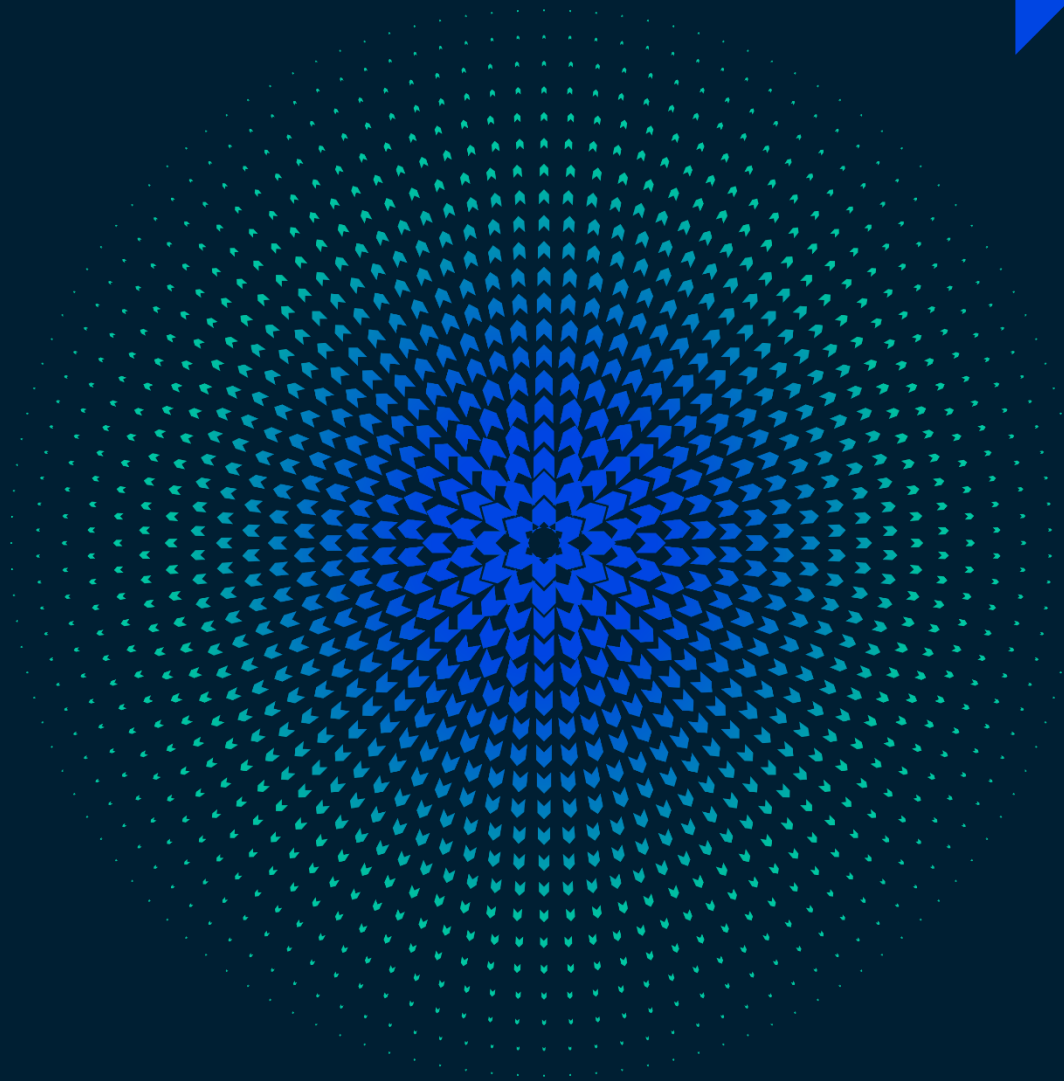


Monthly Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Month Ending 30 November 2023



