

Monthly Stock Market Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Month Ending 31 August 2021



Definitions

Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



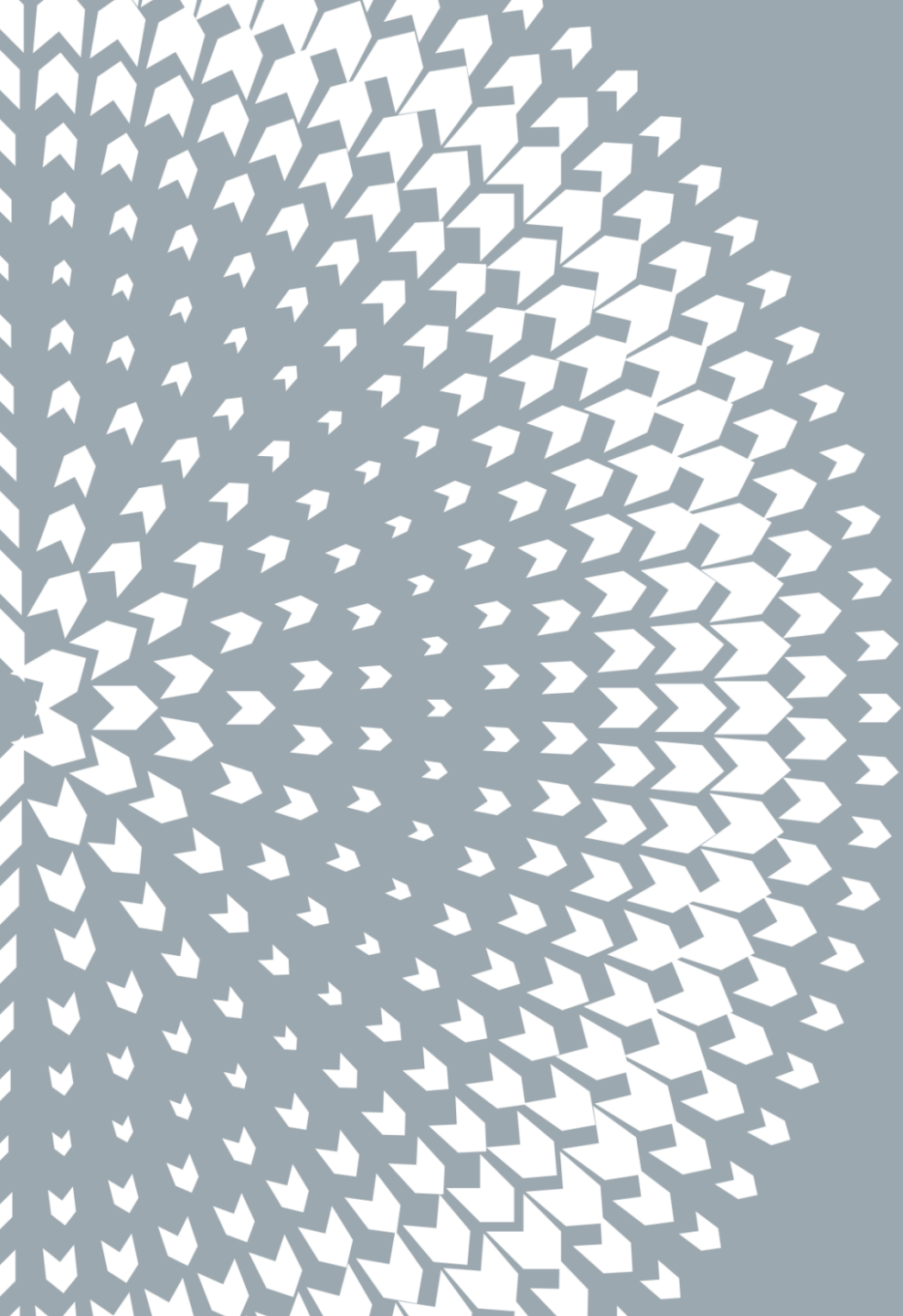
Main Market - Highlights

Monthly Report - Main Market

Highlights

The total value of shares traded for the month ending 31 August 2021 amounted to SAR 154.11 billion, increasing by 6.40% over the previous month; while total stock market capitalization reached SAR 9,829.66 billion at the end of this period, increasing by 1.46% over the previous month.

