

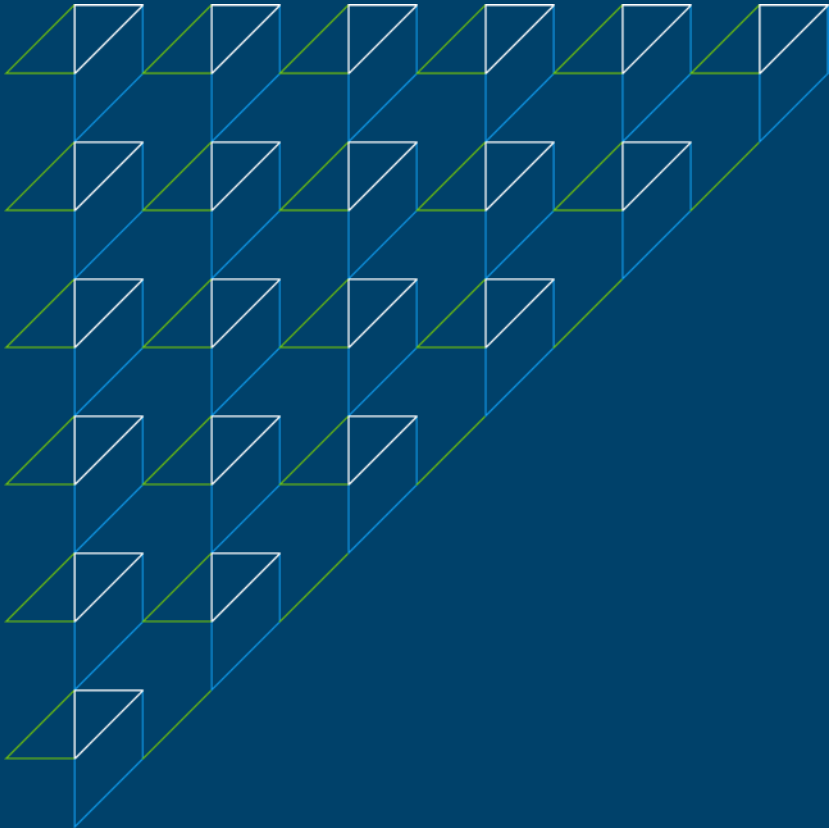
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 17 August 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

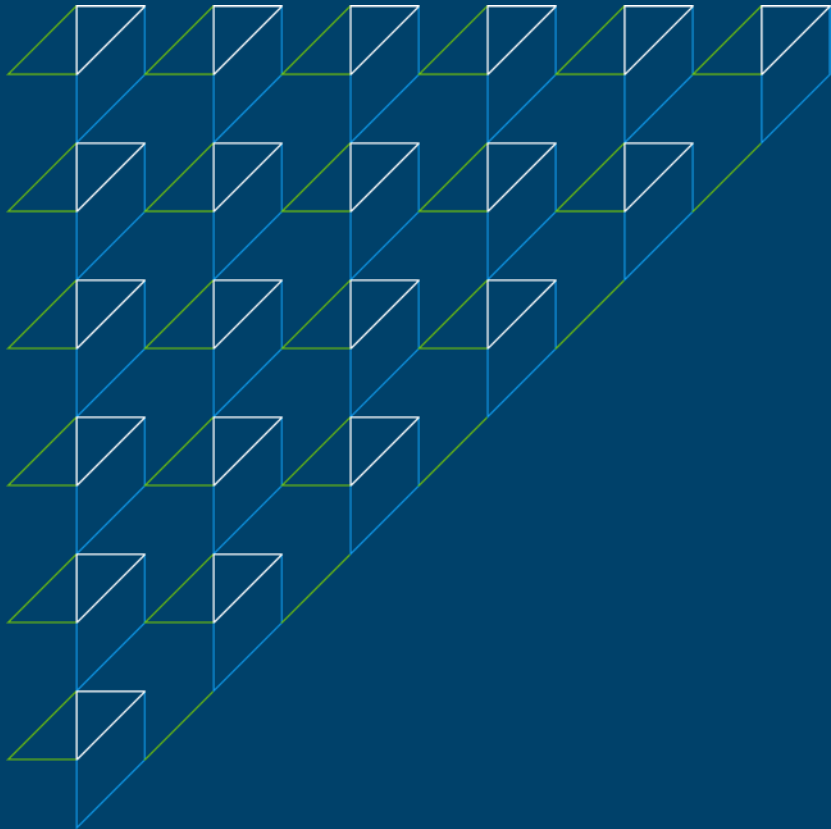
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	7,365,994,938	49.65%	8,730,206,692	58.85%	-1,364,211,754	-9.20%
	HNWIs	4,035,628,107	27.20%	3,927,028,887	26.47%	108,599,220	0.73%
	IPIs	278,534,185	1.88%	394,523,233	2.66%	-115,989,048	-0.78%
	Individual DPMs	122,560,071	0.83%	99,303,888	0.67%	23,256,183	0.16%
	Sub Total (Individuals)	11,802,717,300	79.56%	13,151,062,700	88.64%	-1,348,345,400	-9.09%
	Institutions:						
	Corporates	1,371,693,225	9.25%	369,026,785	2.49%	1,002,666,440	6.76%
	Mutual Funds	562,604,709	3.79%	345,279,209	2.33%	217,325,500	1.46%
	GRE's	9,367,113	0.06%	1,977,395	0.01%	7,389,718	0.05%
	Institution DPMs	227,859,569	1.54%	131,527,303	0.89%	96,332,267	0.65%
	Sub Total (Institutions)	2,171,524,616	14.64%	847,810,691	5.71%	1,323,713,925	8.92%
	Total Saudi Investors	13,974,241,916	94.19%	13,998,873,391	94.36%	-24,631,475	-0.17%
GCC	Individuals	35,177,332	0.24%	36,169,819	0.24%	-992,488	-0.01%
	Institutions	174,754,873	1.18%	299,728,662	2.02%	-124,973,789	-0.84%
	GCC DPMs	46,930	0.00%	0	0.00%	46,930	0.00%
	Total GCC Investors	209,979,135	1.42%	335,898,481	2.26%	-125,919,346	-0.85%
Foreign	SWAP Holders	168,308,668	1.13%	113,058,462	0.76%	55,250,205	0.37%
	Foreign Residents	242,014,220	1.63%	265,618,738	1.79%	-23,604,518	-0.16%
	QFIs	186,084,005	1.25%	118,664,452	0.80%	67,419,553	0.45%
	Foreign DPMs	55,229,881	0.37%	3,192,068	0.02%	52,037,813	0.35%
	Strategic Partners	0	0.00%	552,233	0.00%	-552,233	0.00%
	Total Foreign Investors	651,636,774	4.39%	501,085,953	3.38%	150,550,821	1.01%
Grand Total		14,835,857,825	100.00%	14,835,857,825	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,157,043,229	21.28%	1,876,833,689	12.65%	1,280,209,540	8.63%
Non-Institutional	11,678,814,596	78.72%	12,959,024,136	87.35%	-1,280,209,540	-8.63%
Grand Total	14,835,857,825	100.00%	14,835,857,825	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 10 August 2017		As of 17 August 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	71,656,054,349	4.23%	72,191,298,341	4.25%	535,243,993	0.02%
