

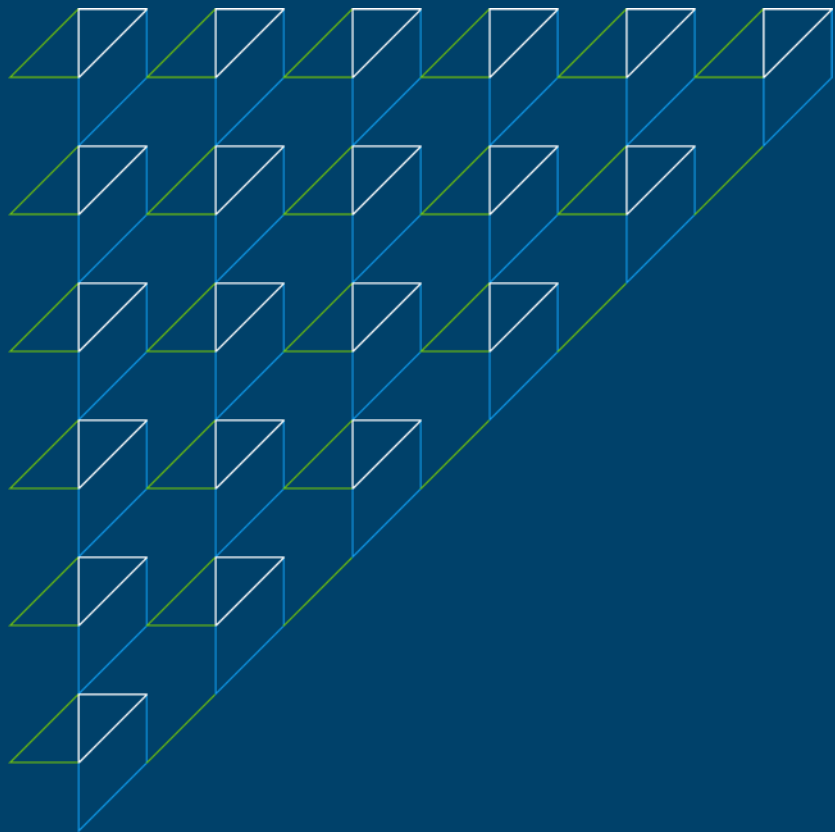
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 02 January 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	9,663,615,514	50.02%	9,947,168,516	51.49%	-283,553,002	-1.47%	
	HNWIs	4,917,139,113	25.45%	4,784,303,158	24.76%	132,835,954	0.69%	
	IPIs	461,745,621	2.39%	756,137,251	3.91%	-294,391,630	-1.52%	
	Individual DPMs	184,570,317	0.96%	270,233,643	1.40%	-85,663,326	-0.44%	
	Sub Total (Individuals)	15,227,070,565	78.82%	15,757,842,568	81.57%	-530,772,002	-2.75%	
	Institutions:							
	Corporates	1,427,710,722	7.39%	974,113,591	5.04%	453,597,131	2.35%	
	Mutual Funds	914,871,415	4.74%	1,206,953,348	6.25%	-292,081,933	-1.51%	
	GRE's	51,331,177	0.27%	2,715,216	0.01%	48,615,962	0.25%	
	Institution DPMs	304,689,503	1.58%	221,158,847	1.14%	83,530,656	0.43%	
	Sub Total (Institutions)	2,698,602,816	13.97%	2,404,941,000	12.45%	293,661,816	1.52%	
Total Saudi Investors	17,925,673,381	92.79%	18,162,783,568	94.02%	-237,110,187	-1.23%		
GCC	Individuals	39,933,442	0.21%	25,674,085	0.13%	14,259,357	0.07%	
	Institutions	240,593,255	1.25%	345,128,337	1.79%	-104,535,082	-0.54%	
	GCC DPMs	3,636,538	0.02%	2,959,218	0.02%	677,320	0.00%	
	Total GCC Investors	284,163,234	1.47%	373,761,640	1.93%	-89,598,405	-0.46%	
Foreign	SWAP Holders	34,549,027	0.18%	72,194,753	0.37%	-37,645,727	-0.19%	
	Foreign Residents & Others	257,855,707	1.33%	268,550,660	1.39%	-10,694,952	-0.06%	
	QFIs	816,357,033	4.23%	441,473,737	2.29%	374,883,296	1.94%	
	Foreign DPMs	387,854	0.00%	221,878	0.00%	165,976	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	1,109,149,620	5.74%	782,441,029	4.05%	326,708,592	1.69%	
Grand Total		19,318,986,236	100.00%	19,318,986,236	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,440,442,460	22.98%	4,293,289,818	22.22%	147,152,642	0.76%
Non-Institutional	14,878,543,776	77.02%	15,025,696,419	77.78%	-147,152,642	-0.76%
Grand Total	19,318,986,236	100.00%	19,318,986,236	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 26 December 2019			As of 02 January 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	117,096,748,839	1.30%	9.34%	107,186,645,768	1.19%	8.69%	-9,910,103,070	-0.10%	-0.65%
	HNWIs	161,453,953,710	1.79%	13.07%	168,340,847,886	1.87%	13.44%	6,886,894,176	0.08%	0.37%
	IPIs	280,083,862,553	3.10%	18.01%	284,386,821,607	3.16%	18.11%	4,302,959,054	0.06%	0.10%
	Individual DPMs	5,289,943,011	0.06%	0.43%	5,303,742,955	0.06%	0.45%	13,799,943	0.00%	0.01%
	Sub Total (Individuals)	563,924,508,113	6.24%	40.85%	565,218,058,216	6.28%	40.69%	1,293,550,103	0.04%	-0.16%
	Institutions:									
	Corporates	380,810,467,181	4.21%	23.12%	384,072,914,412	4.27%	23.35%	3,262,447,232	0.05%	0.23%
	Mutual Funds	204,451,021,897	2.26%	11.41%	204,296,777,720	2.27%	11.44%	-154,244,177	0.01%	0.03%
	GRE's	7,618,103,186,525	84.28%	7.32%	7,577,976,182,615	84.16%	6.61%	-40,127,003,911	-0.11%	-0.70%
	Institution DPMs	29,491,987,489	0.33%	2.42%	29,515,249,857	0.33%	2.49%	23,262,369	0.00%	0.07%
	Sub Total (Institutions)	8,232,856,663,092	91.08%	44.25%	8,195,861,124,604	91.02%	43.89%	-36,995,538,487	-0.05%	-0.37%
	Total Saudi Investors	8,796,781,171,204	97.31%	85.11%	8,761,079,182,820	97.30%	84.58%	-35,701,988,384	-0.01%	-0.53%
GCC	Individuals	2,679,579,383	0.03%	0.22%	2,713,930,391	0.03%	0.23%	34,351,009	0.00%	0.01%
	Institutions	41,219,338,985	0.46%	2.29%	41,741,322,589	0.46%	2.41%	521,983,605	0.01%	0.11%
	GCC DPMs	632,048,579	0.01%	0.05%	634,770,625	0.01%	0.05%	2,722,046	0.00%	0.00%
	Total GCC Investors	44,530,966,947	0.49%	2.57%	45,090,023,606	0.50%	2.69%	559,056,659	0.01%	0.12%
Foreign	SWAP Holders	3,358,321,356	0.04%	0.28%	3,315,827,350	0.04%	0.28%	-42,494,006	0.00%	0.00%
	Foreign Residents & Others	15,205,544,557	0.17%	0.99%	15,123,362,200	0.17%	1.02%	-82,182,357	0.00%	0.03%
	QFIs	134,244,315,882	1.49%	11.06%	134,721,538,253	1.50%	11.43%	477,222,371	0.01%	0.37%
	Foreign DPMs	19,697,053	0.00%	0.00%	19,300,381	0.00%	0.00%	-396,672	0.00%	0.00%
	Strategic Investors	45,416,988,197	0.50%	0.00%	44,770,202,685	0.50%	0.00%	-646,785,512	-0.01%	0.00%
Total Foreign Investors	198,244,867,046	2.19%	12.33%	197,950,230,870	2.20%	12.73%	-294,636,176	0.01%	0.40%	
Grand Total		9,039,557,005,197	100.00%	100.00%	9,004,119,437,296	100.00%	100.00%	-35,437,567,901		

