

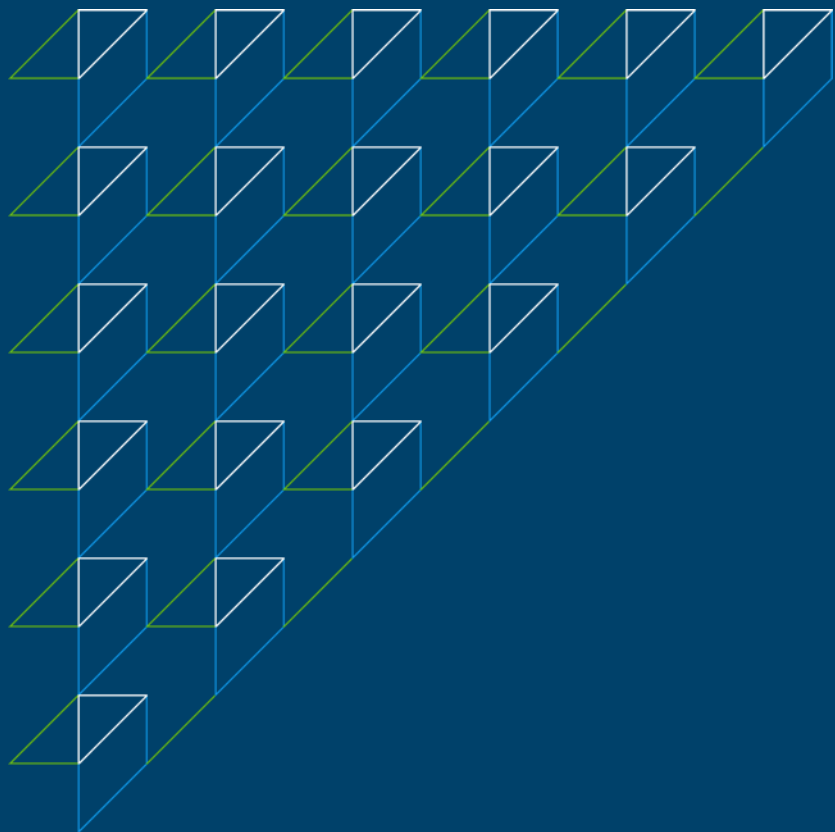
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 22 October 2020



