

Weekly Stock Market Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Week Ending 15 April 2021



Definitions

Daily Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	18,243,274,701	50.83%	18,200,660,136	50.71%	42,614,565	0.12%	
	HNWIs	9,389,415,218	26.16%	9,506,059,305	26.49%	-116,644,088	-0.33%	
	IPs	733,515,874	2.04%	887,228,442	2.47%	-153,712,568	-0.43%	
	Individual DPMS	328,455,392	0.92%	330,665,310	0.92%	-2,209,918	-0.01%	
	Sub Total (Individuals)	28,694,661,184	79.95%	28,924,613,193	80.60%	-229,952,009	-0.64%	
	Institutions:							
	Corporates	1,008,518,973	2.81%	796,015,672	2.22%	212,503,301	0.59%	
	Mutual Funds	2,140,403,063	5.96%	2,473,071,915	6.89%	-332,668,852	-0.93%	
	GRE's	88,706,602	0.25%	21,838,752	0.06%	66,867,850	0.19%	
	Institution DPMS	592,906,077	1.65%	773,520,691	2.16%	-180,614,614	-0.50%	
	Sub Total (Institutions)	3,830,534,715	10.67%	4,064,447,031	11.33%	-233,912,316	-0.65%	
	Total Saudi Investors	32,525,195,899	90.63%	32,989,060,224	91.92%	-463,864,325	-1.29%	
	GCC	Individuals	57,449,852	0.16%	66,677,783	0.19%	-9,227,931	-0.03%
Institutions		171,434,460	0.48%	447,102,942	1.25%	-275,668,482	-0.77%	
GCC DPMS		5,042,274	0.01%	4,918,093	0.01%	124,181	0.00%	
Total GCC Investors		233,926,586	0.65%	518,698,818	1.45%	-284,772,232	-0.79%	
Foreign	SWAP Holders	4,054,175	0.01%	7,299,236	0.02%	-3,245,062	-0.01%	
	Foreign Residents & Others	574,651,286	1.60%	559,030,614	1.56%	15,620,672	0.04%	
	QFIs	2,550,093,551	7.11%	1,813,449,380	5.05%	736,644,171	2.05%	
	Foreign DPMS	632,507	0.00%	1,015,731	0.00%	-383,223	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	3,129,431,519	8.72%	2,380,794,961	6.63%	748,636,558	2.09%	
Grand Total		35,888,554,004	100.00%	35,888,554,004	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	7,623,762,947	21.24%	7,556,126,165	21.05%	67,636,783	0.19%
Non-Institutional	28,264,791,056	78.76%	28,332,427,839	78.95%	-67,636,783	-0.19%
Grand Total	35,888,554,004	100.00%	35,888,554,004	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 08 April 2021			As of 15 April 2021			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	137,450,295,085	1.43%	9.06%	137,577,429,566	1.44%	9.09%	127,134,482	0.00%	0.03%
	HNWIs	214,708,944,457	2.24%	13.99%	214,233,655,624	2.24%	13.99%	-475,288,833	0.00%	0.00%
	IPIs	340,375,652,863	3.55%	16.46%	339,264,190,927	3.54%	16.44%	-1,111,461,936	-0.01%	-0.03%
	Individual DPMS	7,070,406,986	0.07%	0.46%	7,064,149,515	0.07%	0.46%	-6,257,471	0.00%	0.00%
	Sub Total (Individuals)	699,605,299,391	7.30%	39.97%	698,139,425,633	7.29%	39.98%	-1,465,873,759	-0.01%	0.01%
	Institutions:									
	Corporates	738,325,133,003	7.70%	22.31%	737,761,131,263	7.70%	22.34%	-564,001,740	0.00%	0.04%
	Mutual Funds	241,417,666,825	2.52%	10.79%	239,296,153,024	2.50%	10.74%	-2,121,513,801	-0.02%	-0.05%
	GRE's	7,539,706,114,031	78.62%	6.37%	7,531,153,678,793	78.64%	6.36%	-8,552,435,238	0.02%	-0.01%
	Institution DPMS	73,116,621,952	0.76%	4.81%	72,623,092,504	0.76%	4.79%	-493,529,448	0.00%	-0.02%
Sub Total (Institutions)	8,592,565,535,811	89.60%	44.28%	8,580,834,055,584	89.60%	44.24%	-11,731,480,228	0.00%	-0.04%	
Total Saudi Investors	9,292,170,835,203	96.89%	84.25%	9,278,973,481,217	96.89%	84.22%	-13,197,353,986	0.00%	-0.02%	
GCC	Individuals	3,148,726,463	0.03%	0.21%	3,126,029,195	0.03%	0.21%	-22,697,268	0.00%	0.00%
	Institutions	47,204,194,599	0.49%	2.22%	46,840,625,326	0.49%	2.22%	-363,569,273	0.00%	-0.01%
	GCC DPMS	283,174,953	0.00%	0.02%	288,931,334	0.00%	0.02%	5,756,381	0.00%	0.00%
	Total GCC Investors	50,636,096,015	0.53%	2.45%	50,255,585,855	0.52%	2.44%	-380,510,161	0.00%	-0.01%
Foreign	SWAP Holders	2,088,709,803	0.02%	0.14%	2,100,115,986	0.02%	0.14%	11,406,183	0.00%	0.00%
	Foreign Residents & Others	7,324,687,608	0.08%	0.35%	7,304,864,921	0.08%	0.36%	-19,822,686	0.00%	0.00%
	QFIs	194,024,716,197	2.02%	12.81%	193,929,238,234	2.03%	12.84%	-95,477,963	0.00%	0.03%
	Foreign DPMS	17,063,898	0.00%	0.00%	16,603,157	0.00%	0.00%	-460,742	0.00%	0.00%
	Total Foreign Investors	43,881,793,494	0.46%	0.00%	44,092,579,373	0.46%	0.00%	210,785,879	0.00%	0.00%
Grand Total		9,590,143,902,217	100.00%	100.00%	9,576,672,468,742	100.00%	100.00%	-13,471,433,475		