Definitions

Monthly Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	44,760,693,195	37.00%	44,188,080,041	36.53%	572,613,155	0.47%	
	HNWIs	23,335,484,427	19.29%	24,061,788,591	19.89%	-726,304,164	-0.60%	
	IPIs	3,369,834,191	2.79%	3,911,552,443	3.23%	-541,718,253	-0.45%	
	Individual DPMs	934,488,353	0.77%	1,172,082,991	0.97%	-237,594,638	-0.20%	
	Sub Total (Individuals)	72,400,500,166	59.85%	73,333,504,066	60.62%	-933,003,900	-0.77%	
	Institutions:							
	Corporates	6,177,586,840	5.11%	5,807,771,937	4.80%	369,814,903	0.31%	
	Mutual Funds	4,296,178,639	3.55%	7,537,119,583	6.23%	-3,240,940,943	-2.68%	
	GRE's	5,676,463,366	4.69%	5,614,247,164	4.64%	62,216,202	0.05%	
	Institution DPMs	549,983,255	0.45%	634,246,835	0.52%	-84,263,580	-0.07%	
	Sub Total (Institutions)	16,700,212,099	13.81%	19,593,385,519	16.20%	-2,893,173,419	-2.39%	
	Total Saudi Investors	89,100,712,265	73.66%	92,926,889,584	76.82%	-3,826,177,319	-3.16%	
GCC	Individuals	312,958,881	0.26%	242,738,014	0.20%	70,220,867	0.06%	
	Institutions	2,093,161,414	1.73%	1,699,836,410	1.41%	393,325,004	0.33%	
	GCC DPMs	208,300,198	0.17%	5,957,262	0.00%	202,342,937	0.17%	
	Total GCC Investors	2,614,420,493	2.16%	1,948,531,685	1.61%	665,888,808	0.55%	
Foreign	SWAP Holders	151,225,659	0.13%	152,430,023	0.13%	-1,204,364	0.00%	
	Foreign Residents & Others	2,351,402,245	1.94%	2,402,106,703	1.99%	-50,704,458	-0.04%	
	QFIs	26,745,386,451	22.11%	23,381,762,637	19.33%	3,363,623,814	2.78%	
	Foreign DPMs	2,519,130	0.00%	16,596,611	0.01%	-14,077,481	-0.01%	
	Strategic Investor	0	0.00%	137,349,000	0.11%	-137,349,000	-0.11%	
Total Foreign Investors	29,250,533,485	24.18%	26,090,244,974	21.57%	3,160,288,511	2.61%		
Grand Total		120,965,666,243	100.00%	120,965,666,243	100.00%			

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	50,205,127,496	41.50%	50,070,952,896	41.39%	134,174,600	0.11%
Non-Institutional	70,760,538,748	58.50%	70,894,713,348	58.61%	-134,174,600	-0.11%
Grand Total	120,965,666,243	100.00%	120,965,666,243	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 31 October 2023			As of 30 November 2023			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	138,171,196,489	1.26%	5.35%	144,748,279,812	1.31%	5.41%	6,577,083,323	0.05%	0.05%
	HNWIs	226,211,302,889	2.07%	8.68%	239,385,846,192	2.17%	8.86%	13,174,543,303	0.10%	0.18%
	IPLs	398,491,448,647	3.64%	11.78%	422,037,276,086	3.82%	11.96%	23,545,827,438	0.17%	0.19%
	Individual DPMs	7,831,689,175	0.07%	0.30%	8,836,543,143	0.08%	0.33%	1,004,853,968	0.01%	0.03%
	Sub Total (Individuals)	770,705,637,201	7.05%	26.12%	815,007,945,233	7.37%	26.56%	44,302,308,032	0.32%	0.45%
	Institutions:									
	Corporates	1,212,902,280,316	11.09%	31.75%	1,246,119,228,263	11.27%	31.48%	33,216,947,946	0.18%	-0.27%
	Mutual Funds	274,162,216,690	2.51%	8.42%	285,206,710,805	2.58%	8.50%	11,044,494,115	0.07%	0.07%
	GRE's	8,217,282,673,385	75.16%	18.30%	8,232,311,267,027	74.47%	18.08%	15,028,593,643	-0.69%	-0.23%
	Institution DPMs	41,652,907,354	0.38%	1.40%	39,917,909,693	0.36%	1.27%	-1,734,997,661	-0.02%	-0.13%
	Sub Total (Institutions)	9,746,000,077,745	89.14%	59.88%	9,803,555,115,788	88.68%	59.32%	57,555,038,043	-0.45%	-0.55%
	Total Saudi Investors	10,516,705,714,946	96.19%	85.99%	10,618,563,061,021	96.06%	85.88%	101,857,346,075	-0.13%	-0.11%
GCC	Individuals	3,127,106,580	0.03%	0.13%	3,388,261,081	0.03%	0.13%	261,154,501	0.00%	0.01%
	Institutions	58,650,715,880	0.54%	1.35%	60,526,144,675	0.55%	1.32%	1,875,428,795	0.01%	-0.03%
	GCC DPMs	949,057,715	0.01%	0.04%	1,183,382,255	0.01%	0.05%	234,324,540	0.00%	0.01%
	Total GCC Investors	62,726,880,174	0.57%	1.51%	65,097,788,011	0.59%	1.49%	2,370,907,837	0.02%	-0.02%
Foreign	SWAP Holders	2,235,758,878	0.02%	0.09%	2,430,209,641	0.02%	0.09%	194,450,764	0.00%	0.00%
	Foreign Residents & Others	15,646,728,121	0.14%	0.62%	15,929,990,181	0.14%	0.61%	283,262,061	0.00%	-0.01%
	QFIs	279,941,724,256	2.56%	11.23%	295,653,624,528	2.67%	11.42%	15,711,900,272	0.11%	0.20%
	Foreign DPMs	13,915,817,523	0.13%	0.56%	12,986,976,845	0.12%	0.50%	-928,840,678	-0.01%	-0.06%
	Strategic Investors	42,422,447,043	0.39%	0.00%	43,915,435,502	0.40%	0.00%	1,492,988,459	0.01%	0.00%
Total Foreign Investors	354,162,475,820	3.24%	12.50%	370,916,236,697	3.36%	12.63%	16,753,760,877	0.12%	0.13%	
Grand Total		10,933,595,070,940	100.00%	100.00%	11,054,577,085,729	100.00%	100.00%	120,982,014,789		