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

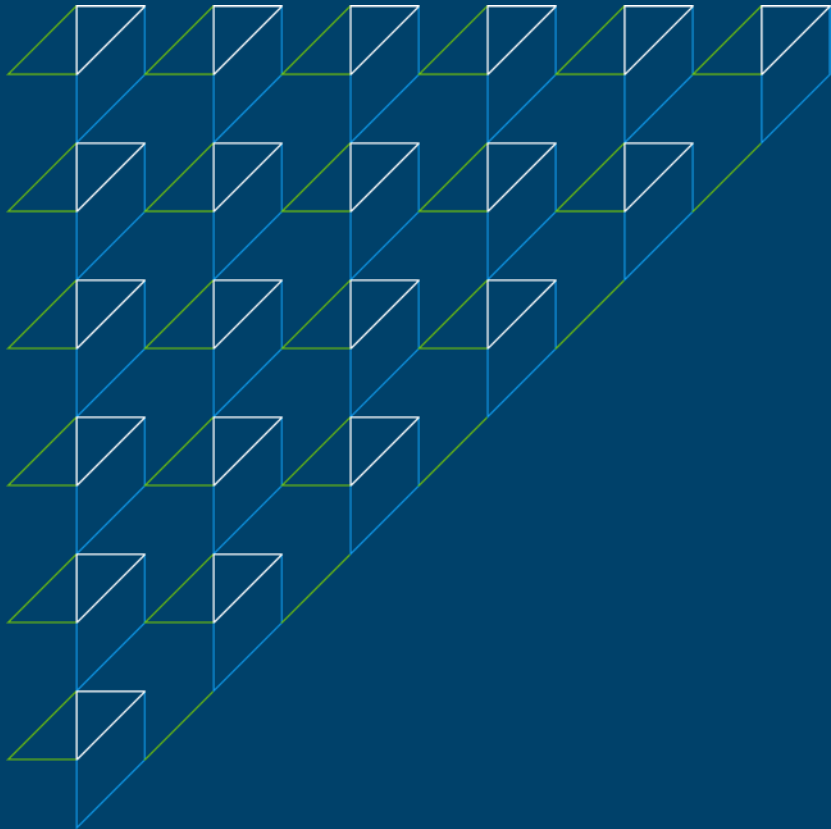
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	29,048,676,507	63.76%	29,606,959,108	64.99%	-558,282,601	-1.23%	
	HNWIs	10,778,014,644	23.66%	10,874,086,287	23.87%	-96,071,643	-0.21%	
	IPIs	894,395,654	1.96%	1,240,631,409	2.72%	-346,235,755	-0.76%	
	Individual DPMs	258,356,830	0.57%	352,987,201	0.77%	-94,630,371	-0.21%	
	Sub Total (Individuals)	40,979,443,635	89.95%	42,074,664,006	92.35%	-1,095,220,370	-2.40%	
	Institutions:							
	Corporates	1,007,044,006	2.21%	429,076,093	0.94%	577,967,913	1.27%	
	Mutual Funds	449,865,118	0.99%	607,105,183	1.33%	-157,240,065	-0.35%	
	GRE's	125,598,081	0.28%	41,234,364	0.09%	84,363,717	0.19%	
	Institution DPMs	222,795,116	0.49%	206,708,516	0.45%	16,086,600	0.04%	
	Sub Total (Institutions)	1,805,302,321	3.96%	1,284,124,156	2.82%	521,178,165	1.14%	
	Total Saudi Investors	42,784,745,956	93.91%	43,358,788,161	95.17%	-574,042,205	-1.26%	
	GCC	Individuals	65,487,150	0.14%	63,788,583	0.14%	1,698,567	0.00%
Institutions		208,018,502	0.46%	132,857,137	0.29%	75,161,366	0.16%	
GCC DPMs		167,202	0.00%	580,642	0.00%	-413,440	0.00%	
Total GCC Investors		273,672,854	0.60%	197,226,361	0.43%	76,446,492	0.17%	
Foreign	SWAP Holders	9,701,130	0.02%	26,117,276	0.06%	-16,416,146	-0.04%	
	Foreign Residents & Others	904,077,258	1.98%	887,448,931	1.95%	16,628,327	0.04%	
	QFIs	1,585,551,500	3.48%	1,088,210,732	2.39%	497,340,768	1.09%	
	Foreign DPMs	1,337,287	0.00%	1,294,523	0.00%	42,764	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	2,500,667,175	5.49%	2,003,071,462	4.40%	497,595,713	1.09%	
Grand Total		45,559,085,985	100.00%	45,559,085,985	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,762,830,426	10.45%	4,126,803,075	9.06%	636,027,351	1.40%
Non-Institutional	40,796,255,559	89.55%	41,432,282,910	90.94%	-636,027,351	-1.40%
Grand Total	45,559,085,985	100.00%	45,559,085,985	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 15 October 2020			As of 22 October 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	126,935,428,363	1.38%	10.03%	128,021,380,568	1.41%	10.15%	1,085,952,205	0.03%	0.11%