- The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 137.27 billion representing 89.07 % of total buying activity, and sales of SAR 140.09 billion representing 90.90 % of total selling activity. Total ownership of “Saudi Investors” stood at 96.51 % of total market capitalization as of 31 August 2021, representing a decrease of 0.12 % from the previous month.
- The total value of shares purchased by “GCC Investors” during this period amounted to SAR 1.55 billion representing 1.01 % of total buying activity, and sales of SAR 1.71 billion representing 1.11% of total selling activity. Total ownership of “GCC Investors” stood at 0.51% of total market capitalization as of 31 August 2021, representing a decrease of 0.004% from the previous month.
- The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 15.29 billion representing 9.92% of total buying activity, and sales of SAR 12.31 billion representing 7.99% of total selling activity. Total ownership of “Foreign Investors” stood at 2.98% of total market capitalization as of 31 August 2021, representing an increase of 0.12% from the previous month.



Main Market - Value Traded & Ownership Breakdown

Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	75,272,209,804	48.84%	74,742,006,876	48.50%	530,202,929	0.34%	
	HNWIs	45,613,656,849	29.60%	47,950,796,114	31.11%	-2,337,139,264	-1.52%	
	IPIs	4,088,914,378	2.65%	6,201,787,979	4.02%	-2,112,873,601	-1.37%	
	Individual DPMs	2,410,088,062	1.56%	2,299,247,702	1.49%	110,840,360	0.07%	
	Sub Total (Individuals)	127,384,869,093	82.66%	131,193,838,670	85.13%	-3,808,969,576	-2.47%	
	Institutions:							
	Corporates	4,700,181,891	3.05%	3,363,226,461	2.18%	1,336,955,430	0.87%	
	Mutual Funds	2,980,325,629	1.93%	3,752,089,816	2.43%	-771,764,187	-0.50%	
	GRE's	81,206,431	0.05%	68,556,988	0.04%	12,649,442	0.01%	
	Institution DPMs	2,122,178,297	1.38%	1,714,625,345	1.11%	407,552,952	0.26%	
	Sub Total (Institutions)	9,883,892,248	6.41%	8,898,498,611	5.77%	985,393,637	0.64%	
Total Saudi Investors	137,268,761,342	89.07%	140,092,337,281	90.90%	-2,823,575,939	-1.83%		
GCC	Individuals	328,806,225	0.21%	311,292,793	0.20%	17,513,432	0.01%	
	Institutions	1,208,212,931	0.78%	1,391,326,534	0.90%	-183,113,603	-0.12%	
	GCC DPMs	13,014,145	0.01%	9,061,045	0.01%	3,953,100	0.00%	
	Total GCC Investors	1,550,033,302	1.01%	1,711,680,372	1.11%	-161,647,070	-0.10%	
Foreign	SWAP Holders	67,213,152	0.04%	86,342,260	0.06%	-19,129,108	-0.01%	
	Foreign Residents & Others	2,258,942,398	1.47%	2,270,001,289	1.47%	-11,058,891	-0.01%	
	QFIs	12,960,248,948	8.41%	9,941,691,302	6.45%	3,018,557,646	1.96%	
	Foreign DPMs	4,610,210	0.00%	7,756,848	0.01%	-3,146,638	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	15,291,014,708	9.92%	12,305,791,699	7.99%	2,985,223,009	1.94%	
Grand Total		154,109,809,352	100.00%	154,109,809,352	100.00%			

