

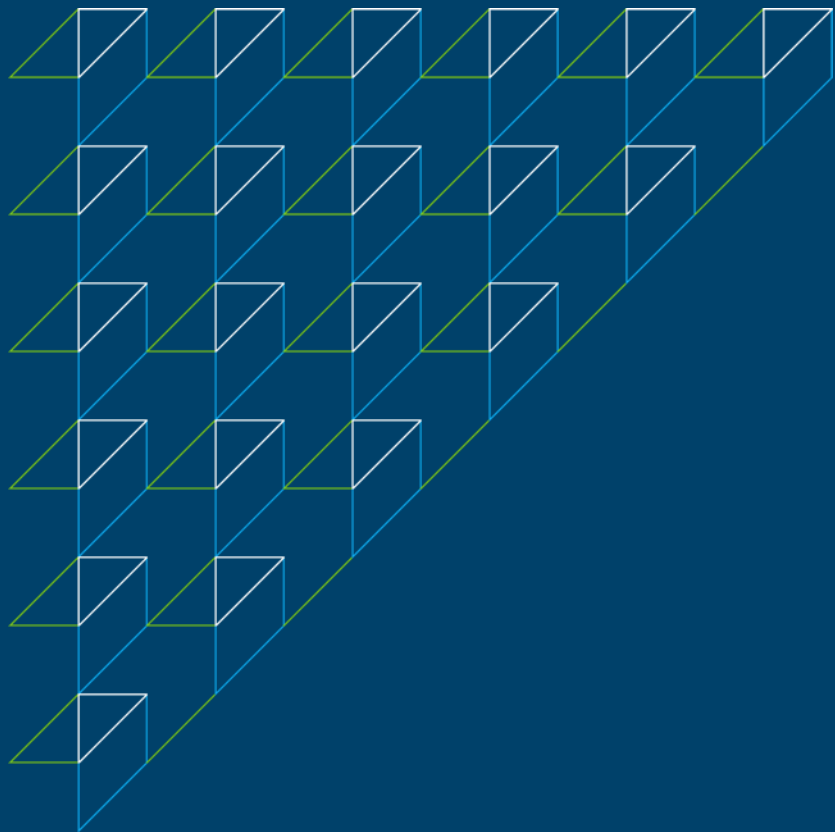
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 08 November 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,217,523,294	44.90%	7,236,819,396	52.26%	-1,019,296,102	-7.36%
	HNWIs	4,125,642,648	29.80%	4,002,329,082	28.90%	123,313,567	0.89%
	IPIs	263,838,656	1.91%	461,046,910	3.33%	-197,208,254	-1.42%
	Individual DPMs	78,297,880	0.57%	56,900,622	0.41%	21,397,258	0.15%
	Sub Total (Individuals)	10,685,302,479	77.17%	11,757,096,010	84.91%	-1,071,793,531	-7.74%
	Institutions:						
	Corporates	2,028,470,870	14.65%	612,717,230	4.43%	1,415,753,640	10.22%
	Mutual Funds	189,235,514	1.37%	269,244,331	1.94%	-80,008,817	-0.58%
	GRE's	28,885,110	0.21%	5,485,461	0.04%	23,399,649	0.17%
	Institution DPMs	100,982,303	0.73%	184,365,513	1.33%	-83,383,210	-0.60%
	Sub Total (Institutions)	2,347,573,798	16.95%	1,071,812,535	7.74%	1,275,761,263	9.21%
Total Saudi Investors	13,032,876,276	94.12%	12,828,908,544	92.65%	203,967,732	1.47%	
GCC	Individuals	35,864,837	0.26%	43,596,494	0.31%	-7,731,657	-0.06%
	Institutions	145,648,040	1.05%	292,355,920	2.11%	-146,707,880	-1.06%
	GCC DPMs	101,639	0.00%	450,213	0.00%	-348,574	0.00%
	Total GCC Investors	181,614,516	1.31%	336,402,628	2.43%	-154,788,112	-1.12%
Foreign	SWAP Holders	96,369,193	0.70%	184,380,227	1.33%	-88,011,034	-0.64%
	Foreign Residents	189,405,809	1.37%	207,836,368	1.50%	-18,430,559	-0.13%
	QFIs	345,776,267	2.50%	288,634,954	2.08%	57,141,313	0.41%
	Foreign DPMs	494,002	0.00%	373,342	0.00%	120,660	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	632,045,271	4.56%	681,224,891	4.92%	-49,179,620	-0.36%
Grand Total		13,846,536,063	100.00%	13,846,536,063	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,278,099,475	23.67%	2,355,954,723	17.01%	922,144,752	6.66%
Non-Institutional	10,568,436,588	76.33%	11,490,581,340	82.99%	-922,144,752	-6.66%
