

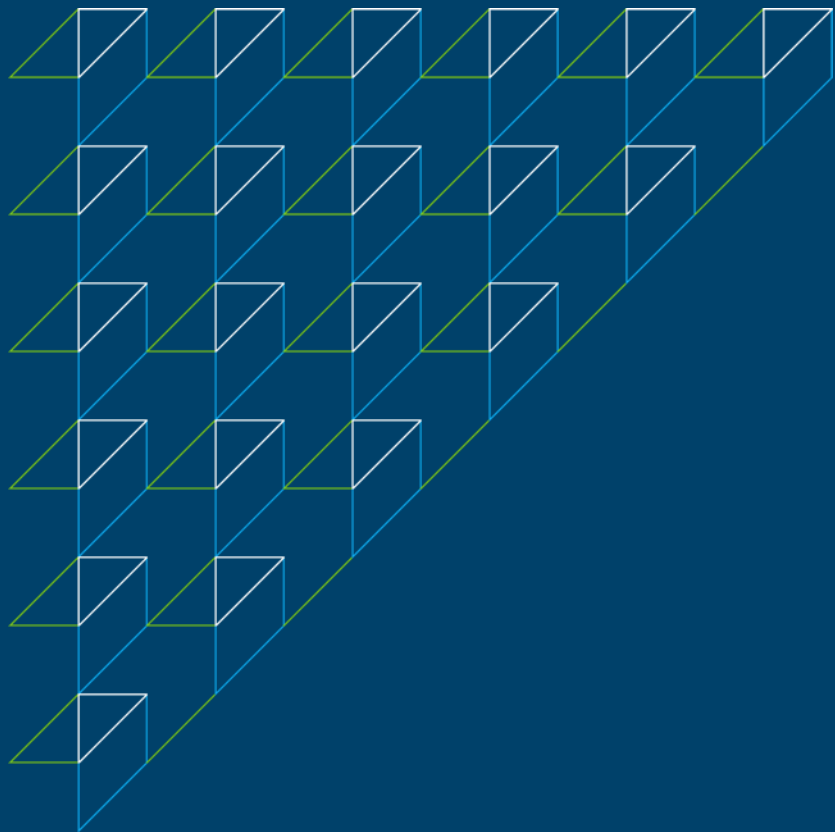
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 23 January 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	9,566,045,294	51.94%	9,513,419,895	51.65%	52,625,400	0.29%	
	HNWIs	4,580,352,689	24.87%	4,578,049,795	24.86%	2,302,894	0.01%	
	IPIs	583,535,547	3.17%	1,180,313,301	6.41%	-596,777,755	-3.24%	
	Individual DPMs	139,464,638	0.76%	209,593,312	1.14%	-70,128,674	-0.38%	
	Sub Total (Individuals)	14,869,398,168	80.73%	15,481,376,303	84.06%	-611,978,135	-3.32%	
	Institutions:							
	Corporates	707,424,550	3.84%	707,240,627	3.84%	183,923	0.00%	
	Mutual Funds	823,624,259	4.47%	615,162,375	3.34%	208,461,885	1.13%	
	GRE's	133,151	0.00%	17,312,839	0.09%	-17,179,688	-0.09%	
	Institution DPMs	227,966,919	1.24%	162,945,524	0.88%	65,021,395	0.35%	
	Sub Total (Institutions)	1,759,148,878	9.55%	1,502,661,365	8.16%	256,487,514	1.39%	
	Total Saudi Investors	16,628,547,046	90.29%	16,984,037,668	92.22%	-355,490,621	-1.93%	
GCC	Individuals	36,993,194	0.20%	46,166,002	0.25%	-9,172,808	-0.05%	
	Institutions	293,726,037	1.59%	289,685,257	1.57%	4,040,780	0.02%	
	GCC DPMs	261,678	0.00%	1,178,175	0.01%	-916,497	0.00%	
	Total GCC Investors	330,980,909	1.80%	337,029,434	1.83%	-6,048,525	-0.03%	
Foreign	SWAP Holders	27,280,483	0.15%	54,870,632	0.30%	-27,590,149	-0.15%	
	Foreign Residents & Others	271,594,223	1.47%	267,901,159	1.45%	3,693,064	0.02%	
	QFIs	1,158,912,271	6.29%	773,755,879	4.20%	385,156,392	2.09%	
	Foreign DPMs	420,921	0.00%	141,081	0.00%	279,840	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	1,458,207,898	7.92%	1,096,668,751	5.95%	361,539,146	1.96%	
Grand Total		18,417,735,852	100.00%	18,417,735,852	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,962,750,452	21.52%	4,012,199,002	21.78%	-49,448,550	-0.27%
Non-Institutional	14,454,985,400	78.48%	14,405,536,851	78.22%	49,448,550	0.27%
Grand Total	18,417,735,852	100.00%	18,417,735,852	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 16 January 2020			As of 23 January 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	108,067,774,432	1.21%	8.72%	108,559,493,276	1.23%	8.84%	491,718,844	0.01%	0.12%
	HNWIs	169,840,735,140	1.91%	13.48%	169,108,642,292	1.91%	13.54%	-732,092,848	0.00%	0.06%
	IPIs	286,085,774,929	3.21%	18.18%	283,041,590,301	3.20%	18.14%	-3,044,184,628	-0.01%	-0.04%
	Individual DPMs	5,370,000,174	0.06%	0.43%	5,361,780,923	0.06%	0.44%	-8,219,251	0.00%	0.00%
	Sub Total (Individuals)	569,364,284,675	6.39%	40.81%	566,071,506,792	6.40%	40.96%	-3,292,777,883	0.00%	0.15%
	Institutions:									
	Corporates	387,208,651,006	4.35%	23.16%	383,456,737,145	4.33%	23.13%	-3,751,913,862	-0.01%	-0.03%
	Mutual Funds	206,384,691,577	2.32%	11.41%	203,993,133,671	2.31%	11.42%	-2,391,557,906	-0.01%	0.00%
	GRE's	7,465,475,735,712	83.84%	7.21%	7,419,666,721,905	83.87%	7.15%	-45,809,013,808	0.03%	-0.06%
	Institution DPMs	29,839,150,087	0.34%	2.41%	29,656,633,772	0.34%	2.41%	-182,516,316	0.00%	0.01%
Sub Total (Institutions)	8,088,908,228,383	90.84%	44.19%	8,036,773,226,492	90.84%	44.11%	-52,135,001,891	0.00%	-0.08%	
Total Saudi Investors	8,658,272,513,058	97.23%	84.99%	8,602,844,733,284	97.24%	85.06%	-55,427,779,774	0.01%	0.07%	
GCC	Individuals	2,716,413,881	0.03%	0.22%	2,714,378,767	0.03%	0.22%	-2,035,114	0.00%	0.00%
	Institutions	41,445,198,640	0.47%	2.24%	41,395,889,216	0.47%	2.24%	-49,309,424	0.00%	0.00%
	GCC DPMs	645,236,832	0.01%	0.05%	643,530,710	0.01%	0.05%	-1,706,122	0.00%	0.00%
	Total GCC Investors	44,806,849,354	0.50%	2.51%	44,753,798,693	0.51%	2.52%	-53,050,661	0.00%	0.00%
Foreign	SWAP Holders	3,320,546,265	0.04%	0.27%	3,276,083,199	0.04%	0.27%	-44,463,066	0.00%	0.00%
	Foreign Residents & Others	15,343,580,502	0.17%	0.99%	15,367,541,952	0.17%	0.99%	23,961,450	0.00%	0.01%
	QFIs	137,122,331,230	1.54%	11.24%	135,595,702,167	1.53%	11.16%	-1,526,629,063	-0.01%	-0.08%
	Foreign DPMs	19,670,966	0.00%	0.00%	19,593,624	0.00%	0.00%	-77,342	0.00%	0.00%
	Strategic Investors	45,710,716,731	0.51%	0.00%	45,183,112,568	0.51%	0.00%	-527,604,163	0.00%	0.00%
Total Foreign Investors	201,516,845,695	2.26%	12.49%	199,442,033,511	2.25%	12.42%	-2,074,812,184	-0.01%	-0.07%	
Grand Total		8,904,596,208,106	100.00%	100.00%	8,847,040,565,488	100.00%	100.00%	-57,555,642,618		