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 31 October 2023			As of 30 November 2023			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	10,550,438,736,861	96.50%	85.22%	10,651,124,708,462	96.35%	84.99%	100,685,971,601	-0.15%	-0.23%
Non-Institutional	383,156,334,079	3.50%	14.78%	403,452,377,267	3.65%	15.01%	20,296,043,188	0.15%	0.23%
Grand Total	10,933,595,070,940	100.00%	100.00%	11,054,577,085,729	100.00%	100.00%	120,982,014,789		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	392,197,700	88.97%	384,532,880	87.23%	7,664,820	1.74%
	Corporates	9,185,957	2.08%	24,023,418	5.45%	-14,837,461	-3.37%
	Institution	7,702,857	1.75%	3,697,512	0.84%	4,005,345	0.91%
	Total Saudi Investors	409,086,514	92.80%	412,253,809	93.52%	-3,167,295	-0.72%
GCC	Individuals	309,213	0.07%	73,602	0.02%	235,612	0.05%
	Institutions	3,175,342	0.72%	4,980,465	1.13%	-1,805,123	-0.41%
	Total GCC Investors	3,484,555	0.79%	5,054,067	1.15%	-1,569,511	-0.36%
Foreign	SWAP Holders	123,300	0.03%	0	0.00%	123,300	0.03%
	Foreign Residents	11,752,671	2.67%	10,271,482	2.33%	1,481,188	0.34%
	QFIs	16,375,392	3.71%	13,243,074	3.00%	3,132,319	0.71%
	Total Foreign Investors	28,251,363	6.41%	23,514,556	5.33%	4,736,807	1.07%
Grand Total		440,822,432	100.00%	440,822,432	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	36,562,849	8.29%	45,944,469	10.42%	-9,381,620	-2.13%
Non-Institutional	404,259,584	91.71%	394,877,964	89.58%	9,381,620	2.13%
Grand Total	440,822,432	100.00%	440,822,432	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 31 October 2023			As of 30 November 2023			Monthly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	33,032,370,358	68.83%	66.63%	37,819,467,952	75.29%	73.24%	4,787,097,594	6.47%	6.61%
	Corporates	13,421,783,875	27.97%	28.73%	11,502,979,874	22.90%	23.53%	-1,918,804,001	-5.06%	-5.20%
	Institution	730,313,121	1.52%	1.05%	382,150,310	0.76%	0.63%	-348,162,812	-0.76%	-0.41%
	Total Saudi Investors	47,184,467,354	98.31%	96.40%	49,704,598,136	98.96%	97.40%	2,520,130,781	0.64%	1.00%
GCC	Individuals	104,732,304	0.22%	0.47%	101,405,971	0.20%	0.50%	-3,326,333	-0.02%	0.04%
	Institutions	155,508,918	0.32%	0.69%	117,362,096	0.23%	0.58%	-38,146,822	-0.09%	-0.11%
	Total GCC Investors	260,241,223	0.54%	1.16%	218,768,067	0.44%	1.09%	-41,473,155	-0.11%	-0.07%
Foreign	SWAP Holders	2,064,023	0.00%	0.01%	1,012,778	0.00%	0.01%	-1,051,245	0.00%	0.00%
	Foreign Residents	282,350,544	0.59%	1.26%	243,990,377	0.49%	1.21%	-38,360,168	-0.10%	-0.05%
	QFIs	264,190,458	0.55%	1.18%	60,883,029	0.12%	0.30%	-203,307,429	-0.43%	-0.87%
	Total Foreign Investors	548,605,025	1.14%	2.44%	305,886,184	0.61%	1.52%	-242,718,841	-0.53%	-0.92%
Grand Total		47,993,313,602	100.00%	100.00%	50,229,252,387	100.00%	100.00%	2,235,938,785		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 31 October 2023			As of 30 November 2023			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	14,573,860,395	30.37%	31.65%	12,064,388,087	24.02%	25.05%	-2,509,472,309	-6.35%	-6.60%
Non-Institutional	33,419,453,207	69.63%	68.35%	38,164,864,300	75.98%	74.95%	4,745,411,093	6.35%	6.60%
Grand Total	47,993,313,602	100.00%	100.00%	50,229,252,387	100.00%	100.00%	2,235,938,785		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