	HNWIs	131,351,576,371	7.75%	131,488,659,023	7.74%	137,082,652	-0.01%
	IPIs	255,392,811,522	15.07%	255,945,488,002	15.07%	552,676,480	0.00%
	Individual DPMs	5,057,510,338	0.30%	5,030,719,777	0.30%	-26,790,561	0.00%
	Sub Total (Individuals)	463,457,952,580	27.35%	464,656,165,143	27.37%	1,198,212,563	0.01%
	Institutions:						
	Corporates	278,418,557,844	16.43%	278,300,089,207	16.39%	-118,468,637	-0.04%
	Mutual Funds	103,316,082,389	6.10%	103,034,224,970	6.07%	-281,857,419	-0.03%
	GRE's	686,201,067,724	40.50%	688,029,426,051	40.52%	1,828,358,327	0.02%
	Institution DPMs	49,171,201,841	2.90%	49,242,554,135	2.90%	71,352,294	0.00%
	Sub Total (Institutions)	1,117,106,909,798	65.94%	1,118,606,294,363	65.88%	1,499,384,565	-0.05%
	Total Saudi Investors	1,580,564,862,378	93.29%	1,583,262,459,507	93.25%	2,697,597,129	-0.04%
GCC	Individuals	2,969,024,061	0.18%	2,969,021,520	0.17%	-2,541	0.00%
	Institutions	32,982,653,167	1.95%	32,695,153,278	1.93%	-287,499,890	-0.02%
	GCC DPMs	669,627,350	0.04%	832,663,233	0.05%	163,035,883	0.01%
	Total GCC Investors	36,621,304,578	2.16%	36,496,838,030	2.15%	-124,466,548	-0.01%
Foreign	SWAP Holders	10,105,941,883	0.60%	10,001,441,238	0.59%	-104,500,645	-0.01%
	Foreign Residents	4,337,779,115	0.26%	4,352,245,347	0.26%	14,466,232	0.00%
	QFIs	6,690,725,263	0.39%	6,891,577,132	0.41%	200,851,869	0.01%
	Foreign DPMs	2,648,767,504	0.16%	2,646,221,568	0.16%	-2,545,936	0.00%
	Strategic Partners	53,267,301,766	3.14%	54,223,103,007	3.19%	955,801,241	0.05%
	Total Foreign Investors	77,050,515,531	4.55%	78,114,588,292	4.60%	1,064,072,761	0.05%
Grand Total		1,694,236,682,487	100.00%	1,697,873,885,828	100.00%	3,637,203,342	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 10 August 2017		As of 17 August 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,483,922,248,591	87.59%	1,486,872,661,597	87.57%	2,950,413,007	-0.01%
Non-Institutional	210,314,433,896	12.41%	211,001,224,231	12.43%	686,790,335	0.01%
Grand Total	1,694,236,682,487	100.00%	1,697,873,885,828	100.00%	3,637,203,342	

Definitions

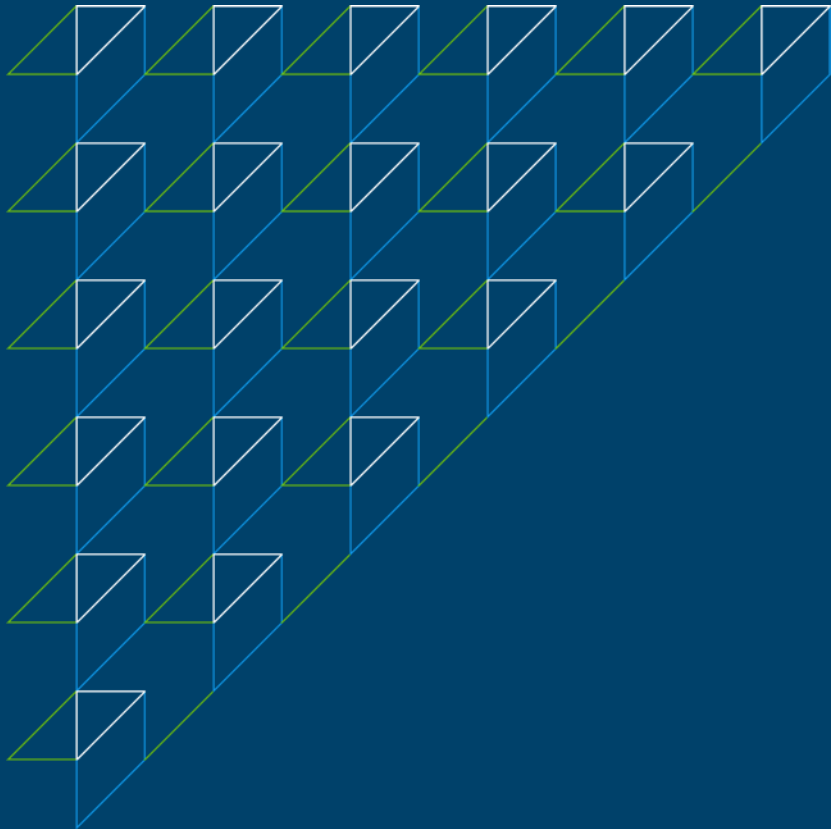