* Non deposited shares for Saudi Cable Co. 2110 (Corporate Action - Capital Increase-Right issue) was not reflected on 23 January 2020

* Non deposited shares for Al Sorayal Trading and Industrial Group 1213 (Corporate Action - Capital Increase-Right issue) was not reflected on 23 January 2020

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 16 January 2020			As of 23 January 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,616,705,824,693	96.68%	76.60%	8,559,464,414,662	96.66%	76.41%	-57,241,410,031	-0.02%	-0.19%
Non-Institutional	287,890,383,413	3.32%	23.40%	287,576,150,826	3.34%	23.59%	-314,232,587	0.02%	0.19%
Grand Total	8,904,596,208,106	100.00%	100.00%	8,847,040,565,488	100.00%	100.00%	-57,555,642,618		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	68,417,340	97.79%	68,439,067	97.82%	-21,726	-0.03%
	Corporates	0	0.00%	11,856	0.02%	-11,856	-0.02%
	Institution	0	0.00%	0	0.00%	0	0.00%
	Total Saudi Investors	68,417,340	97.79%	68,450,923	97.84%	-33,582	-0.05%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,543,732	2.21%	1,510,150	2.16%	33,582	0.05%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	1,543,732	2.21%	1,510,150	2.16%	33,582	0.05%
Grand Total		69,961,072	100.00%	69,961,072	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	0	0.00%	11,856	0.02%	-11,856	-0.02%
Non-Institutional	69,961,072	100.00%	69,949,216	99.98%	11,856	0.02%
Grand Total	69,961,072	100.00%	69,961,072	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 16 January 2020			As of 23 January 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	2,278,508,213	96.38%	99.10%	2,284,131,432	96.32%	99.04%	5,623,218	-0.06%	-0.06%
	Corporates	80,153,077	3.39%	0.20%	81,517,749	3.44%	0.18%	1,364,672	0.05%	-0.02%
	Institution	2,296,242	0.10%	0.30%	2,291,650	0.10%	0.31%	-4,592	0.00%	0.01%
	Total Saudi Investors	2,360,957,532	99.87%	99.60%	2,367,940,830	99.86%	99.53%	6,983,299	-0.02%	-0.07%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	3,042,468	0.13%	0.40%	3,409,170	0.14%	0.47%	366,701	0.02%	0.07%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	3,042,468	0.13%	0.40%	3,409,170	0.14%	0.47%	366,701	0.02%	0.07%
Grand Total		2,364,000,000	100.00%	100.00%	2,371,350,000	100.00%	100.00%	7,350,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 16 January 2020			As of 23 January 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	82,449,319	3.49%	0.50%	83,809,399	3.53%	0.49%	1,360,080	0.05%	-0.01%
Non-Institutional	2,281,550,681	96.51%	99.50%	2,287,540,601	96.47%	99.51%	5,989,920	-0.05%	0.01%
Grand Total	2,364,000,000	100%	100%	2,371,350,000	100%	100%	7,350,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