Grand Total	13,846,536,063	100.00%	13,846,536,063	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 01 November 2018		As of 08 November 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	72,213,068,325	3.85%	71,766,062,575	3.91%	-447,005,750	0.06%
	HNWIs	143,834,069,661	7.67%	142,027,069,756	7.74%	-1,806,999,905	0.07%
	IPIs	253,289,165,108	13.51%	249,253,108,307	13.59%	-4,036,056,801	0.07%
	Individual DPMs	5,055,975,389	0.27%	4,966,363,736	0.27%	-89,611,654	0.00%
	Sub Total (Individuals)	474,392,278,483	25.31%	468,012,604,374	25.52%	-6,379,674,109	0.20%
	Institutions:						
	Corporates	291,380,193,931	15.55%	285,632,984,821	15.57%	-5,747,209,110	0.03%
	Mutual Funds	89,798,323,903	4.79%	87,546,764,483	4.77%	-2,251,559,421	-0.02%
	GRE's	759,950,228,570	40.55%	739,893,674,131	40.34%	-20,056,554,438	-0.21%
	Institution DPMs	132,985,848,926	7.10%	129,978,647,911	7.09%	-3,007,201,015	-0.01%
Sub Total (Institutions)	1,274,114,595,330	67.98%	1,243,052,071,346	67.77%	-31,062,523,984	-0.21%	
Total Saudi Investors	1,748,506,873,813	93.29%	1,711,064,675,720	93.29%	-37,442,198,092	0.00%	
GCC	Individuals	2,947,702,458	0.16%	2,881,525,095	0.16%	-66,177,363	0.00%
	Institutions	33,637,985,586	1.79%	33,066,053,987	1.80%	-571,931,599	0.01%
	GCC DPMs	828,942,110	0.04%	815,143,595	0.04%	-13,798,515	0.00%
	Total GCC Investors	37,414,630,155	2.00%	36,762,722,678	2.00%	-651,907,477	0.01%
Foreign	SWAP Holders	9,761,724,234	0.52%	9,512,783,106	0.52%	-248,941,129	0.00%
	Foreign Residents	3,278,003,232	0.17%	3,224,932,665	0.18%	-53,070,567	0.00%
	QFIs	13,501,339,570	0.72%	13,174,678,531	0.72%	-326,661,039	0.00%
	Foreign DPMs	3,415,828,629	0.18%	3,441,837,367	0.19%	26,008,738	0.01%
	Strategic Partners	58,314,211,607	3.11%	56,970,807,197	3.11%	-1,343,404,410	-0.01%
	Total Foreign Investors	88,271,107,272	4.71%	86,325,038,865	4.71%	-1,946,068,408	0.00%
Grand Total		1,874,192,611,240	100.00%	1,834,152,437,262	100.00%	-40,040,173,977	