Sukuk/Bonds Market - Value Traded & Ownership Breakdown

Monthly Report – Sukuk/Bonds Market

Value Traded (by Nationality, Investor Type, & Classification)

Sukuk/Bonds Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Corporates	118,919,514	14.77%	488,105,477	60.61%	-369,185,963	-45.84%
	GRE's	72,291,000	8.98%	0	0.00%	72,291,000	8.98%
	Individual	157,621,965	19.57%	156,926,819	19.49%	695,146	0.09%
	Institutions	32,338,717	4.02%	56,570,661	7.02%	-24,231,944	-3.01%
	Total Saudi Investors	381,171,196	47.33%	701,602,956	87.12%	-320,431,760	-39.79%
GCC	Individual	46,991	0.01%	20,998	0.00%	25,993	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	46,991	0.01%	20,998	0.00%	25,993	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	4,027,484	0.50%	2,073,707	0.26%	1,953,778	0.24%
	QFIs	420,049,500	52.16%	101,597,510	12.62%	318,451,990	39.54%
	Total Foreign Investors	424,076,984	52.66%	103,671,217	12.87%	320,405,767	39.79%
Grand Total		805,295,171	100.00%	805,295,171	100.00%		

Sukuk/Bonds Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	643,598,731	79.92%	646,273,648	80.25%	-2,674,917	-0.33%
Non-Institutional	161,696,440	20.08%	159,021,523	19.75%	2,674,917	0.33%
Grand Total	805,295,171	100.00%	805,295,171	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Sukuk/Bonds Market

Ownership Value (by Nationality, Investor Type, & Classification)

Sukuk/Bonds Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 31 October 2023		As of 30 November 2023		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Corporates	416,550,664,000	77.62%	418,299,451,000	77.56%	1,748,787,000	-0.06%
	GRE's	99,416,734,000	18.53%	99,716,734,000	18.49%	300,000,000	-0.04%
	Individual	9,024,262,000	1.68%	9,037,600,000	1.68%	13,338,000	-0.01%
	Institutions	4,102,707,000	0.76%	4,265,743,000	0.79%	163,036,000	0.03%
	Total Saudi Investors	529,094,367,000	98.60%	531,319,528,000	98.52%	2,225,161,000	-0.07%
GCC	Individual	59,369,000	0.01%	59,396,000	0.01%	27,000	0.00%
	Institutions	1,150,000,000	0.21%	1,150,000,000	0.21%	0	0.00%
	Total GCC Investors	1,209,369,000	0.23%	1,209,396,000	0.22%	27,000	0.00%
Foreign	SWAP Holders	185,000,000	0.03%	185,000,000	0.03%	0	0.00%
	Foreign Residents & Others	2,449,377,000	0.46%	2,427,008,000	0.45%	-22,369,000	-0.01%
	QFIs	3,685,055,000	0.69%	4,149,086,000	0.77%	464,031,000	0.08%
	Total Foreign Investors	6,319,432,000	1.18%	6,761,094,000	1.25%	441,662,000	0.08%
Grand Total		536,623,168,000	100.00%	539,290,018,000	100.00%	2,666,850,000	

Sukuk/Bonds Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 31 October 2023		As of 30 November 2023		Monthly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Institutional	525,090,160,000	97.85%	527,766,014,000	97.86%	2,675,854,000	0.01%	
Non-Institutional	11,533,008,000	2.15%	11,524,004,000	2.14%	-9,004,000	-0.01%	
Grand Total	536,623,168,000	100.00%	539,290,018,000	100.00%	2,666,850,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you