

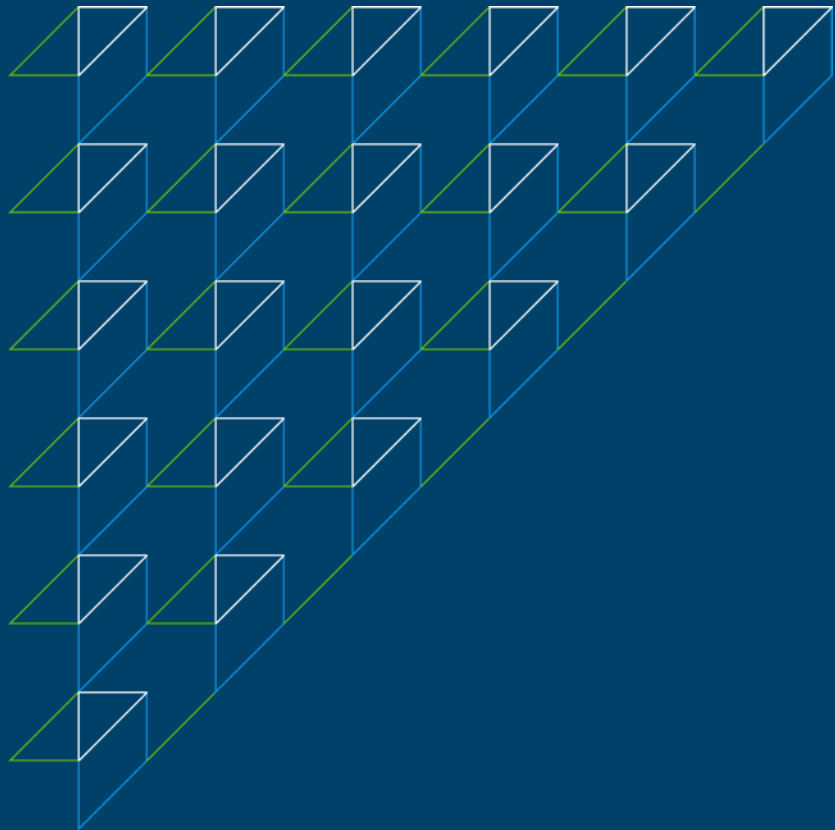
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 13 October 2016



