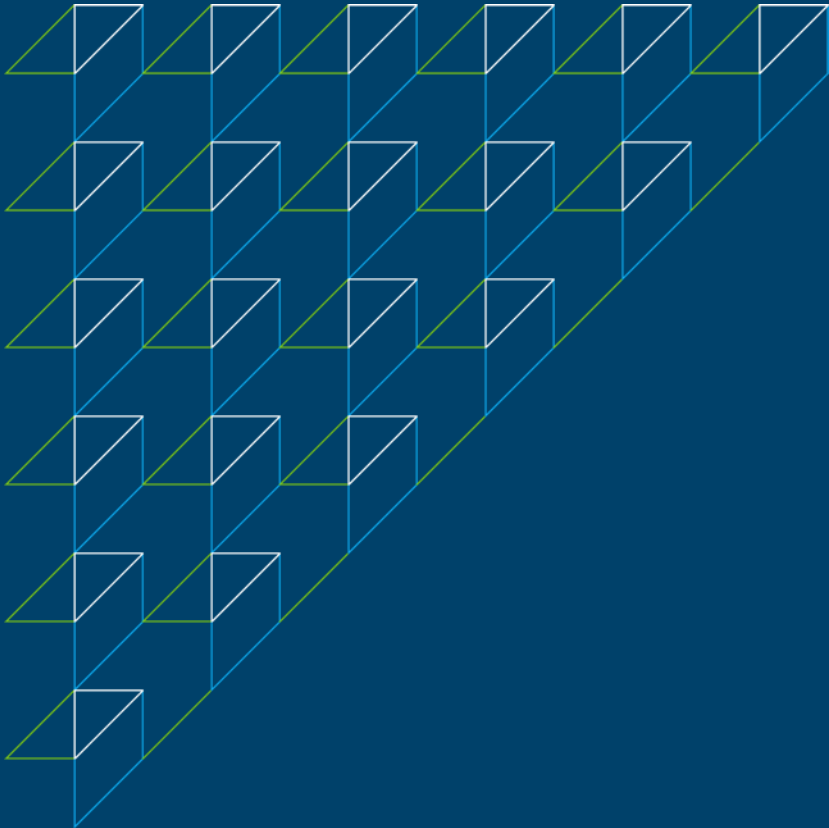
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 17 January 2019



Definitions



Weekly Stock Market Ownership and Trading Activity Report

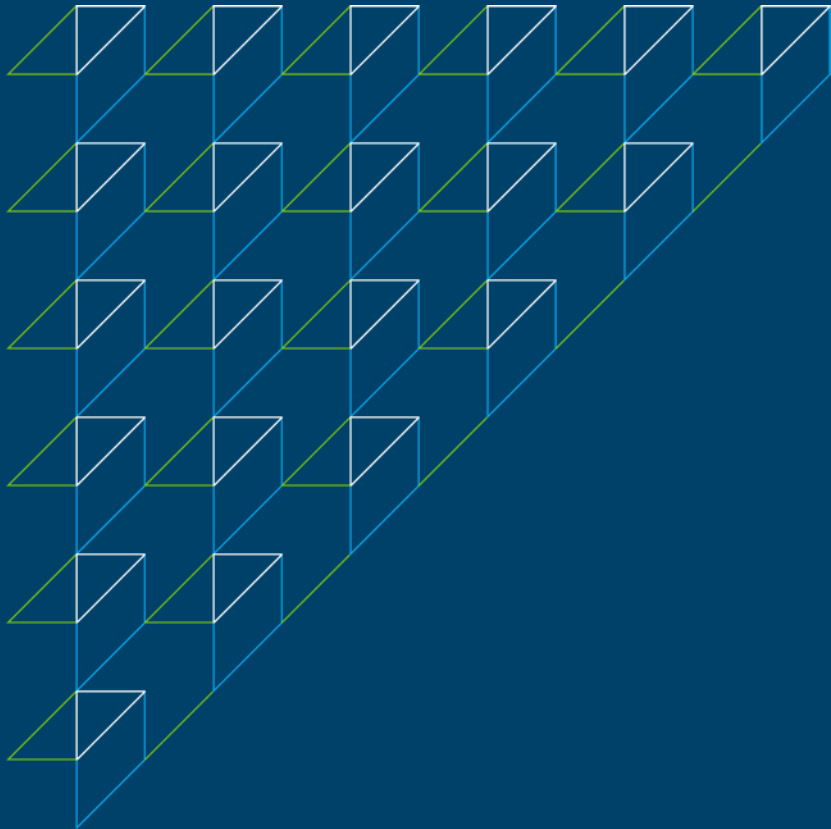
Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
GCC	- Government Related Entities (GRES)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.
	NRFI	Non-Resident Foreign Investors in accordance with the Guidance Note for the investment of Non- Resident Foreigners in Parallel Market