	HNWIs	177,575,784,277	1.93%	13.86%	175,263,364,766	1.93%	13.71%	-2,312,419,510	-0.01%	-0.15%
	IPIs	295,683,301,253	3.22%	16.96%	292,192,361,682	3.21%	16.76%	-3,490,939,571	-0.01%	-0.20%
	Individual DPMs	6,199,994,245	0.07%	0.49%	6,145,511,067	0.07%	0.48%	-54,483,178	0.00%	-0.01%
	Sub Total (Individuals)	606,394,508,137	6.60%	41.34%	601,622,618,083	6.61%	41.11%	-4,771,890,054	0.01%	-0.24%
	Institutions:									
	Corporates	600,926,993,862	6.54%	22.24%	613,744,171,051	6.74%	22.37%	12,817,177,189	0.20%	0.13%
	Mutual Funds	203,144,804,249	2.21%	11.01%	207,734,969,475	2.28%	11.00%	4,590,165,226	0.07%	-0.01%
	GRE's	7,483,527,792,267	81.42%	6.57%	7,385,144,199,039	81.12%	6.64%	-98,383,593,227	-0.30%	0.07%
	Institution DPMs	49,619,096,924	0.54%	3.91%	49,425,971,414	0.54%	3.91%	-193,125,509	0.00%	0.00%
	Sub Total (Institutions)	8,337,218,687,301	90.71%	43.73%	8,256,049,310,979	90.68%	43.91%	-81,169,376,321	-0.03%	0.18%
Total Saudi Investors	8,943,613,195,438	97.31%	85.07%	8,857,671,929,063	97.29%	85.02%	-85,941,266,375	-0.02%	-0.05%	
GCC	Individuals	2,613,454,282	0.03%	0.20%	2,592,450,239	0.03%	0.20%	-21,004,043	0.00%	0.00%
	Institutions	40,537,493,648	0.44%	2.09%	40,035,489,349	0.44%	2.07%	-502,004,299	0.00%	-0.02%
	GCC DPMs	279,202,706	0.00%	0.02%	272,579,417	0.00%	0.02%	-6,623,289	0.00%	0.00%
	Total GCC Investors	43,430,150,635	0.47%	2.32%	42,900,519,005	0.47%	2.30%	-529,631,630	0.00%	-0.02%
Foreign	SWAP Holders	2,112,175,228	0.02%	0.16%	2,079,381,216	0.02%	0.16%	-32,794,012	0.00%	0.00%
	Foreign Residents & Others	7,934,407,981	0.09%	0.49%	7,818,060,333	0.09%	0.48%	-116,347,649	0.00%	0.00%
	QFIs	151,632,405,732	1.65%	11.84%	152,030,601,228	1.67%	11.91%	398,195,496	0.02%	0.07%
	Foreign DPMs	1,513,997,599	0.02%	0.12%	1,608,553,151	0.02%	0.13%	94,555,552	0.00%	0.01%
	Strategic Investors	40,755,222,728	0.44%	0.00%	40,043,028,016	0.44%	0.00%	-712,194,713	0.00%	0.00%
Total Foreign Investors	203,948,209,268	2.22%	12.61%	203,579,623,943	2.24%	12.68%	-368,585,325	0.02%	0.08%	
Grand Total		9,190,991,555,341	100.00%	100.00%	9,104,152,072,011	100.00%	100.00%	-86,839,483,331		

