

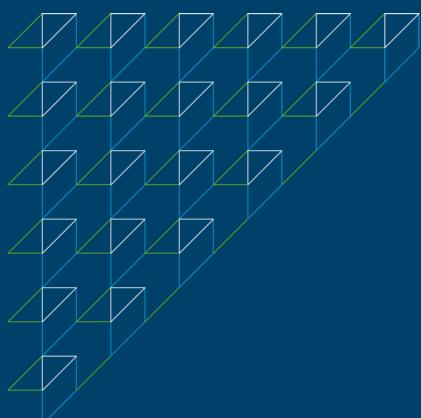
# Weekly Stock Market Ownership and Trading Activity Report

**Market Reports** 

Week Ending 03 October 2019



# Definitions



# Monthly Stock Market Ownership and Trading Activity Report



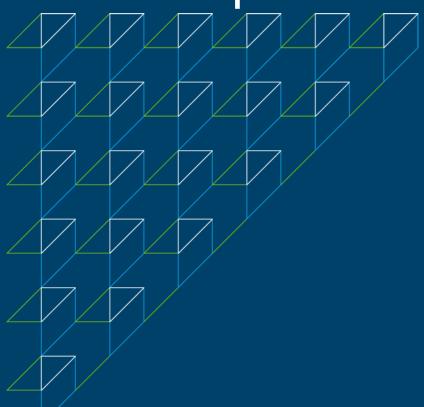
#### **Definitions**

Nationality	Investor Type	Description
	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
Saudi	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Saaai	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
Foreign	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.
*Nomu-Parallel Market Individual	Individuals who fulfill any of the following: - Conducted transactions not less than SAR 4	0m, and not less than 10 transaction in each quarter during last 12 months.

Investors

- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

# Main Market - Value Traded & Ownership Breakdown



#### تــداوك Tadawul

## Value Traded (by Nationality and Investor Type)

#### Main Market Value Traded Breakdown - By Nationality and Investor Type Buy Sell **Net Value Traded Nationality Investor Type** Difference SAR SAR % of Total Buys % of Total Sells SAR (% Buy - % Sell) (Buy - Sell) Individuals: Retail 5,008,200,819 33.58% 5,658,387,025 37.94% -650,186,206 -4.36% **HNWIs** 3,589,874,011 24.07% 25.03% -143,641,515 -0.96% 3,733,515,526 **IPIs** 272.962.769 1.83% 418.720.637 2.81% -145.757.867 -0.98% Individual DPMs 331.580.569 2.22% 152.500.318 1.02% 179.080.251 1.20% Sub Total (Individuals) 9,202,618,169 61.70% 9,963,123,506 66.80% -760,505,337 -5.10% Institutions: Saudi 944.072.203 6.33% 276.374.701 1.85% Corporates 1.220.446.904 8.18% 794.561.373 Mutual Funds 5.33% 498.380.734 3.34% 296.180.640 1.99% GRE's 308,995 0.00% 90,317,773 0.61% -90,008,778 -0.60% Institution DPMs 302.711.118 2.03% 827.251.821 5.55% -524.540.702 -3.52% Sub Total (Institutions) 2,318,028,391 15.54% 2.360.022.530 15.82% -41,994,139 -0.28% Total Saudi Investors 11,520,646,559 77.24% 12,323,146,036 82.62% -802,499,477 -5.38% Individuals 24.371.379 0.16% 68.589.448 0.46% -44.218.069 -0.30% Institutions 200.732.437 1.35% 300.140.479 2.01% -99.408.042 -0.67% GCC GCC DPMs 0.00% 0.03% -3,734,483 -0.03% 555,810 4,290,293 Total GCC Investors 225.659.626 1.51% 373.020.219 2.50% -147.360.594 -0.99% **SWAP Holders** 123,819,187 0.83% 29,628,751 0.20% 94,190,436 0.63% 0.22% Foreign Residents & Others 203.543.409 1.36% 170.745.210 1.14% 32.798.199 **QFIs** 2,840,852,770 19.05% 2,018,213,667 13.53% 822,639,103 5.52% **Foreign** Foreign DPMs 1.064.303 0.01% 831.971 0.01% 232.332 0.00% 0 0 Strategic Investor 0.00% 0.00% 0.00% Total Foreign Investors 3,169,279,670 21.25% 2,219,419,599 14.88% 949,860,070 6.37% **Grand Total** 14,915,585,854 14,915,585,854 100.00% 100.00%



Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification									
	Buy		Sell		Net Value Traded				
Investor Classification	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)			
Institutional	6,089,596,236	40.83%	5,284,348,646	35.43%	805,247,591	5.40%			
Non-Institutional	8,825,989,618	59.17%	9,631,237,209	64.57%	-805,247,591	-5.40%			
<b>Grand Total</b>	14,915,585,854	100.00%	14,915,585,854	100.00%					

#### **Definitions**

#### Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

#### تــداوك Tadawul

# Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type									
	Investor Type	As of 26 September 2019		As of 03 Octo	ber 2019	Weekly Change			
Nationality		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)		
	Individuals:								
	Retail	73,029,478,240	3.85%	70,415,262,941	3.77%	-2,614,215,299	-0.08%		
	HNWIs	145,218,691,479	7.66%	144,135,771,250	7.72%	-1,082,920,229	0.06%		
	IPIs	262,289,188,471	13.83%	260,220,453,362	13.94%	-2,068,735,109	0.11%		
	Individual DPMs	4,249,246,564	0.22%	4,359,476,842	0.23%	110,230,278	0.01%		
	Sub Total (Individuals)	484,786,604,753	25.56%	479,130,964,394	25.66%	-5,655,640,359	0.10%		
Saudi	Institutions:								
	Corporates	336,138,567,374	17.72%	332,084,977,908	17.79%	-4,053,589,466	0.06%		
	Mutual Funds	191,037,844,824	10.07%	188,561,623,808	10.10%	-2,476,221,017	0.03%		
	GRE's	652,842,023,458	34.42%	638,208,078,403	34.18%	-14,633,945,055	-0.24%		
	Institution DPMs	34,784,003,606	1.83%	33,815,975,495	1.81%	-968,028,110	-0.02%		
	Sub Total (Institutions)	1,214,802,439,262	64.06%	1,192,670,655,614	63.88%	-22,131,783,648	-0.18%		
	Total Saudi Investors	1,699,589,044,015	89.62%	1,671,801,620,007	89.54%	-27,787,424,008	-0.07%		
	Individuals	2,441,669,637	0.13%	2,378,758,303	0.13%	-62,911,334	0.00%		
000	Institutions	31,882,469,237	1.68%	31,518,919,936	1.69%	-363,549,301	0.01%		
GCC	GCC DPMs	569,937,176	0.03%	564,550,313	0.03%	-5,386,863	0.00%		
	Total GCC Investors	34,894,076,050	1.84%	34,462,228,552	1.85%	-431,847,498	0.01%		
	SWAP Holders	3,077,294,200	0.16%	3,116,501,865	0.17%	39,207,665	0.00%		
	Foreign Residents & Others	22,018,212,174	1.16%	15,395,844,537	0.82%	-6,622,367,637	-0.34%		
	QFIs	104,622,490,949	5.52%	103,204,594,524	5.53%	-1,417,896,425	0.01%		
Foreign	Foreign DPMs	16,724,378	0.00%	16,995,585	0.00%	271,207	0.00%		
	Strategic Investor	32,228,341,004	2.58%	39,002,378,335	2.09%	6,774,037,331	-0.49%		
	Total Foreign Investors	161,963,062,704	8.54%	160,736,314,846	8.61%	-1,226,747,858	0.07%		
Grand T	otal	1,896,446,182,769	100.00%	1,867,000,163,405	100.00%	-29,446,019,364			



#### Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification										
	As of 26 Septe	mber 2019	As of 03 Octo	ober 2019	Weekly Change					
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	∆ Holding Value (SAR)	∆ Ownership (%)				
Institutional	1,670,358,255,299	88.08%	1,644,696,568,570	88.09%	-25,661,686,729	0.01%				
Non-Institutional	226,087,927,470	11.92%	222,303,594,835	11.91%	-3,784,332,635	-0.01%				
Grand Total	1,896,446,182,769	100.00%	1,867,000,163,405	100.00%	-29,446,019,364					

