

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 06 February 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	8,586,880,110	44.57%	8,865,022,780	46.01%	-278,142,671	-1.44%	
	HNWIs	4,639,035,956	24.08%	4,590,850,407	23.83%	48,185,549	0.25%	
	IPIs	628,530,240	3.26%	966,742,847	5.02%	-338,212,607	-1.76%	
	Individual DPMs	208,905,536	1.08%	308,231,032	1.60%	-99,325,495	-0.52%	
	Sub Total (Individuals)	14,063,351,842	72.99%	14,730,847,065	76.45%	-667,495,224	-3.46%	
	Institutions:							
	Corporates	1,210,916,382	6.28%	741,314,907	3.85%	469,601,475	2.44%	
	Mutual Funds	1,311,773,156	6.81%	985,356,685	5.11%	326,416,471	1.69%	
	GRE's	0	0.00%	138,738,917	0.72%	-138,738,917	-0.72%	
	Institution DPMs	295,694,584	1.53%	375,931,742	1.95%	-80,237,158	-0.42%	
	Sub Total (Institutions)	2,818,384,122	14.63%	2,241,342,252	11.63%	577,041,871	2.99%	
Total Saudi Investors	16,881,735,964	87.62%	16,972,189,317	88.09%	-90,453,353	-0.47%		
GCC	Individuals	55,972,952	0.29%	38,773,844	0.20%	17,199,108	0.09%	
	Institutions	219,343,951	1.14%	579,920,422	3.01%	-360,576,471	-1.87%	
	GCC DPMs	1,725,153	0.01%	29,918,928	0.16%	-28,193,775	-0.15%	
	Total GCC Investors	277,042,056	1.44%	648,613,193	3.37%	-371,571,137	-1.93%	
Foreign	SWAP Holders	38,268,502	0.20%	48,256,931	0.25%	-9,988,429	-0.05%	
	Foreign Residents & Others	257,837,052	1.34%	252,440,277	1.31%	5,396,775	0.03%	
	QFIs	1,812,553,461	9.41%	1,345,658,612	6.98%	466,894,849	2.42%	
	Foreign DPMs	39,670	0.00%	318,373	0.00%	-278,704	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	2,108,698,685	10.94%	1,646,674,194	8.55%	462,024,491	2.40%	
Grand Total		19,267,476,704	100.00%	19,267,476,704	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,727,750,635	29.73%	5,520,389,396	28.65%	207,361,238	1.08%
Non-Institutional	13,539,726,069	70.27%	13,747,087,308	71.35%	-207,361,238	-1.08%
Grand Total	19,267,476,704	100.00%	19,267,476,704	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 30 January 2020			As of 06 February 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	105,261,483,858	1.20%	9.00%	103,885,108,808	1.21%	8.80%	-1,376,375,051	0.01%	-0.20%
	HNWIs	168,619,713,076	1.93%	13.58%	162,323,988,520	1.90%	13.58%	-6,295,724,556	-0.03%	0.00%
	IPIs	279,956,890,672	3.20%	18.07%	272,089,628,322	3.18%	17.93%	-7,867,262,351	-0.02%	-0.14%
	Individual DPMs	5,260,489,779	0.06%	0.44%	7,928,182,411	0.09%	0.67%	2,667,692,632	0.03%	0.24%
	Sub Total (Individuals)	559,098,577,385	6.39%	41.09%	546,226,908,061	6.39%	40.98%	-12,871,669,325	0.00%	-0.11%
	Institutions:									
	Corporates	376,109,010,315	4.30%	23.04%	365,290,882,161	4.27%	22.82%	-10,818,128,155	-0.03%	-0.22%
	Mutual Funds	201,516,679,422	2.30%	11.43%	195,065,126,399	2.28%	11.14%	-6,451,553,023	-0.02%	-0.29%
	GRE's	7,345,148,455,634	83.96%	7.12%	7,180,522,494,308	83.94%	7.10%	-164,625,961,326	-0.01%	-0.02%
	Institution DPMs	29,284,621,955	0.33%	2.42%	36,174,204,832	0.42%	3.06%	6,889,582,877	0.09%	0.64%
	Sub Total (Institutions)	7,952,058,767,326	90.89%	44.02%	7,777,052,707,700	90.92%	44.12%	-175,006,059,626	0.02%	0.11%
Total Saudi Investors	8,511,157,344,711	97.28%	85.10%	8,323,279,615,760	97.30%	85.10%	-187,877,728,951	0.02%	0.00%	
GCC	Individuals	2,655,326,036	0.03%	0.22%	2,607,478,248	0.03%	0.22%	-47,847,789	0.00%	0.00%
	Institutions	40,892,878,387	0.47%	2.24%	39,946,096,711	0.47%	2.25%	-946,781,676	0.00%	0.00%
	GCC DPMs	632,015,540	0.01%	0.05%	617,607,563	0.01%	0.05%	-14,407,977	0.00%	0.00%
	Total GCC Investors	44,180,219,963	0.50%	2.52%	43,171,182,522	0.50%	2.52%	-1,009,037,441	0.00%	0.01%
Foreign	SWAP Holders	3,174,443,086	0.04%	0.27%	3,131,629,713	0.04%	0.27%	-42,813,372	0.00%	0.00%
	Foreign Residents & Others	14,928,055,626	0.17%	0.98%	14,455,966,997	0.17%	0.97%	-472,088,629	0.00%	-0.01%
	QFIs	133,079,755,632	1.52%	11.13%	128,337,261,238	1.50%	10.99%	-4,742,494,394	-0.02%	-0.14%
	Foreign DPMs	19,716,157	0.00%	0.00%	1,617,680,797	0.02%	0.14%	1,597,964,640	0.02%	0.14%
	Strategic Investors	42,306,038,034	0.48%	0.00%	39,958,328,639	0.47%	0.00%	-2,347,709,395	-0.02%	0.00%
	Total Foreign Investors	193,508,008,535	2.21%	12.38%	187,500,867,384	2.19%	12.38%	-6,007,141,151	-0.02%	-0.01%
Grand Total		8,748,845,573,209	100.00%	100.00%	8,553,951,665,666	100.00%	100.00%	-194,893,907,542		

