

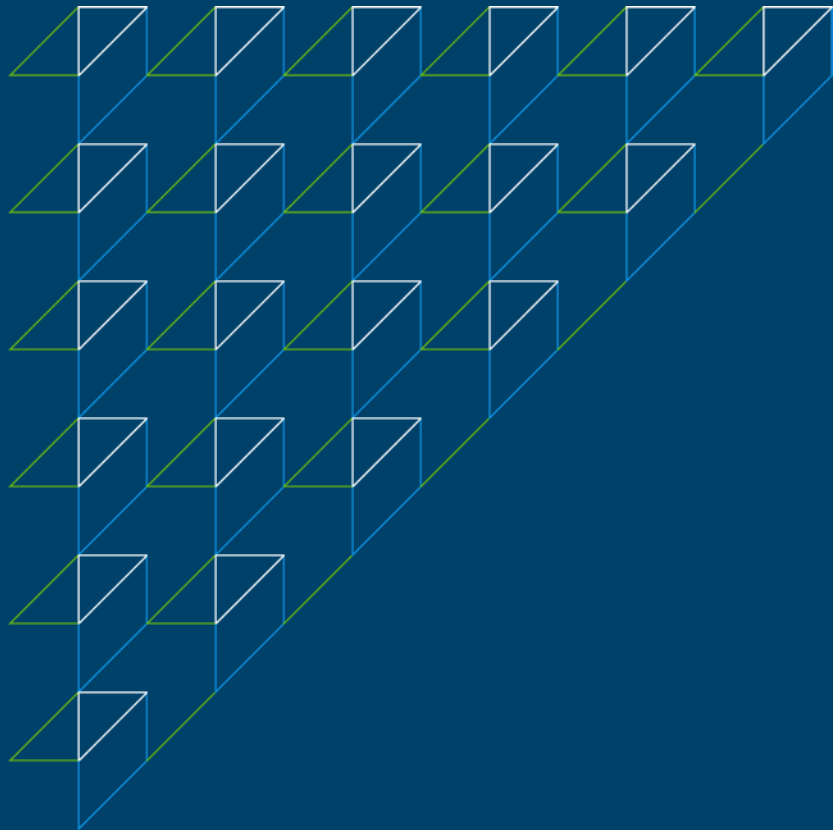
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 28 January 2021