*Nomu-Parallel Market Individual Investors

- Individuals who fulfill any of the following:
- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
 - Have an average portfolio size of SAR 5m for the preceding 12 months
 - Worked for at least 3 years in financial sectors.
 - Hold General Securities Qualification Certificate which is recognized by the Authority.
 - Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,220,836,413	40.82%	6,618,601,261	43.44%	-397,764,848	-2.61%
	HNWIs	3,867,794,710	25.38%	4,514,428,657	29.63%	-646,633,947	-4.24%
	IPIs	494,781,205	3.25%	870,219,943	5.71%	-375,438,738	-2.46%
	Individual DPMs	226,955,078	1.49%	291,897,947	1.92%	-64,942,869	-0.43%
	Sub Total (Individuals)	10,810,367,407	70.94%	12,295,147,809	80.69%	-1,484,780,402	-9.74%
	Institutions:						
	Corporates	847,286,009	5.56%	583,887,348	3.83%	263,398,661	1.73%
	Mutual Funds	457,158,850	3.00%	410,207,146	2.69%	46,951,704	0.31%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	208,203,394	1.37%	733,024,106	4.81%	-524,820,711	-3.44%
	Sub Total (Institutions)	1,512,648,253	9.93%	1,727,118,600	11.33%	-214,470,347	-1.41%
Total Saudi Investors	12,323,015,660	80.87%	14,022,266,408	92.02%	-1,699,250,749	-11.15%	
GCC	Individuals	56,411,883	0.37%	76,202,739	0.50%	-19,790,857	-0.13%
	Institutions	475,067,218	3.12%	307,427,096	2.02%	167,640,121	1.10%
	GCC DPMs	13,475,287	0.09%	500,385	0.00%	12,974,902	0.09%
	Total GCC Investors	544,954,387	3.58%	384,130,221	2.52%	160,824,166	1.06%
Foreign	SWAP Holders	1,094,966,572	7.19%	345,381,727	2.27%	749,584,845	4.92%
	Foreign Residents	161,860,882	1.06%	175,161,813	1.15%	-13,300,931	-0.09%
	QFIs	1,112,709,670	7.30%	309,788,706	2.03%	802,920,963	5.27%
	Foreign DPMs	366,044	0.00%	300,642	0.00%	65,401	0.00%
	Strategic Partners	0	0.00%	843,696	0.01%	-843,696	-0.01%
Total Foreign Investors	2,369,903,167	15.55%	831,476,585	5.46%	1,538,426,582	10.10%	
Grand Total		15,237,873,214	100.00%	15,237,873,214	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,930,969,326	32.36%	3,853,478,744	25.29%	1,077,490,583	7.07%
Non-Institutional	10,306,903,888	67.64%	11,384,394,471	74.71%	-1,077,490,583	-7.07%
Grand Total	15,237,873,214	100.00%	15,237,873,214	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 10 January 2019		As of 17 January 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	74,976,651,471	3.84%	76,339,286,202	3.81%	1,362,634,731	-0.03%
	HNWIs	151,727,249,914	7.77%	154,266,126,970	7.70%	2,538,877,056	-0.07%
	IPIs	263,835,350,369	13.52%	271,058,081,739	13.53%	7,222,731,369	0.01%
	Individual DPMs	5,387,055,153	0.28%	5,469,442,563	0.27%	82,387,410	0.00%
	Sub Total (Individuals)	495,926,306,908	25.41%	507,132,937,473	25.32%	11,206,630,566	-0.09%
	Institutions:						
	Corporates	296,449,182,378	15.19%	305,736,856,086	15.26%	9,287,673,707	0.07%
	Mutual Funds	92,457,526,456	4.74%	100,914,185,802	5.04%	8,456,659,346	0.30%
	GRE's	795,711,988,388	40.77%	813,873,490,720	40.63%	18,161,502,332	-0.14%
	Institution DPMs	138,126,283,340	7.08%	136,695,305,652	6.82%	-1,430,977,688	-0.25%
	Sub Total (Institutions)	1,322,744,980,562	67.78%	1,357,219,838,259	67.76%	34,474,857,697	-0.02%
Total Saudi Investors	1,818,671,287,470	93.19%	1,864,352,775,733	93.08%	45,681,488,262	-0.12%	
GCC	Individuals	2,925,247,197	0.15%	2,981,212,391	0.15%	55,965,194	0.00%
	Institutions	35,165,104,451	1.80%	35,965,047,986	1.80%	799,943,534	-0.01%
	GCC DPMs	882,918,941	0.05%	958,576,485	0.05%	75,657,544	0.00%
	Total GCC Investors	38,973,270,589	2.00%	39,904,836,861	1.99%	931,566,273	0.00%
Foreign	SWAP Holders	9,604,901,722	0.49%	10,671,311,539	0.53%	1,066,409,817	0.04%
	Foreign Residents	3,483,743,585	0.18%	3,557,593,433	0.18%	73,849,848	0.00%
	QFIs	15,092,741,452	0.77%	16,414,901,610	0.82%	1,322,160,159	0.05%
	Foreign DPMs	4,161,787,047	0.21%	4,288,413,188	0.21%	126,626,141	0.00%
	Strategic Partners	61,500,351,298	3.15%	63,862,551,397	3.19%	2,362,200,099	0.04%
	Total Foreign Investors	93,843,525,105	4.81%	98,794,771,168	4.93%	4,951,246,064	0.12%
Grand Total		1,951,488,083,163	100.00%	2,003,052,383,762	100.00%	51,564,300,599	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 10 January 2019		As of 17 January 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,718,375,190,996	88.05%	1,765,908,164,766	88.16%	47,532,973,770	0.11%
Non-Institutional	233,112,892,167	11.95%	237,144,218,996	11.84%	4,031,326,829	-0.11%
Grand Total	1,951,488,083,163	100.00%	2,003,052,383,762	100.00%	51,564,300,599	

