

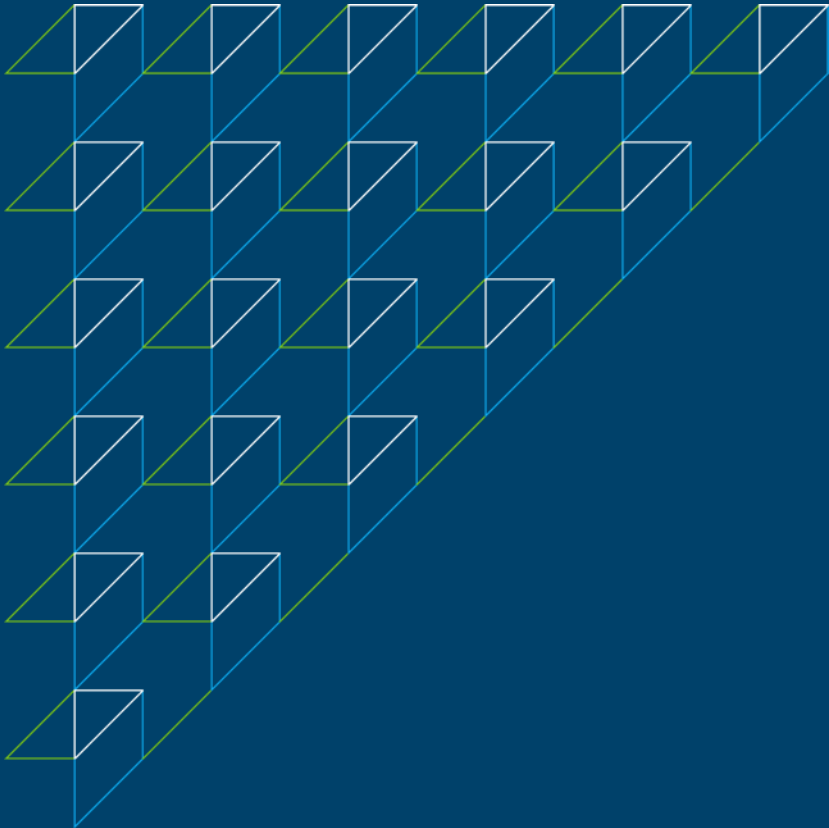
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 10 October 2019



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	5,038,176,954	39.05%	5,252,452,117	40.71%	-214,275,163	-1.66%
	HNWIs	2,753,100,730	21.34%	2,623,704,077	20.34%	129,396,653	1.00%
	IPIs	434,429,448	3.37%	542,663,995	4.21%	-108,234,547	-0.84%
	Individual DPMs	177,749,123	1.38%	152,556,766	1.18%	25,192,357	0.20%
	Sub Total (Individuals)	8,403,456,255	65.13%	8,571,376,955	66.43%	-167,920,700	-1.30%
	Institutions:						
	Corporates	974,484,706	7.55%	827,730,810	6.42%	146,753,897	1.14%
	Mutual Funds	589,995,389	4.57%	561,417,063	4.35%	28,578,326	0.22%
	GRE's	995,065	0.01%	119,349	0.00%	875,716	0.01%
	Institution DPMs	219,636,126	1.70%	1,217,584,921	9.44%	-997,948,795	-7.73%
	Sub Total (Institutions)	1,785,111,286	13.84%	2,606,852,143	20.20%	-821,740,857	-6.37%
Total Saudi Investors	10,188,567,541	78.97%	11,178,229,097	86.64%	-989,661,557	-7.67%	
GCC	Individuals	36,440,038	0.28%	68,484,419	0.53%	-32,044,381	-0.25%
	Institutions	249,134,853	1.93%	144,758,037	1.12%	104,376,816	0.81%
	GCC DPMs	1,443,041	0.01%	1,454,327	0.01%	-11,287	0.00%
	Total GCC Investors	287,017,932	2.22%	214,696,783	1.66%	72,321,149	0.56%
Foreign	SWAP Holders	24,319,053	0.19%	47,005,796	0.36%	-22,686,742	-0.18%
	Foreign Residents & Others	151,549,677	1.17%	146,561,482	1.14%	4,988,196	0.04%
	QFIs	2,249,478,694	17.43%	1,313,734,963	10.18%	935,743,731	7.25%
	Foreign DPMs	1,324,656	0.01%	2,029,433	0.02%	-704,777	-0.01%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	2,426,672,081	18.81%	1,509,331,673	11.70%	917,340,407	7.11%
Grand Total		12,902,257,554	100.00%	12,902,257,554	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,922,990,154	38.16%	4,811,055,459	37.29%	111,934,695	0.87%
Non-Institutional	7,979,267,400	61.84%	8,091,202,095	62.71%	-111,934,695	-0.87%
Grand Total	12,902,257,554	100.00%	12,902,257,554	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 03 October 2019		As of 10 October 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	70,415,262,941	3.77%	69,026,638,590	3.80%	-1,388,624,351	0.02%
	HNWIs	144,135,771,250	7.72%	140,313,135,943	7.72%	-3,822,635,307	0.00%
	IPIs	260,220,453,362	13.94%	252,993,868,235	13.91%	-7,226,585,127	-0.02%
	Individual DPMs	4,359,476,842	0.23%	4,234,814,276	0.23%	-124,662,566	0.00%
	Sub Total (Individuals)	479,130,964,394	25.66%	466,568,457,044	25.66%	-12,562,507,350	0.00%
	Institutions:						
	Corporates	332,084,977,908	17.79%	323,328,791,045	17.78%	-8,756,186,863	0.00%
	Mutual Funds	188,561,623,808	10.10%	183,820,210,354	10.11%	-4,741,413,453	0.01%
	GRE's	638,208,078,403	34.18%	620,084,406,869	34.10%	-18,123,671,534	-0.08%
	Institution DPMs	33,815,975,495	1.81%	31,718,894,027	1.74%	-2,097,081,468	-0.07%
	Sub Total (Institutions)	1,192,670,655,614	63.88%	1,158,952,302,294	63.74%	-33,718,353,319	-0.14%
	Total Saudi Investors	1,671,801,620,007	89.54%	1,625,520,759,338	89.40%	-46,280,860,670	-0.15%
GCC	Individuals	2,378,758,303	0.13%	2,287,192,868	0.13%	-91,565,435	0.00%
	Institutions	31,518,919,936	1.69%	31,046,087,376	1.71%	-472,832,560	0.02%
	GCC DPMs	564,550,313	0.03%	541,239,150	0.03%	-23,311,163	0.00%