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	17,331,169,916	60.78%	17,203,817,224	60.34%	127,352,692	0.45%	
	HNWIs	6,533,909,941	22.92%	6,618,315,275	23.21%	-84,405,333	-0.30%	
	IPs	416,417,304	1.46%	373,244,362	1.31%	43,172,942	0.15%	
	Individual DPMS	132,889,750	0.47%	128,806,543	0.45%	4,083,207	0.01%	
	Sub Total (Individuals)	24,414,386,912	85.63%	24,324,183,404	85.31%	90,203,508	0.32%	
	Institutions:							
	Corporates	784,557,269	2.75%	404,581,750	1.42%	379,975,520	1.33%	
	Mutual Funds	362,510,679	1.27%	541,323,254	1.90%	-178,812,574	-0.63%	
	GRE's	73,494,745	0.26%	50,935,461	0.18%	22,559,284	0.08%	
	Institution DPMS	321,804,047	1.13%	620,006,514	2.17%	-298,202,467	-1.05%	
	Sub Total (Institutions)	1,542,366,740	5.41%	1,616,846,979	5.67%	-74,480,238	-0.26%	
	Total Saudi Investors	25,956,753,652	91.04%	25,941,030,382	90.98%	15,723,270	0.06%	
	GCC	Individuals	43,181,801	0.15%	52,787,987	0.19%	-9,606,186	-0.03%
Institutions		170,622,393	0.60%	231,506,019	0.81%	-60,883,626	-0.21%	
GCC DPMS		504,207	0.00%	5,814,169	0.02%	-5,309,962	-0.02%	
Total GCC Investors		214,308,402	0.75%	290,108,175	1.02%	-75,799,773	-0.27%	
Foreign	SWAP Holders	7,578,493	0.03%	53,124,950	0.19%	-45,546,457	-0.16%	
	Foreign Residents & Others	495,564,348	1.74%	444,964,817	1.56%	50,599,531	0.18%	
	QFIs	1,838,144,907	6.45%	1,781,815,991	6.25%	56,328,916	0.20%	
	Foreign DPMS	109,580	0.00%	1,415,066	0.00%	-1,305,487	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
Total Foreign Investors	2,341,397,328	8.21%	2,281,320,824	8.00%	60,076,503	0.21%		
Grand Total		28,512,459,382	100.00%	28,512,459,382	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,108,633,374	14.41%	4,192,574,078	14.70%	-83,940,704	-0.29%
Non-Institutional	24,403,826,007	85.59%	24,319,885,303	85.30%	83,940,704	0.29%
Grand Total	28,512,459,382	100.00%	28,512,459,382	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 21 January 2021			As of 28 January 2021			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	128,889,297,461	1.41%	9.62%	131,915,361,578	1.45%	9.71%	3,026,064,117	0.04%	0.09%
	HNWIs	190,268,766,460	2.08%	14.14%	189,015,406,825	2.08%	14.15%	-1,253,359,635	-0.01%	0.01%
	IPIs	302,144,154,626	3.31%	16.58%	296,901,565,149	3.26%	16.56%	-5,242,589,476	-0.05%	-0.02%
	Individual DPMs	6,281,446,481	0.07%	0.47%	6,166,567,374	0.07%	0.46%	-114,879,107	0.00%	-0.01%
	Sub Total (Individuals)	627,583,665,027	6.88%	40.81%	623,998,900,925	6.86%	40.88%	-3,584,764,102	-0.02%	0.07%
	Institutions:									
	Corporates	651,013,566,702	7.13%	22.11%	645,278,312,027	7.09%	22.09%	-5,735,254,676	-0.04%	-0.02%
	Mutual Funds	216,917,181,025	2.38%	10.85%	213,384,827,164	2.35%	10.80%	-3,532,353,861	-0.03%	-0.05%
	GRE's	7,314,720,154,142	80.14%	6.55%	7,302,010,428,457	80.25%	6.59%	-12,709,725,685	0.11%	0.03%
	Institution DPMs	54,862,154,301	0.60%	4.12%	54,111,471,789	0.59%	4.09%	-750,682,513	-0.01%	-0.03%
Sub Total (Institutions)	8,237,513,056,171	90.25%	43.64%	8,214,785,039,437	90.28%	43.57%	-22,728,016,734	0.04%	-0.06%	
Total Saudi Investors	8,865,096,721,198	97.12%	84.45%	8,838,783,940,362	97.14%	84.46%	-26,312,780,836	0.02%	0.01%	
GCC	Individuals	2,665,499,149	0.03%	0.20%	2,648,057,585	0.03%	0.20%	-17,441,564	0.00%	0.00%
	Institutions	43,559,373,091	0.48%	2.33%	42,845,453,677	0.47%	2.30%	-713,919,414	-0.01%	-0.02%
	GCC DPMs	206,956,927	0.00%	0.02%	199,614,564	0.00%	0.02%	-7,342,363	0.00%	0.00%
	Total GCC Investors	46,431,829,167	0.51%	2.54%	45,693,125,827	0.50%	2.52%	-738,703,340	-0.01%	-0.02%
Foreign	SWAP Holders	1,936,252,811	0.02%	0.15%	1,883,314,966	0.02%	0.14%	-52,937,845	0.00%	0.00%
	Foreign Residents & Others	6,467,947,153	0.07%	0.36%	6,437,755,990	0.07%	0.36%	-30,191,162	0.00%	0.00%
	QFIs	165,686,028,714	1.82%	12.49%	164,736,816,292	1.81%	12.51%	-949,212,422	0.00%	0.03%
	Foreign DPMs	286,148,186	0.00%	0.02%	121,872,570	0.00%	0.01%	-164,275,616	0.00%	-0.01%
	Strategic Investors	41,647,838,926	0.46%	0.00%	41,166,332,850	0.45%	0.00%	-481,506,076	0.00%	0.00%
Total Foreign Investors	216,024,215,790	2.37%	13.01%	214,346,092,669	2.36%	13.02%	-1,678,123,122	-0.01%	0.01%	
Grand Total		9,127,552,766,156	100.00%	100.00%	9,098,823,158,857	100.00%	100.00%	-28,729,607,298		

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 21 January 2021			As of 28 January 2021			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	8,800,956,404,415	96.40%	75.68%	8,770,498,412,414	96.37%	75.58%	-30,457,992,001	-0.03%	-0.10%
Non-Institutional	326,596,361,741	3.60%	24.32%	328,324,746,443	3.63%	24.42%	1,728,384,702	0.03%	0.10%
Grand Total	9,127,552,766,156	100.00%	100.00%	9,098,823,158,857	100.00%	100.00%	-28,729,607,298		