* Non deposited amount of 798,120,000 SAR was not reflected as on 08 November holding value (Saudi Industrial Export Co. 4140 - Corporate Action -Tradable Rights)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 01 November 2018		As of 08 November 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,651,919,767,564	88.14%	1,614,252,847,171	88.01%	-37,666,920,393	-0.13%
Non-Institutional	222,272,843,676	11.86%	219,899,590,091	11.99%	-2,373,253,585	0.13%
Grand Total	1,874,192,611,240	100.00%	1,834,152,437,262	100.00%	-40,040,173,977	

Definitions

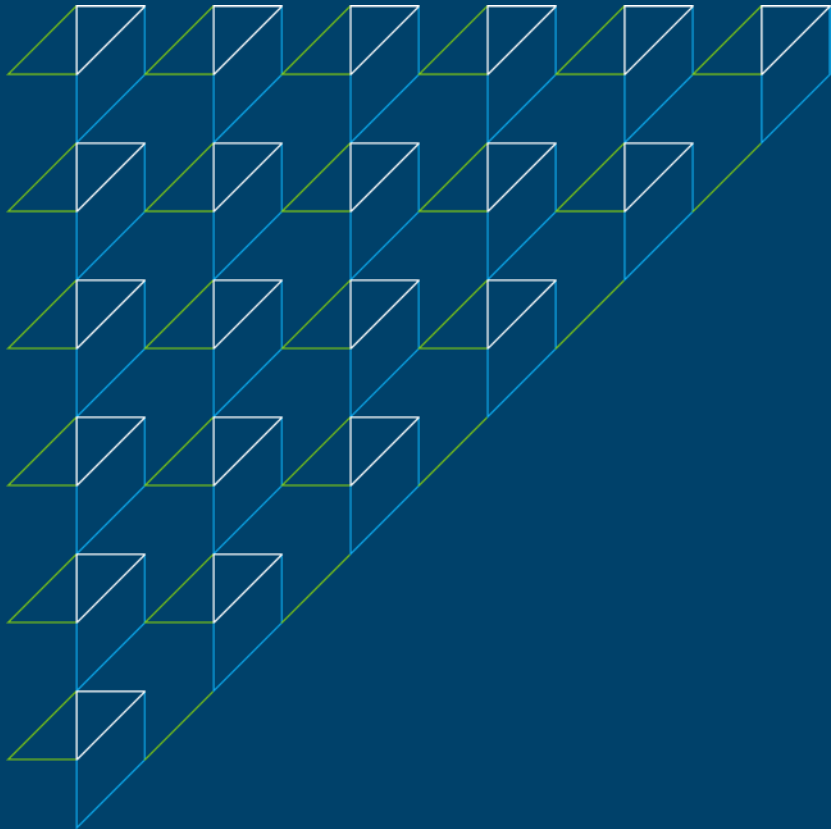
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	4,312,468	89.22%	4,446,492	91.99%	-134,023	-2.77%
	Corporates	197,169	4.08%	34,283	0.71%	162,886	3.37%
	Institution	0	0.00%	159,414	3.30%	-159,414	-3.30%
	Total Saudi Investors	4,509,637	93.30%	4,640,188	96.00%	-130,551	-2.70%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	209,696	4.34%	0	0.00%	209,696	4.34%
	Total GCC Investors	209,696	4.34%	0	0.00%	209,696	4.34%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	114,111	2.36%	19,400	0.40%	94,711	1.96%
	QFIs	0	0.00%	173,856	3.60%	-173,856	-3.60%
	Total Foreign Investors	114,111	2.36%	193,256	4.00%	-79,145	-1.64%
Grand Total		4,833,444	100.00%	4,833,444	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	406,865	8.42%	367,552	7.60%	39,312	0.81%
Non-Institutional	4,426,579	91.58%	4,465,892	92.40%	-39,312	-0.81%
Grand Total	4,833,444	100.00%	4,833,444	100.00%		

Institutional:
Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 01 November 2018		As of 08 November 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,964,552,129	91.50%	1,954,896,885	91.54%	-9,655,245	0.05%
	Corporates	116,123,526	5.41%	114,965,124	5.38%	-1,158,401	-0.02%
	Institution	39,091,392	1.82%	38,267,930	1.79%	-823,462	-0.03%
	Total Saudi Investors	2,119,767,047	98.72%	2,108,129,939	98.72%	-11,637,108	-0.01%
GCC	Individuals	24,006	0.00%	24,336	0.00%	330	0.00%
	Institutions	13,707,273	0.64%	13,763,597	0.64%	56,324	0.01%
	Total GCC Investors	13,731,279	0.64%	13,787,933	0.65%	56,653	0.01%
Foreign	SWAP Holders	158,339	0.01%	157,536	0.01%	-802	0.00%
	Foreign Residents	2,099,998	0.10%	2,198,703	0.10%	98,705	0.01%
	QFIs	11,410,608	0.53%	11,225,359	0.53%	-185,248	-0.01%
	Total Foreign Investors	13,668,944	0.64%	13,581,599	0.64%	-87,345	0.00%
Grand Total		2,147,167,270	100.00%	2,135,499,470	100.00%	-11,667,800	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 01 November 2018		As of 08 November 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	180,491,136	8.41%	178,379,546	8.35%	-2,111,590	-0.05%
Non-Institutional	1,966,676,134	91.59%	1,957,119,924	91.65%	-9,556,210	0.05%
Grand Total	2,147,167,270	100.00%	2,135,499,470	100.00%	-11,667,800	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