* Non deposited shares for Saudi Cable Co. 2110 (Corporate Action - Capital Increase-Right issue) was not reflected on 02 January 2020

* Non deducted shares for Anaam International Holding Group 4061(Corporate Action, Capital Reduction) was not reflected on 02 January 2020

*Applied the newly introduced free float shares methodology

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 26 December 2019			As of 02 January 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,750,936,059,815	96.72%	76.38%	8,718,699,738,264	96.74%	76.63%	-32,236,321,552	0.02%	0.24%
Non-Institutional	288,620,945,382	3.28%	23.62%	285,419,699,032	3.26%	23.38%	-3,201,246,349	-0.02%	-0.24%
Grand Total	9,039,557,005,197	100.00%	100.00%	9,004,119,437,296	100.00%	100.00%	-35,437,567,901		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	34,155,163	96.34%	33,712,020	95.09%	443,143	1.25%
	Corporates	76,650	0.22%	0	0.00%	76,650	0.22%
	Institution	123,190	0.35%	295,663	0.83%	-172,473	-0.49%
	Total Saudi Investors	34,355,002	96.90%	34,007,683	95.92%	347,319	0.98%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,098,708	3.10%	1,446,028	4.08%	-347,319	-0.98%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	1,098,708	3.10%	1,446,028	4.08%	-347,319	-0.98%
Grand Total		35,453,711	100.00%	35,453,711	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	199,840	0.56%	295,663	0.83%	-95,823	-0.27%
Non-Institutional	35,253,871	99.44%	35,158,048	99.17%	95,823	0.27%
Grand Total	35,453,711	100.00%	35,453,711	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 26 December 2019			As of 02 January 2020			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	2,426,424,702	96.12%	98.27%	2,180,845,600	95.96%	98.50%	-245,579,102	-0.15%	0.23%
	Corporates	93,018,644	3.68%	0.97%	86,739,716	3.82%	0.80%	-6,278,929	0.13%	-0.17%
	Institution	2,379,952	0.09%	0.34%	2,219,972	0.10%	0.30%	-159,980	0.00%	-0.04%
	Total Saudi Investors	2,521,823,299	99.89%	99.59%	2,269,805,288	99.88%	99.60%	-252,018,011	-0.01%	0.01%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	2,676,701	0.11%	0.41%	2,744,712	0.12%	0.40%	68,011	0.01%	-0.01%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	2,676,701	0.11%	0.41%	2,744,712	0.12%	0.40%	68,011	0.01%	-0.01%
Grand Total		2,524,500,000	100.00%	100.00%	2,272,550,000	100.00%	100.00%	-251,950,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 26 December 2019			As of 02 January 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	95,398,596	3.78%	1.32%	88,959,688	3.91%	1.10%	-6,438,909	0.14%	-0.22%
Non-Institutional	2,429,101,404	96.22%	98.68%	2,183,590,312	96.09%	98.90%	-245,511,091	-0.14%	0.22%
Grand Total	2,524,500,000	100%	100%	2,272,550,000	100%	100%	-251,950,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Thank you