Definitions

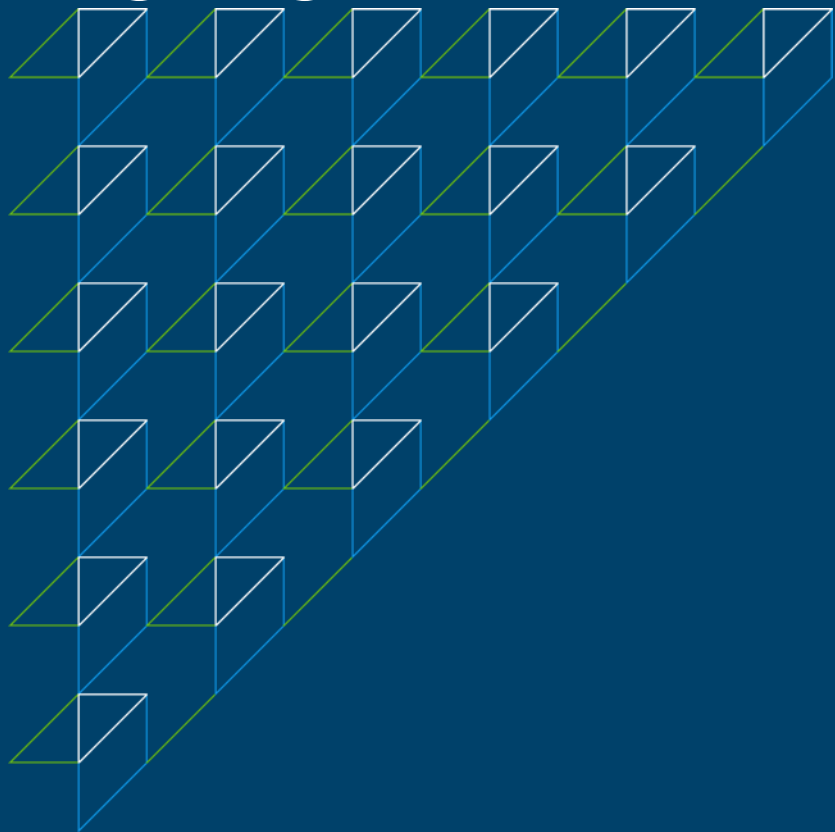


Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

Highlights



Weekly Stock Market Ownership and Trading Activity Report

Highlights

The total value of shares traded for the week ending 13 October 2016 amounted to SAR 14.83 billion, decreasing by 2.44% over the previous week; while total stock market capitalization reached SAR 1,347.74* billion at the end of this period, increasing by 0.71% over the previous week.

- The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 13.51 billion representing 91.09% of total buying activity, and sales of SAR 13.99 billion representing 94.33% of total selling activity. Total ownership of “Saudi Investors” stood at 93.51% of total market capitalization as of 13 October 2016, representing an increase of 0.01% from the previous week.
- The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.348 billion representing 2.34% of total buying activity, and sales of SAR 0.325 billion representing 2.19% of total selling activity. Total ownership of “GCC Investors” stood at 2.42% of total market capitalization as of 13 October 2016, representing an increase of 0.01% from the previous week.
- The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 0.974 billion representing 6.57% of total buying activity, and sales of SAR 0.515 billion representing 3.47% of total selling activity. Total ownership of “Foreign Investors” stood at 4.07% of total market capitalization as of 13 October 2016, representing a decrease of 0.02% from the previous week.



* Non Deposited Tradable Rights amount of 714,000,000 SAR was not reflected as on 13 October holding value

Stock Market Value Traded Breakdown



Weekly Stock Market Ownership and Trading Activity Report

Value Traded (by Nationality and Investor Type)

Stock Market Traded Value Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,072,631,023	40.94%	6,679,838,464	45.04%	-607,207,441	-4.09%
	HNWIs	4,705,043,133	31.72%	5,138,252,137	34.64%	-433,209,004	-2.92%
	IPIs	305,103,741	2.06%	690,998,647	4.66%	-385,894,906	-2.60%
	Individual DPMs	232,802,939	1.57%	236,779,554	1.60%	-3,976,615	-0.03%
	Sub Total (Individuals)	11,315,580,835	76.29%	12,745,868,801	85.94%	-1,430,287,966	-9.64%
	Institutions:						
	Corporates	879,851,522	5.93%	468,601,496	3.16%	411,250,026	2.77%
	Mutual Funds	535,933,016	3.61%	452,572,439	3.05%	83,360,577	0.56%
	GRE's	252,729,911	1.70%	3,641,405	0.02%	249,088,506	1.68%
	Institution DPMs	525,830,818	3.55%	320,513,120	2.16%	205,317,698	1.38%
	Sub Total (Institutions)	2,194,345,267	14.80%	1,245,328,458	8.40%	949,016,808	6.40%
Total Saudi Investors	13,509,926,102	91.09%	13,991,197,259	94.33%	-481,271,157	-3.24%	
GCC	Individuals	45,506,919	0.31%	44,258,740	0.30%	1,248,179	0.01%
	Institutions	297,014,298	2.00%	277,542,429	1.87%	19,471,868	0.13%
	GCC DPMs	5,278,575	0.04%	3,385,530	0.02%	1,893,045	0.01%
	Total GCC Investors	347,799,791	2.34%	325,186,699	2.19%	22,613,093	0.15%
Foreign	SWAP Holders	722,808,163	4.87%	199,737,914	1.35%	523,070,249	3.53%
	Foreign Residents	226,574,243	1.53%	273,631,245	1.84%	-47,057,002	-0.32%
	QFIs	23,942,194	0.16%	41,407,395	0.28%	-17,465,201	-0.12%
	Foreign DPMs	580,425	0.00%	470,406	0.00%	110,019	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	973,905,026	6.57%	515,246,961	3.47%	458,658,065	3.09%
Grand Total		14,831,630,919	100.00%	14,831,630,919	100.00%		