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	30,636,194,074	19.88%	28,835,712,281	18.71%	1,800,481,794	1.17%
Non-Institutional	123,473,615,277	80.12%	125,274,097,071	81.29%	-1,800,481,794	-1.17%
Grand Total	154,109,809,352	100.00%	154,109,809,352	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 29 July 2021			As of 31 August 2021			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	143,981,481,430	1.49%	8.62%	155,431,814,178	1.58%	9.42%	11,450,332,748	0.10%	0.80%
	HNWIs	243,571,996,490	2.51%	14.41%	235,832,134,876	2.40%	14.12%	-7,739,861,613	-0.11%	-0.28%
	IPIs	394,038,288,873	4.07%	17.04%	401,113,972,808	4.08%	17.46%	7,075,683,935	0.01%	0.42%
	Individual DPMs	6,579,699,864	0.07%	0.39%	10,360,697,651	0.11%	0.63%	3,780,997,788	0.04%	0.23%
	Sub Total (Individuals)	788,171,466,657	8.14%	40.45%	802,738,619,514	8.17%	41.63%	14,567,152,857	0.03%	1.18%
	Institutions:									
	Corporates	798,821,125,678	8.25%	22.06%	815,393,024,029	8.30%	23.07%	16,571,898,351	0.05%	1.01%
	Mutual Funds	265,114,144,178	2.74%	11.01%	275,213,110,459	2.80%	11.49%	10,098,966,281	0.06%	0.49%
	GRE's	7,431,474,094,647	76.71%	6.31%	7,510,881,611,963	76.41%	2.30%	79,407,517,316	-0.30%	-4.00%
	Institution DPMs	77,855,629,922	0.80%	4.65%	82,497,655,395	0.84%	4.98%	4,642,025,473	0.04%	0.34%
	Sub Total (Institutions)	8,573,264,994,424	88.49%	44.02%	8,683,985,401,846	88.34%	41.85%	110,720,407,422	-0.15%	-2.16%
Total Saudi Investors	9,361,436,461,081	96.63%	84.47%	9,486,724,021,360	96.51%	83.48%	125,287,560,279	-0.12%	-0.99%	
GCC	Individuals	3,182,322,732	0.03%	0.19%	3,296,780,251	0.03%	0.20%	114,457,520	0.00%	0.01%
	Institutions	46,623,244,196	0.48%	1.88%	46,885,137,457	0.48%	1.95%	261,893,262	0.00%	0.06%
	GCC DPMs	245,495,019	0.00%	0.01%	176,042,934	0.00%	0.01%	-69,452,085	0.00%	0.00%
	Total GCC Investors	50,051,061,947	0.52%	2.09%	50,357,960,643	0.51%	2.16%	306,898,696	0.00%	0.07%
Foreign	SWAP Holders	2,201,583,838	0.02%	0.13%	2,265,384,474	0.02%	0.14%	63,800,637	0.00%	0.01%
	Foreign Residents & Others	8,000,111,144	0.08%	0.36%	8,048,965,253	0.08%	0.37%	48,854,110	0.00%	0.01%
	QFIs	216,314,877,413	2.23%	12.95%	228,481,587,577	2.32%	13.85%	12,166,710,164	0.09%	0.90%
	Foreign DPMs	20,250,826	0.00%	0.00%	21,729,605	0.00%	0.00%	1,478,779	0.00%	0.00%
	Strategic Investors	50,167,366,471	0.52%	0.00%	53,760,145,054	0.55%	0.00%	3,592,778,584	0.03%	0.00%
	Total Foreign Investors	276,704,189,691	2.86%	13.44%	292,577,811,964	2.98%	14.36%	15,873,622,273	0.12%	0.92%
Grand Total		9,688,191,712,718	100.00%	100.00%	9,829,659,793,966	100.00%	100.00%	141,468,081,248		

*Non deposited shares was not reflected on 31A August holding value (Raydan Food Co. - 60I2 - Corporate Action - Right Issue)

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 29 July 2021			As of 31 August 2021			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	9,291,481,190,558	95.88%	76.43%	9,429,002,178,482	95.90%	75.89%	137,520,987,925	0.02%	-0.54%
Non-Institutional	396,710,522,161	4.12%	23.57%	400,657,615,483	4.10%	24.11%	3,947,093,323	-0.02%	0.54%
Grand Total	9,688,191,712,718	100.00%	100.00%	9,829,659,793,966	100.00%	100.00%	141,468,081,248		