#### **Definitions**

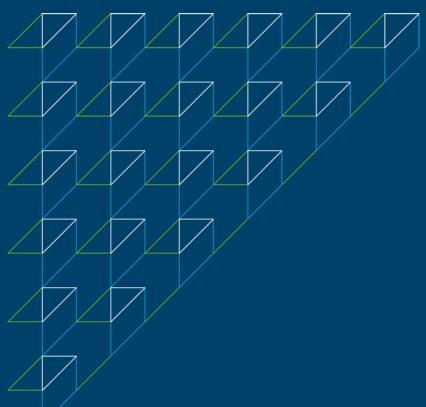
#### Institutional:

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#### Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market



Difference

(% Buy - % Sell)

2.73%

-2.73%

SAR

(Buy - Sell)

166,045

-166,045

# Value Traded (by Nationality, Investor Type, & Classification)

Nationality		Buy		Sel	II	Net Value Traded		
	Investor Type	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sel	
	Individuals	4,838,833	79.48%	4,669,126	76.69%	169,707	2.79%	
Canali	Corporates	863,994	14.19%	799,978	13.14%	64,016	1.05%	
Saudi	Institution	17,000	0.28%	97,302	1.60%	-80,302	-1.32%	
	Total Saudi Investors	5,719,827	93.95%	5,566,406	91.43%	153,421	2.52%	
	Individuals	0	0.00%	0	0.00%	0	0.00%	
GCC	Institutions	28,795	0.47%	145,000	2.38%	-116,205	-1.91%	
	Total GCC Investors	28,795	0.47%	145,000	2.38%	-116,205	-1.91%	
	SWAP Holders	0	0.00%	0	0.00%	0	0.00%	
F	Foreign Residents	41,108	0.68%	376,860	6.19%	-335,752	-5.51%	
Foreign	QFIs	298,537	4.90%	0	0.00%	298,537	4.90%	
	Total Foreign Investors	339,644	5.58%	376,860	6.19%	-37,216	-0.61%	
Grand Total		6,088,266	100.00%	6,088,266	100.00%			
Nomu-Pa	rallel Market Value T	raded Break	down - By Inve	stor Classific	cation			
Tromu-i a	raner market value 1	Buy		Sel		Net Value	Traded	

#### Institutional:

Institutional

Non-Institutional

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

SAR

1,042,280

5,045,986

6,088,266

% of Total Sells

17.12%

82.88%

100.00%

% of Total Buys

19.85%

80.15%

100.00%

#### Non Institutional:

**Investor Classification** 

**Grand Total** 

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

SAR

1,208,326

4,879,941

6,088,266

## Weekly Report – Nomu-Parallel Market



# Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type									
	Investor Type	As of 26 September 2019		As of 03 October 2019		Weekly Change			
Nationality		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)		
	Individuals	4,332,453,291	95.56%	4,393,404,375	95.57%	60,951,084	0.02%		
OII	Corporates	128,743,003	2.84%	128,444,231	2.79%	-298,772	-0.05%		
Saudi	Institution	40,660,628	0.90%	42,315,804	0.92%	1,655,176	0.02%		
	Total Saudi Investors	4,501,856,922	99.29%	4,564,164,410	99.29%	62,307,489	-0.01%		
	Individuals	0	0.00%	0	0.00%	0	0.00%		
GCC	Institutions	11,793,692	0.26%	12,082,453	0.26%	288,761	0.00%		
	Total GCC Investors	11,793,692	0.26%	12,082,453	0.26%	288,761	0.00%		
	SWAP Holders	134,070	0.00%	154,872	0.00%	20,802	0.00%		
Famatam	Foreign Residents	4,796,529	0.11%	4,266,646	0.09%	-529,884	-0.01%		
Foreign	QFIs	15,309,431	0.34%	16,246,191	0.35%	936,760	0.02%		
	Total Foreign Investors	20,240,030	0.45%	20,667,709	0.45%	427,679	0.00%		
Grand Total		4,533,890,644	100.00%	4,596,914,572	100.00%	63,023,928			

Nomu-Parallel Market Ownership Breakdown - By Investor Classification										
	As of 26 September 2019		As of 03 October 2019		Weekly Change					
Investor Classification	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)			
Institutional	196,640,824	4.34%	199,243,551	4.33%		2,602,728	0.00%			
Non-Institutional	4,337,249,820	95.66%	4,397,671,021	95.67%		60,421,200	0.00%			
Grand Total	4,533,890,644	100.00%	4,596,914,572	100.00%		63,023,928				

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### **Non Institutional:**

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