Definitions

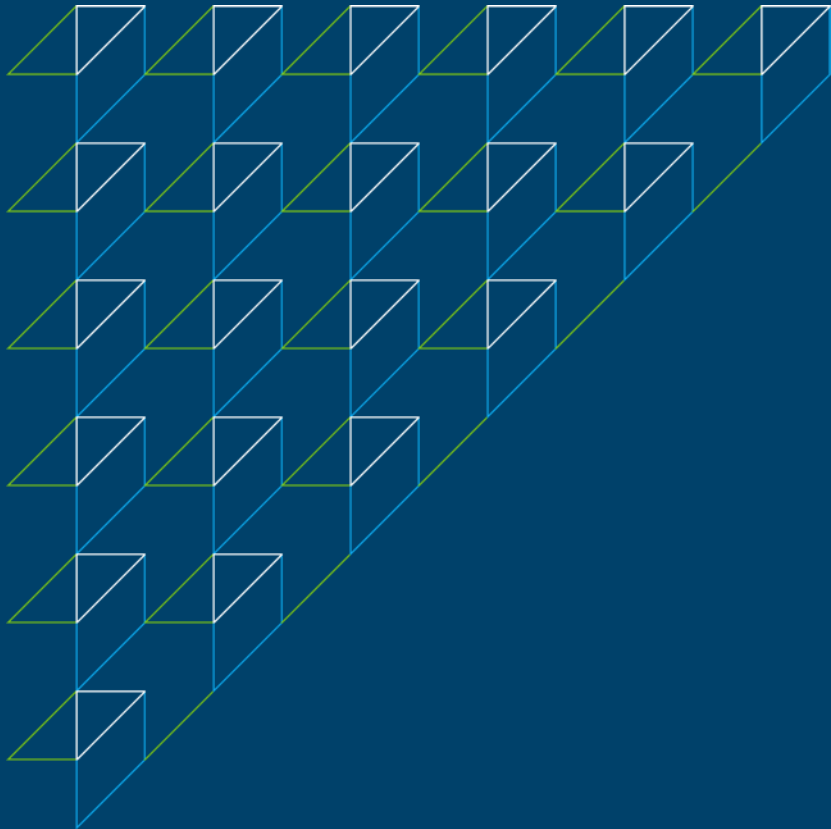
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	12,512,998	88.66%	13,162,733	93.27%	-649,735	-4.60%
	Corporates	1,599,874	11.34%	638,251	4.52%	961,623	6.81%
	Institution	0	0.00%	241,419	1.71%	-241,419	-1.71%
	Total Saudi Investors	14,112,872	100.00%	14,042,403	99.50%	70,469	0.50%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	0	0.00%	32	0.00%	-32	0.00%
	QFIs	0	0.00%	70,438	0.50%	-70,438	-0.50%
	Total Foreign Investors	0	0.00%	70,469	0.50%	-70,469	-0.50%
Grand Total		14,112,872	100.00%	14,112,872	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,599,874	11.34%	950,107	6.73%	649,767	4.60%
Non-Institutional	12,512,998	88.66%	13,162,765	93.27%	-649,767	-4.60%
Grand Total	14,112,872	100.00%	14,112,872	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 10 January 2019		As of 17 January 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,174,389,490	91.76%	2,444,622,108	92.44%	270,232,618	0.67%
	Corporates	131,324,128	5.54%	134,607,739	5.09%	3,283,610	-0.45%
	Institution	34,330,436	1.45%	35,820,543	1.35%	1,490,107	-0.09%
	Total Saudi Investors	2,340,044,053	98.76%	2,615,050,389	98.88%	275,006,336	0.12%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	13,223,552	0.56%	13,155,030	0.50%	-68,522	-0.06%
	Total GCC Investors	13,223,552	0.56%	13,155,030	0.50%	-68,522	-0.06%
Foreign	SWAP Holders	163,802	0.01%	178,077	0.01%	14,275	0.00%
	Foreign Residents	2,444,687	0.10%	2,526,327	0.10%	81,640	-0.01%
	QFIs	13,653,206	0.58%	13,758,677	0.52%	105,471	-0.06%
	Total Foreign Investors	16,261,695	0.69%	16,463,081	0.62%	201,386	-0.06%
Grand Total		2,369,529,300	100.00%	2,644,668,500	100.00%	275,139,200	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 10 January 2019		As of 17 January 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	192,695,124	8.13%	197,520,065	7.47%	4,824,942	-0.66%
Non-Institutional	2,176,834,176	91.87%	2,447,148,435	92.53%	270,314,258	0.66%
Grand Total	2,369,529,300	100.00%	2,644,668,500	100.00%	275,139,200	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Tadawul

Thank you