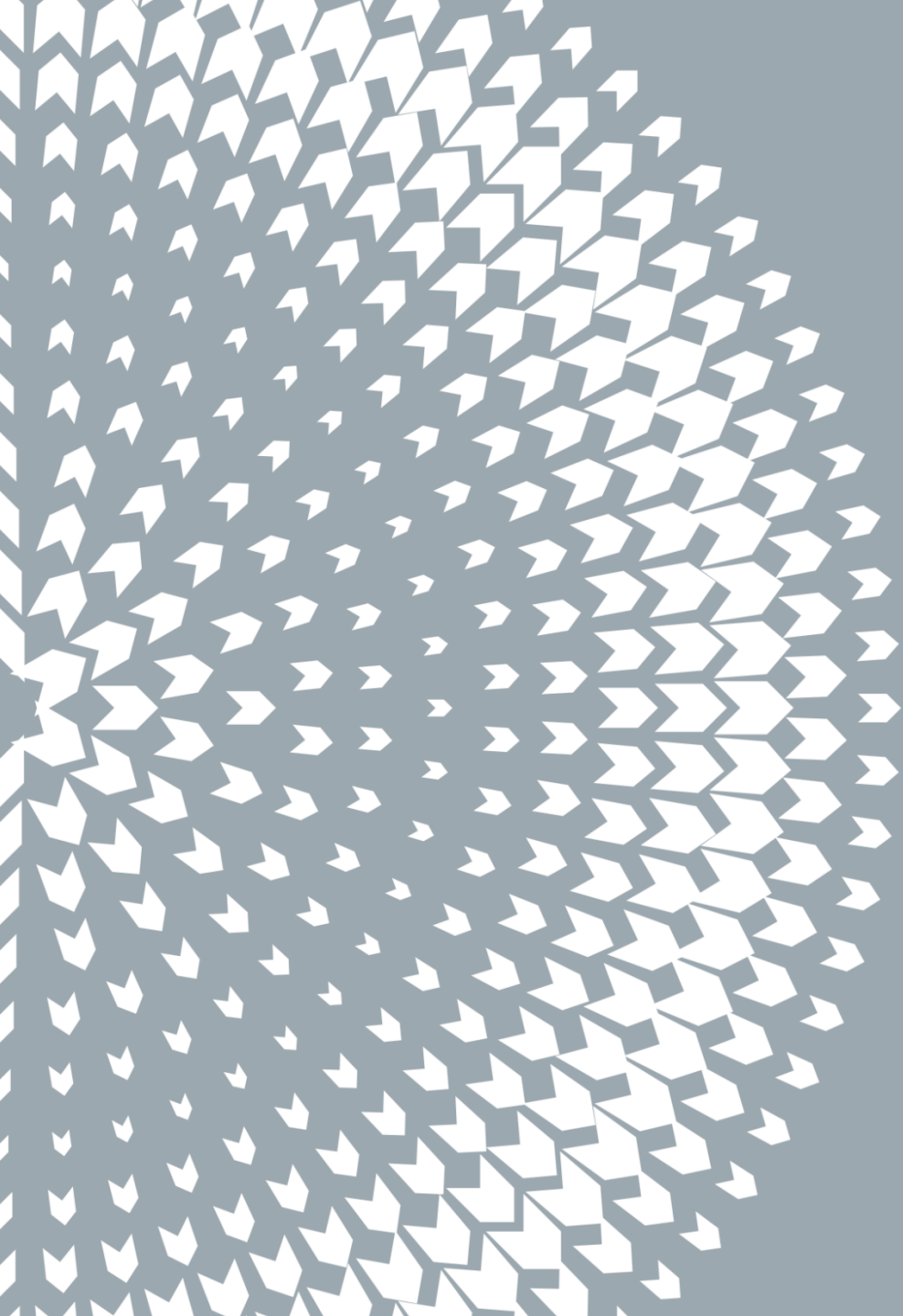
Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