* Non deposited shares was not reflected as on 15 April holding value (Tabuk Agricultural Development Co. 6040 - Corporate Action - Right Issue)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 08 April 2021			As of 15 April 2021			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	9,229,374,458,313	96.22%	76.39%	9,216,279,515,975	96.22%	76.36%	-13,094,942,338	0.00%	-0.04%
Non-Institutional	360,769,443,904	3.78%	23.61%	360,392,952,767	3.78%	23.64%	-376,491,137	0.00%	0.04%
Grand Total	9,590,143,902,217	100.00%	100.00%	9,576,672,468,742	100.00%	100.00%	-13,471,433,475		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	73,691,390	91.25%	79,808,175	98.82%	-6,116,785	-7.57%
	Corporates	0	0.00%	143,840	0.18%	-143,840	-0.18%
	Institution	3,604,011	4.46%	165,088	0.20%	3,438,923	4.26%
	Total Saudi Investors	77,295,401	95.71%	80,117,103	99.20%	-2,821,702	-3.49%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	874,013	1.08%	402,380	0.50%	471,633	0.58%
	QFIs	2,591,582	3.21%	241,513	0.30%	2,350,069	2.91%
	Total Foreign Investors	3,465,596	4.29%	643,893	0.80%	2,821,702	3.49%
Grand Total		80,760,997	100.00%	80,760,997	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	6,195,593	7.67%	550,441	0.68%	5,645,152	6.99%
Non-Institutional	74,565,403	92.33%	80,210,555	99.32%	-5,645,152	-6.99%
Grand Total	80,760,997	100.00%	80,760,997	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 08 April 2021			As of 15 April 2021			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	7,559,601,628	62.67%	48.22%	7,867,689,018	63.46%	48.37%	308,087,390	0.79%	0.15%
	Corporates	4,313,437,537	35.76%	47.70%	4,329,083,482	34.92%	47.44%	15,645,945	-0.84%	-0.26%
	Institution	62,113,920	0.51%	0.69%	69,975,375	0.56%	0.77%	7,861,455	0.05%	0.08%
	Total Saudi Investors	11,935,153,084	98.94%	96.61%	12,266,747,874	98.95%	96.57%	331,594,790	0.00%	-0.03%
GCC	Individuals	3,708,486	0.03%	0.10%	3,703,102	0.03%	0.10%	-5,384	0.00%	0.00%
	Institutions	110,708,212	0.92%	2.95%	110,532,260	0.89%	2.90%	-175,952	-0.03%	-0.06%
	Total GCC Investors	114,416,698	0.95%	3.05%	114,235,361	0.92%	2.99%	-181,336	-0.03%	-0.06%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	6,654,976	0.06%	0.18%	7,210,078	0.06%	0.19%	555,102	0.00%	0.01%
	QFIs	6,220,242	0.05%	0.17%	9,318,686	0.08%	0.24%	3,098,444	0.02%	0.08%
	Total Foreign Investors	12,875,218	0.11%	0.34%	16,528,765	0.13%	0.43%	3,653,547	0.03%	0.09%
Grand Total		12,062,445,000	100.00%	100.00%	12,397,512,000	100.00%	100.00%	335,067,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 08 April 2021			As of 15 April 2021			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,492,479,911	37.24%	51.50%	4,518,909,802	36.45%	51.35%	26,429,892	-0.79%	-0.15%
Non-Institutional	7,569,965,089	62.76%	48.50%	7,878,602,198	63.55%	48.65%	308,637,108	0.79%	0.15%
Grand Total	12,062,445,000	100.00%	100.00%	12,397,512,000	100.00%	100.00%	335,067,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you