Definitions

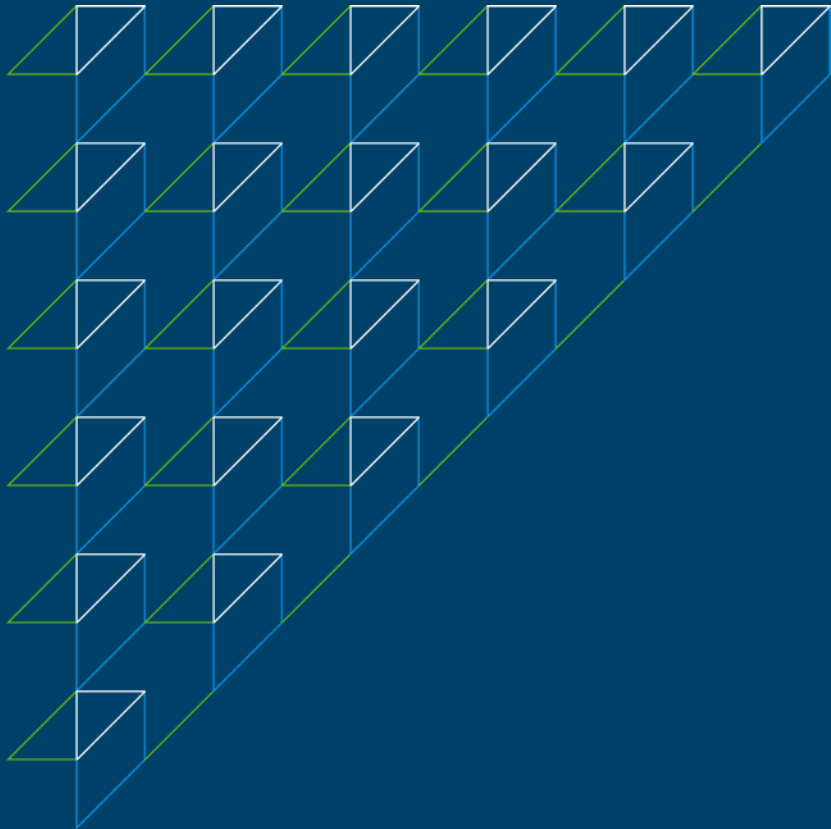
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	86,449,725	95.44%	84,550,569	93.34%	1,899,156	2.10%
	Corporates	124,531	0.14%	773,500	0.85%	-648,969	-0.72%
	Institution	445,500	0.49%	453,277	0.50%	-7,777	-0.01%
	Total Saudi Investors	87,019,756	96.06%	85,777,346	94.69%	1,242,411	1.37%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	3,298,789	3.64%	4,596,944	5.07%	-1,298,155	-1.43%
	QFIs	266,326	0.29%	210,582	0.23%	55,744	0.06%
	Total Foreign Investors	3,565,115	3.94%	4,807,526	5.31%	-1,242,411	-1.37%
Grand Total		90,584,871	100.00%	90,584,871	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	836,357	0.92%	1,437,359	1.59%	-601,001	-0.66%
Non-Institutional	89,748,514	99.08%	89,147,513	98.41%	601,001	0.66%
Grand Total	90,584,871	100.00%	90,584,871	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 21 January 2021			As of 28 January 2021			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	7,330,446,096	63.81%	46.67%	7,344,579,512	64.15%	46.88%	14,133,417	0.33%	0.22%
	Corporates	4,014,954,963	34.95%	49.80%	3,965,353,011	34.63%	49.63%	-49,601,952	-0.32%	-0.17%
	Institution	21,627,524	0.19%	0.27%	22,011,344	0.19%	0.28%	383,821	0.00%	0.01%
	Total Saudi Investors	11,367,028,582	98.95%	96.73%	11,331,943,868	98.97%	96.78%	-35,084,715	0.02%	0.05%
GCC	Individuals	3,540,821	0.03%	0.10%	3,512,853	0.03%	0.10%	-27,968	0.00%	0.00%
	Institutions	102,648,641	0.89%	2.79%	101,837,830	0.89%	2.78%	-810,811	0.00%	-0.01%
	Total GCC Investors	106,189,462	0.92%	2.88%	105,350,683	0.92%	2.87%	-838,779	0.00%	-0.01%
Foreign	SWAP Holders	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Foreign Residents	11,624,611	0.10%	0.32%	10,244,560	0.09%	0.28%	-1,380,051	-0.01%	-0.04%
	QFIs	2,657,345	0.02%	0.07%	2,360,890	0.02%	0.06%	-296,456	0.00%	-0.01%
	Total Foreign Investors	14,281,956	0.12%	0.39%	12,605,450	0.11%	0.34%	-1,676,506	-0.01%	-0.04%
Grand Total		11,487,500,000	100.00%	100.00%	11,449,900,000	100.00%	100.00%	-37,600,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 21 January 2021			As of 28 January 2021			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,141,888,472	36.06%	52.92%	4,091,563,075	35.73%	52.74%	-50,325,397	-0.32%	-0.18%
Non-Institutional	7,345,611,528	63.94%	47.08%	7,358,336,925	64.27%	47.26%	12,725,397	0.32%	0.18%
Grand Total	11,487,500,000	100%	100%	11,449,900,000	100%	100%	-37,600,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Tadawul

Thank you