	Total GCC Investors	34,462,228,552	1.85%	33,874,519,394	1.86%	-587,709,158	0.02%
Foreign	SWAP Holders	3,116,501,865	0.17%	3,040,493,236	0.17%	-76,008,629	0.00%
	Foreign Residents & Others	15,395,844,537	0.82%	15,097,696,875	0.83%	-298,147,662	0.01%
	QFIs	103,204,594,524	5.53%	101,767,924,674	5.60%	-1,436,669,850	0.07%
	Foreign DPMs	16,995,585	0.00%	15,840,751	0.00%	-1,154,834	0.00%
	Strategic Investor	39,002,378,335	2.09%	38,942,858,568	2.14%	-59,519,767	0.05%
Total Foreign Investors	160,736,314,846	8.61%	158,864,814,104	8.74%	-1,871,500,742	0.13%	
Grand Total		1,867,000,163,405	100.00%	1,818,260,092,836	100.00%	-48,740,070,569	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 03 October 2019		As of 10 October 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,644,696,568,570	88.09%	1,601,284,036,441	88.07%	-43,412,532,129	-0.03%
Non-Institutional	222,303,594,835	11.91%	216,976,056,395	11.93%	-5,327,538,440	0.03%
Grand Total	1,867,000,163,405	100.00%	1,818,260,092,836	100.00%	-48,740,070,569	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	1,962,286	6.47%	581,725	1.92%	1,380,561	4.55%
	Corporates	453,466	1.49%	377,160	1.24%	76,306	0.25%
	Institution	26,419,027	87.05%	26,828,540	88.40%	-409,513	-1.35%
	Total Saudi Investors	28,834,778	95.01%	27,787,425	91.56%	1,047,353	3.45%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	38,324	0.13%	0	0.00%	38,324	0.13%
	Total GCC Investors	38,324	0.13%	0	0.00%	38,324	0.13%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,476,392	4.86%	368,003	1.21%	1,108,390	3.65%
	QFIs	0	0.00%	2,194,066	7.23%	-2,194,066	-7.23%
	Total Foreign Investors	1,476,392	4.86%	2,562,069	8.44%	-1,085,677	-3.58%
Grand Total		30,349,494	100.00%	30,349,494	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	26,910,816	88.67%	29,399,767	96.87%	-2,488,950	-8.20%
Non-Institutional	3,438,678	11.33%	949,728	3.13%	2,488,950	8.20%
Grand Total	30,349,494	100.00%	30,349,494	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 03 October 2019		As of 10 October 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	4,393,404,375	95.57%	3,246,435,497	93.76%	-1,146,968,878	-1.82%
	Corporates	128,444,231	2.79%	135,836,118	3.92%	7,391,888	1.13%
	Institution	42,315,804	0.92%	45,574,504	1.32%	3,258,700	0.40%
	Total Saudi Investors	4,564,164,410	99.29%	3,427,846,120	99.00%	-1,136,318,290	-0.29%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	12,082,453	0.26%	13,024,749	0.38%	942,296	0.11%
	Total GCC Investors	12,082,453	0.26%	13,024,749	0.38%	942,296	0.11%
Foreign	SWAP Holders	154,872	0.00%	170,478	0.00%	15,605	0.00%
	Foreign Residents	4,266,646	0.09%	5,692,034	0.16%	1,425,389	0.07%
	QFIs	16,246,191	0.35%	15,912,043	0.46%	-334,148	0.11%
	Total Foreign Investors	20,667,709	0.45%	21,774,556	0.63%	1,106,847	0.18%
Grand Total		4,596,914,572	100.00%	3,462,645,424	100.00%	-1,134,269,148	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 03 October 2019		As of 10 October 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	199,243,551	4.33%	210,517,893	6.08%	11,274,341	1.75%
Non-Institutional	4,397,671,021	95.67%	3,252,127,531	93.92%	-1,145,543,489	-1.75%
Grand Total	4,596,914,572	100.00%	3,462,645,424	100.00%	-1,134,269,148	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

