

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 12 March 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	15,119,880,716	44.90%	12,451,895,934	36.98%	2,667,984,782	7.92%
	HNWIs	9,271,892,087	27.53%	8,710,805,634	25.87%	561,086,453	1.67%
	IPIs	1,494,260,868	4.44%	1,616,635,434	4.80%	-122,374,566	-0.36%
	Individual DPMs	516,375,977	1.53%	840,512,652	2.50%	-324,136,676	-0.96%
	Sub Total (Individuals)	26,402,409,647	78.41%	23,619,849,654	70.14%	2,782,559,993	8.26%
	Institutions:						
	Corporates	2,588,239,072	7.69%	750,388,930	2.23%	1,837,850,142	5.46%
	Mutual Funds	881,296,096	2.62%	2,001,718,309	5.94%	-1,120,422,213	-3.33%
	GRE's	16,793,312	0.05%	0	0.00%	16,793,312	0.05%
	Institution DPMs	398,368,794	1.18%	1,388,910,519	4.12%	-990,541,725	-2.94%
	Sub Total (Institutions)	3,884,697,274	11.54%	4,141,017,758	12.30%	-256,320,484	-0.76%
	Total Saudi Investors	30,287,106,921	89.94%	27,760,867,412	82.44%	2,526,239,509	7.50%
GCC	Individuals	42,870,233	0.13%	88,337,385	0.26%	-45,467,152	-0.14%
	Institutions	293,590,402	0.87%	978,476,755	2.91%	-684,886,353	-2.03%
	GCC DPMs	73,074,519	0.22%	6,705,407	0.02%	66,369,112	0.20%
	Total GCC Investors	409,535,155	1.22%	1,073,519,548	3.19%	-663,984,393	-1.97%
Foreign	SWAP Holders	26,272,184	0.08%	135,982,354	0.40%	-109,710,170	-0.33%
	Foreign Residents & Others	468,402,586	1.39%	418,597,658	1.24%	49,804,929	0.15%
	QFIs	2,481,526,529	7.37%	4,283,787,559	12.72%	-1,802,261,030	-5.35%
	Foreign DPMs	446,842	0.00%	535,688	0.00%	-88,845	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	2,976,648,142	8.84%	4,838,903,258	14.37%	-1,862,255,116	-5.53%
Grand Total		33,673,290,218	100.00%	33,673,290,218	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	8,770,244,595	26.05%	12,003,653,607	35.65%	-3,233,409,012	-9.60%
Non-Institutional	24,903,045,623	73.95%	21,669,636,611	64.35%	3,233,409,012	9.60%
Grand Total	33,673,290,218	100.00%	33,673,290,218	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 05 March 2020			As of 12 March 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	97,036,517,993	1.17%	8.87%	86,366,065,802	1.19%	9.27%	-10,670,452,191	0.02%	0.40%
	HNWIs	151,221,838,170	1.82%	13.65%	128,974,303,476	1.77%	13.68%	-22,247,534,694	-0.04%	0.03%
	IPIs	251,471,793,433	3.02%	17.72%	213,647,860,369	2.94%	17.48%	-37,823,933,064	-0.08%	-0.24%
	Individual DPMs	6,282,746,746	0.08%	0.57%	5,119,696,590	0.07%	0.55%	-1,163,050,156	-0.01%	-0.03%
	Sub Total (Individuals)	506,012,896,341	6.08%	40.82%	434,107,926,236	5.97%	40.97%	-71,904,970,106	-0.11%	0.16%
	Institutions:									
	Corporates	340,334,111,278	4.09%	22.98%	289,717,478,180	3.99%	22.84%	-50,616,633,099	-0.10%	-0.15%
	Mutual Funds	174,758,043,409	2.10%	11.08%	152,370,328,740	2.10%	11.05%	-22,387,714,669	0.00%	-0.04%
	GRE's	7,051,565,499,469	84.77%	7.13%	6,185,276,614,644	85.12%	7.27%	-866,288,884,825	0.35%	0.14%
	Institution DPMs	32,926,992,565	0.40%	3.01%	29,024,518,984	0.40%	3.12%	-3,902,473,580	0.00%	0.11%
Sub Total (Institutions)	7,599,584,646,720	91.36%	44.20%	6,656,388,940,547	91.61%	44.27%	-943,195,706,173	0.25%	0.06%	
Total Saudi Investors	8,105,597,543,061	97.44%	85.02%	7,090,496,866,783	97.58%	85.24%	-1,015,100,676,279	0.14%	0.22%	
GCC	Individuals	2,339,837,634	0.03%	0.22%	1,943,842,865	0.03%	0.21%	-395,994,769	0.00%	-0.01%
	Institutions	35,562,797,023	0.43%	2.16%	29,948,465,695	0.41%	2.11%	-5,614,331,328	-0.02%	-0.04%