* Non deposited shares was not reflected as on 22 October holding value (Al Kathiri Holding Co. 3008 - Corporate Action -Right Issue)

* Non deposited shares was not reflected as on 22 October holding value (Mobile Telecommunication Company Saudi Arabia. 7030 - Corporate Action -Right Issue)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 15 October 2020			As of 22 October 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,877,589,025,712	96.57%	75.42%	8,792,073,922,291	96.55%	75.45%	-85,515,103,421	-0.02%	0.04%
Non-Institutional	313,402,529,630	3.43%	24.58%	312,078,149,720	3.45%	24.55%	-1,324,379,910	0.02%	-0.04%
Grand Total	9,190,991,555,341	100.00%	100.00%	9,104,152,072,011	100.00%	100.00%	-86,839,483,331		

Definitions

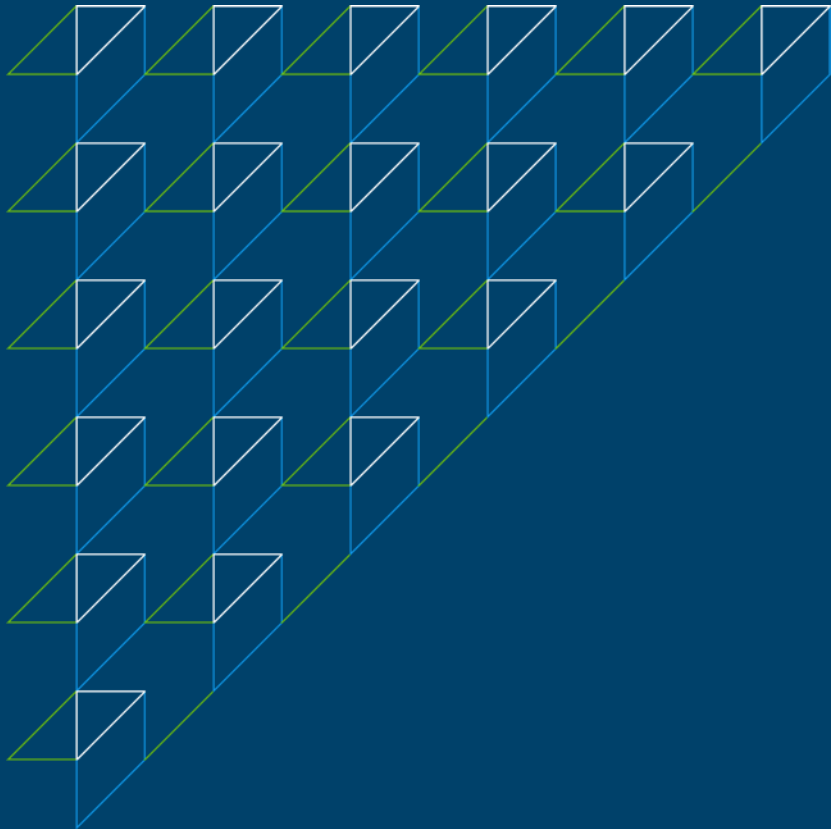
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	121,680,059	95.64%	117,619,994	92.45%	4,060,065	3.19%
	Corporates	1,895,054	1.49%	7,481,998	5.88%	-5,586,945	-4.39%
	Institution	143,000	0.11%	152,350	0.12%	-9,350	-0.01%
	Total Saudi Investors	123,718,113	97.24%	125,254,343	98.45%	-1,536,229	-1.21%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	2,595,188	2.04%	852,067	0.67%	1,743,121	1.37%
	QFIs	910,589	0.72%	1,117,481	0.88%	-206,892	-0.16%
	Total Foreign Investors	3,505,778	2.76%	1,969,548	1.55%	1,536,229	1.21%
Grand Total		127,223,891	100.00%	127,223,891	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	2,948,643	2.32%	8,751,829	6.88%	-5,803,186	-4.56%
Non-Institutional	124,275,248	97.68%	118,472,062	93.12%	5,803,186	4.56%
Grand Total	127,223,891	100.00%	127,223,891	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 15 October 2020			As of 22 October 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	4,542,246,392	59.15%	66.23%	5,048,818,366	62.36%	66.96%	506,571,974	3.21%	0.72%
	Corporates	3,027,501,348	39.42%	30.14%	2,936,348,878	36.27%	29.47%	-91,152,470	-3.16%	-0.67%
	Institution	11,595,955	0.15%	0.25%	11,541,136	0.14%	0.25%	-54,818	-0.01%	-0.01%
	Total Saudi Investors	7,581,343,695	98.72%	96.63%	7,996,708,381	98.77%	96.68%	415,364,686	0.05%	0.05%
GCC	Individuals	3,016,836	0.04%	0.10%	3,033,202	0.04%	0.10%	16,366	0.00%	0.00%
	Institutions	89,675,669	1.17%	3.08%	90,162,155	1.11%	3.00%	486,486	-0.05%	-0.08%
	Total GCC Investors	92,692,505	1.21%	3.18%	93,195,358	1.15%	3.10%	502,853	-0.06%	-0.08%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	5,351,653	0.07%	0.18%	5,374,844	0.07%	0.18%	23,191	0.00%	0.00%
	QFIs	112,147	0.00%	0.00%	1,221,418	0.02%	0.04%	1,109,271	0.01%	0.04%
	Total Foreign Investors	5,463,800	0.07%	0.19%	6,596,262	0.08%	0.22%	1,132,461	0.01%	0.03%
Grand Total		7,679,500,000	100.00%	100.00%	8,096,500,000	100.00%	100.00%	417,000,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 15 October 2020			As of 22 October 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	3,128,885,119	40.74%	33.48%	3,039,273,588	37.54%	32.76%	-89,611,532	-3.21%	-0.72%
Non-Institutional	4,550,614,881	59.26%	66.52%	5,057,226,413	62.46%	67.24%	506,611,532	3.21%	0.72%
Grand Total	7,679,500,000	100%	100%	8,096,500,000	100%	100%	417,000,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