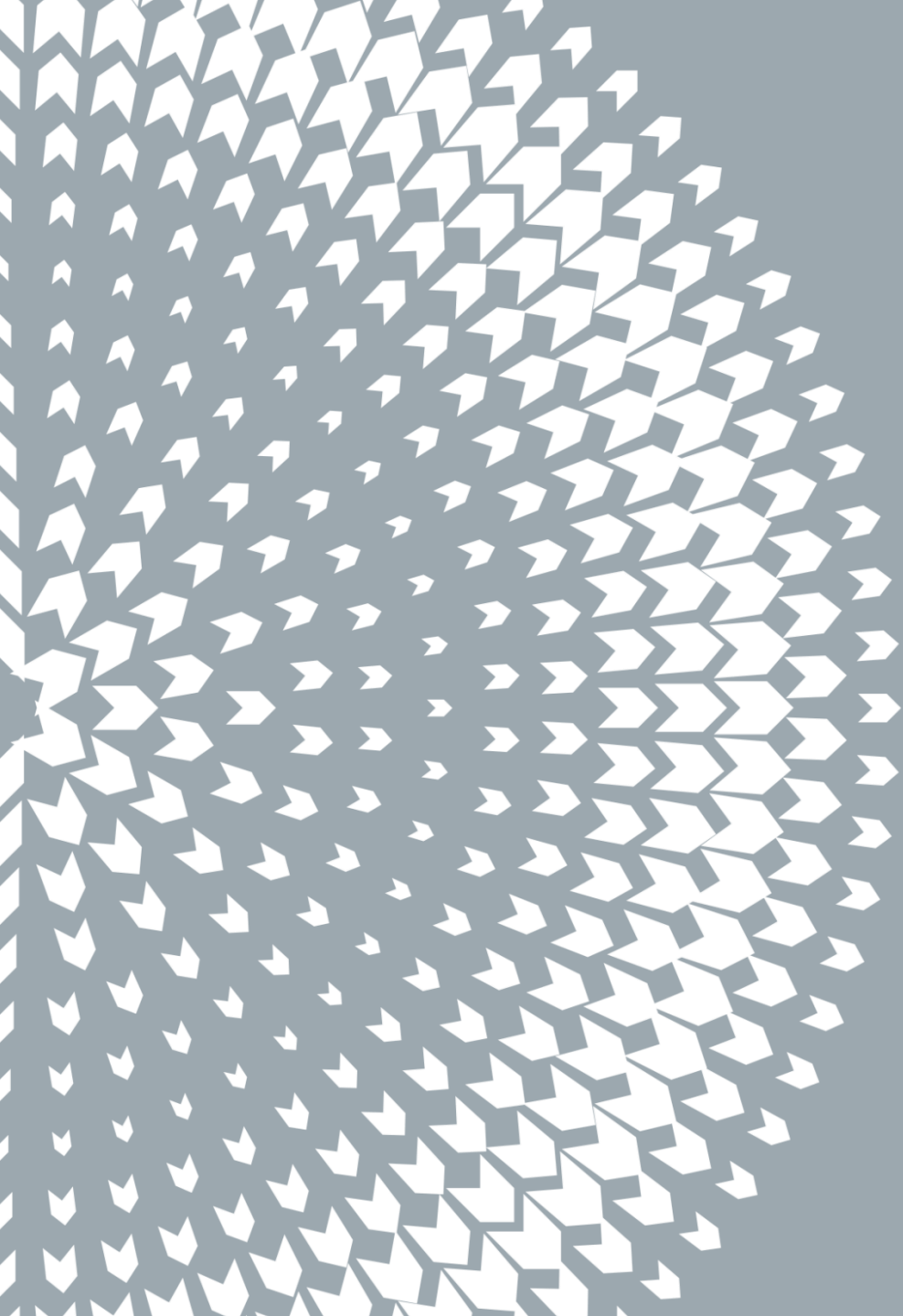
Nomu Market - Highlights

Monthly Report - Nomu-Parallel Market

Highlights

The total value of shares traded for the month ending 31 August 2021 amounted to SAR 963.73 million, increasing by 117.78% over the previous month; while total stock market capitalization reached SAR 14,907.72 million at the end of this period, increasing by 15.50% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 948.92 million representing 98.46% of total buying activity, and sales of SAR 945.02 million representing 98.06% of total selling activity. Total ownership of “Saudi Investors” stood at 99.53% of total market capitalization as of 31 August 2021, representing an increase of 0.13% from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.08 million representing 0.01% of total buying activity, and sales of SAR 0.59 million representing 0.06% of total selling activity. Total ownership of “GCC Investors” stood at 0.04% of total market capitalization as of 31 August 2021, representing a decrease of 0.01% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 14.74 million representing 1.53% of total buying activity, and sales of SAR 18.12 million representing 1.88% of total selling activity. Total ownership of “Foreign Investors” stood at 0.43% of total market capitalization as of 31 August 2021, representing a decrease of 0.12% from the previous month.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	710,789,140	73.75%	687,382,227	71.32%	23,406,914	2.43%
	Corporates	222,985,796	23.14%	254,471,657	26.40%	-31,485,861	-3.27%
	Institution	15,147,300	1.57%	3,167,854	0.33%	11,979,446	1.24%
	Total Saudi Investors	948,922,237	98.46%	945,021,738	98.06%	3,900,498	0.40%
GCC	Individuals	76,388	0.01%	592,154	0.06%	-515,766	-0.05%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	76,388	0.01%	592,154	0.06%	-515,766	-0.05%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	7,208,651	0.75%	7,431,837	0.77%	-223,185	-0.02%