* Non deposited shares for AI Sorayal Trading and Industrial Group 12 13 (Corporate Action - Capital Increase-Right issue) was not reflected on 06 February 2020

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 30 January 2020			As of 06 February 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,465,361,511,984	96.67%	76.21%	8,278,442,732,731	96.69%	76.42%	-186,918,779,253	0.02%	0.21%
Non-Institutional	283,484,061,225	3.33%	23.79%	275,508,932,935	3.31%	23.58%	-7,975,128,290	-0.02%	-0.21%
Grand Total	8,748,845,573,209	100.00%	100.00%	8,553,951,665,666	100.00%	100.00%	-194,893,907,542		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	67,705,094	95.03%	56,550,053	79.37%	11,155,042	15.66%
	Corporates	2,166,390	3.04%	13,096,424	18.38%	-10,930,034	-15.34%
	Institution	345,075	0.48%	446,230	0.63%	-101,156	-0.14%
	Total Saudi Investors	70,216,559	98.55%	70,092,707	98.38%	123,852	0.17%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,031,490	1.45%	1,155,342	1.62%	-123,852	-0.17%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	1,031,490	1.45%	1,155,342	1.62%	-123,852	-0.17%
Grand Total		71,248,049	100.00%	71,248,049	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	2,511,465	3.52%	13,542,654	19.01%	-11,031,190	-15.48%
Non-Institutional	68,736,584	96.48%	57,705,395	80.99%	11,031,190	15.48%
Grand Total	71,248,049	100.00%	71,248,049	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 30 January 2020			As of 06 February 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	2,261,850,173	96.28%	98.99%	2,178,698,219	96.13%	98.78%	-83,151,953	-0.14%	-0.21%
	Corporates	81,270,231	3.46%	0.17%	80,980,790	3.57%	0.30%	-289,441	0.11%	0.13%
	Institution	2,309,670	0.10%	0.31%	2,563,279	0.11%	0.35%	253,609	0.01%	0.04%
	Total Saudi Investors	2,345,430,073	99.84%	99.47%	2,262,242,288	99.82%	99.43%	-83,187,785	-0.01%	-0.04%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	3,869,927	0.16%	0.53%	4,057,712	0.18%	0.57%	187,785	0.01%	0.04%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	3,869,927	0.16%	0.53%	4,057,712	0.18%	0.57%	187,785	0.01%	0.04%
Grand Total		2,349,300,000	100.00%	100.00%	2,266,300,000	100.00%	100.00%	-83,000,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 30 January 2020			As of 06 February 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	83,579,900	3.56%	0.48%	83,544,069	3.69%	0.64%	-35,832	0.13%	0.17%
Non-Institutional	2,265,720,100	96.44%	99.52%	2,182,755,931	96.31%	99.36%	-82,964,168	-0.13%	-0.17%
Grand Total	2,349,300,000	100%	100%	2,266,300,000	100%	100%	-83,000,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