	GCC DPMs	567,415,915	0.01%	0.05%	521,597,473	0.01%	0.06%	-45,818,443	0.00%	0.00%
	Total GCC Investors	38,470,050,572	0.46%	2.43%	32,413,906,033	0.45%	2.38%	-6,056,144,539	-0.02%	-0.05%
Foreign	SWAP Holders	2,795,169,287	0.03%	0.26%	2,344,546,719	0.03%	0.25%	-450,622,567	0.00%	0.00%
	Foreign Residents & Others	13,381,085,626	0.16%	0.97%	11,150,350,450	0.15%	0.96%	-2,230,735,176	-0.01%	-0.01%
	QFIs	120,873,245,038	1.45%	11.17%	101,406,154,764	1.40%	11.00%	-19,467,090,274	-0.06%	-0.16%
	Foreign DPMs	1,725,234,349	0.02%	0.16%	1,477,004,215	0.02%	0.16%	-248,230,135	0.00%	0.00%
	Strategic Investors	35,327,526,506	0.42%	0.00%	26,982,416,578	0.37%	0.00%	-8,345,109,928	-0.05%	0.00%
Total Foreign Investors	174,102,260,807	2.09%	12.55%	143,360,472,726	1.97%	12.38%	-30,741,788,080	-0.12%	-0.17%	
Grand Total		8,318,169,854,440	100.00%	100.00%	7,266,271,245,542	100.00%	100.00%	-1,051,898,608,898		

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 05 March 2020			As of 12 March 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,061,507,317,005	96.83%	76.30%	7,043,997,642,816	96.86%	75.88%	-1,017,509,674,189	0.03%	-0.42%
Non-Institutional	256,662,537,435	3.17%	23.70%	222,273,602,726	3.14%	24.12%	-34,388,934,709	-0.03%	0.42%
Grand Total	8,318,169,854,440	100.00%	100.00%	7,266,271,245,542	100.00%	100.00%	-1,051,898,608,898		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	108,750,194	93.82%	110,701,069	95.50%	-1,950,874	-1.68%
	Corporates	2,863,077	2.47%	1,354,745	1.17%	1,508,333	1.30%
	Institution	224,715	0.19%	129,201	0.11%	95,514	0.08%
	Total Saudi Investors	111,837,986	96.48%	112,185,014	96.78%	-347,028	-0.30%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	4,049,813	3.49%	3,729,408	3.22%	320,406	0.28%
	QFIs	26,622	0.02%	0	0.00%	26,622	0.02%
	Total Foreign Investors	4,076,435	3.52%	3,729,408	3.22%	347,028	0.30%
Grand Total		115,914,422	100.00%	115,914,422	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,114,414	2.69%	1,483,946	1.28%	1,630,468	1.41%
Non-Institutional	112,800,008	97.31%	114,430,476	98.72%	-1,630,468	-1.41%
Grand Total	115,914,422	100.00%	115,914,422	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 05 March 2020			As of 12 March 2020			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	2,312,517,654	96.93%	96.63%	1,748,480,255	97.04%	96.47%	-564,037,400	0.11%	-0.16%
	Corporates	67,349,166	2.82%	2.60%	48,216,955	2.68%	2.63%	-19,132,212	-0.15%	0.03%
	Institution	2,413,510	0.10%	0.29%	1,705,003	0.09%	0.28%	-708,507	-0.01%	-0.01%
	Total Saudi Investors	2,382,280,330	99.85%	99.52%	1,798,402,212	99.81%	99.38%	-583,878,119	-0.04%	-0.14%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	7,846	0.00%	0.00%	5,760	0.00%	0.00%	-2,086	0.00%	0.00%
	Foreign Residents	3,461,823	0.15%	0.48%	3,342,029	0.19%	0.62%	-119,795	0.04%	0.14%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	3,469,670	0.15%	0.48%	3,347,789	0.19%	0.62%	-121,881	0.04%	0.14%
Grand Total		2,385,750,000	100.00%	100.00%	1,801,750,000	100.00%	100.00%	-584,000,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 05 March 2020			As of 12 March 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	69,770,523	2.92%	2.89%	49,927,717	2.77%	2.91%	-19,842,806	-0.15%	0.02%
Non-Institutional	2,315,979,478	97.08%	97.11%	1,751,822,283	97.23%	97.09%	-564,157,195	0.15%	-0.02%
Grand Total	2,385,750,000	100%	100%	1,801,750,000	100%	100%	-584,000,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