	QFIs	7,527,526	0.78%	10,689,073	1.11%	-3,161,547	-0.33%
	Total Foreign Investors	14,736,177	1.53%	18,120,909	1.88%	-3,384,732	-0.35%
Grand Total		963,734,802	100.00%	963,734,802	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	245,660,622	25.49%	268,328,584	27.84%	-22,667,962	-2.35%
Non-Institutional	718,074,180	74.51%	695,406,218	72.16%	22,667,962	2.35%
Grand Total	963,734,802	100.00%	963,734,802	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 29 July 2021			As of 31 August 2021			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	8,429,054,184	65.31%	56.97%	10,464,203,746	70.19%	64.41%	2,035,149,562	4.89%	7.43%
	Corporates	4,314,109,343	33.43%	40.68%	4,276,999,781	28.69%	33.59%	-37,109,563	-4.74%	-7.09%
	Institution	86,083,539	0.67%	0.81%	96,394,526	0.65%	0.76%	10,310,988	-0.02%	-0.05%
	Total Saudi Investors	12,829,247,066	99.40%	98.46%	14,837,598,053	99.53%	98.76%	2,008,350,987	0.13%	0.29%
GCC	Individuals	5,086,965	0.04%	0.10%	5,087,099	0.03%	0.09%	134	-0.01%	-0.01%
	Institutions	1,570,362	0.01%	0.03%	1,539,163	0.01%	0.03%	-31,199	0.00%	0.00%
	Total GCC Investors	6,657,328	0.05%	0.13%	6,626,262	0.04%	0.12%	-31,065	-0.01%	-0.01%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	13,756,655	0.11%	0.27%	13,279,648	0.09%	0.24%	-477,007	-0.02%	-0.04%
	QFIs	56,950,951	0.44%	1.13%	50,217,037	0.34%	0.89%	-6,733,914	-0.10%	-0.24%
	Total Foreign Investors	70,707,607	0.55%	1.40%	63,496,685	0.43%	1.13%	-7,210,922	-0.12%	-0.28%
Grand Total		12,906,612,000	100.00%	100.00%	14,907,721,000	100.00%	100.00%	2,001,109,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 29 July 2021			As of 31 August 2021			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,458,714,195	34.55%	42.65%	4,425,150,507	29.68%	35.27%	-33,563,688	-4.86%	-7.39%
Non-Institutional	8,447,897,805	65.45%	57.35%	10,482,570,493	70.32%	64.73%	2,034,672,688	4.86%	7.39%
Grand Total	12,906,612,000	100.00%	100.00%	14,907,721,000	100.00%	100.00%	2,001,109,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you