Weekly Stock Market Ownership and Trading Activity Report

Value Traded (by Investor Classification)

Stock Market Traded Value Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,781,875,601	25.50%	2,695,650,333	18.18%	1,086,225,268	7.32%
Non-Institutional	11,049,755,318	74.50%	12,135,980,585	81.82%	-1,086,225,268	-7.32%
Grand Total	14,831,630,919	100.00%	14,831,630,919	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Stock Market Ownership Breakdown



Weekly Stock Market Ownership and Trading Activity Report

Ownership Value (by Nationality and Investor Type)

Stock Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 6 October 2016		As of 13 October 2016*		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	62,570,557,824	4.68%	63,484,645,558	4.71%	914,087,735	0.04%
	HNWIs	112,179,381,316	8.38%	112,175,667,417	8.32%	-3,713,898	-0.06%
	IPIs	219,976,982,693	16.44%	222,855,616,830	16.54%	2,878,634,136	0.10%
	Individual DPMs	4,034,707,262	0.30%	4,107,601,060	0.30%	72,893,798	0.00%
	Sub Total (Individuals)	398,761,629,094	29.80%	402,623,530,866	29.87%	3,861,901,771	0.08%
	Institutions:						
	Corporates	232,967,714,605	17.41%	236,277,806,698	17.53%	3,310,092,093	0.12%
	Mutual Funds	40,177,562,280	3.00%	40,749,839,444	3.02%	572,277,164	0.02%
	GRE's	542,111,753,560	40.51%	542,769,751,166	40.27%	657,997,606	-0.24%
	Institution DPMs	37,256,753,673	2.78%	37,810,737,909	2.81%	553,984,236	0.02%
	Sub Total (Institutions)	852,513,784,119	63.70%	857,608,135,218	63.63%	5,094,351,099	-0.07%
Total Saudi Investors	1,251,275,413,214	93.50%	1,260,231,666,084	93.51%	8,956,252,870	0.01%	
GCC	Individuals	2,563,558,972	0.19%	2,586,470,964	0.19%	22,911,993	0.00%
	Institutions	29,071,913,154	2.17%	29,451,897,294	2.19%	379,984,140	0.01%
	GCC DPMs	556,749,637	0.04%	563,725,661	0.04%	6,976,024	0.00%
	Total GCC Investors	32,192,221,763	2.41%	32,602,093,920	2.42%	409,872,157	0.01%
Foreign	SWAP Holders	12,603,046,507	0.94%	13,301,628,635	0.99%	698,582,129	0.05%
	Foreign Residents	3,716,058,329	0.28%	3,692,500,303	0.27%	-23,558,027	0.00%
	QFIs	1,099,111,815	0.08%	1,110,885,429	0.08%	11,773,614	0.00%
	Foreign DPMs	10,358,559	0.00%	10,789,378	0.00%	430,819	0.00%
	Strategic Partners	37,386,504,019	2.79%	36,793,087,604	2.73%	-593,416,415	-0.06%
	Total Foreign Investors	54,815,079,229	4.10%	54,908,891,349	4.07%	93,812,120	-0.02%
Grand Total		1,338,282,714,205	100.00%	1,347,742,651,352	100.00%	9,459,937,147	

* Non Deposited Tradable Rights amount of 714,000,000 SAR was not reflected as on 13 October holding value

Weekly Stock Market Ownership and Trading Activity Report

Ownership Value (by Investor Classification)

Stock Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 6 October 2016		As of 13 October 2016*		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,157,253,157,765	86.47%	1,165,803,367,109	86.50%	8,550,209,344	0.03%
Non-Institutional	181,029,556,440	13.53%	181,939,284,243	13.50%	909,727,803	-0.03%
Grand Total	1,338,282,714,205	100.00%	1,347,742,651,352	100.00%	9,459,937,147	

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Thank you