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	9,153,217	76.10%	9,396,333	78.12%	-243,116	-2.02%
	Corporates	619,663	5.15%	950,747	7.90%	-331,084	-2.75%
	Institution	997,165	8.29%	1,475,785	12.27%	-478,620	-3.98%
	Total Saudi Investors	10,770,045	89.55%	11,822,865	98.30%	-1,052,820	-8.75%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	754,584	6.27%	0	0.00%	754,584	6.27%
	Total GCC Investors	754,584	6.27%	0	0.00%	754,584	6.27%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	311,001	2.59%	204,633	1.70%	106,367	0.88%
	QFIs	191,868	1.60%	0	0.00%	191,868	1.60%
	Total Foreign Investors	502,869	4.18%	204,633	1.70%	298,236	2.48%
Grand Total		12,027,498	100.00%	12,027,498	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	2,563,281	21.31%	2,426,532	20.17%	136,749	1.14%
Non-Institutional	9,464,218	78.69%	9,600,967	79.83%	-136,749	-1.14%
Grand Total	12,027,498	100.00%	12,027,498	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 10 August 2017		As of 17 August 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,159,676,161	88.19%	2,136,696,947	88.13%	-22,979,214	-0.05%
	Corporates	135,097,729	5.52%	137,968,329	5.69%	2,870,601	0.17%
	Institution	133,039,288	5.43%	131,481,189	5.42%	-1,558,099	-0.01%
	Total Saudi Investors	2,427,813,178	99.13%	2,406,146,466	99.24%	-21,666,713	0.11%
GCC	Individuals	30,065	0.00%	29,736	0.00%	-330	0.00%
	Institutions	14,964,928	0.61%	12,049,790	0.50%	-2,915,138	-0.11%
	Total GCC Investors	14,994,993	0.61%	12,079,526	0.50%	-2,915,467	-0.11%
Foreign	SWAP Holders	209,325	0.01%	209,775	0.01%	450	0.00%
	Foreign Residents	4,692,220	0.19%	4,594,612	0.19%	-97,608	0.00%
	QFIs	1,318,159	0.05%	1,431,032	0.06%	112,873	0.01%
	Total Foreign Investors	6,219,704	0.25%	6,235,419	0.26%	15,715	0.00%
Grand Total		2,449,027,875	100.00%	2,424,461,410	100.00%	-24,566,465	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 10 August 2017		As of 17 August 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	284,629,429	11.62%	283,140,116	11.68%	-1,489,313	0.06%
Non-Institutional	2,164,398,446	88.38%	2,141,321,294	88.32%	-23,077,152	-0.06%
Grand Total	2,449,027,875	100.00%	2,424,461,410	100.00%	-24,566,465	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

