

# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Dataset Period:

Week Ending 3<sup>rd</sup> of Dec 2015

السوق المالية السعودية  
SAUDI STOCK EXCHANGE



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## Definitions



Nationality	Investor Type	Description
Saudi	<b>Individuals</b>	<b>Saudi Individuals</b> have been classified under 4 categories as defined below:
	<ul style="list-style-type: none"> <li>Retail</li> </ul>	<b>Retail</b> investors are Saudi individuals , excluding <b>IPIs</b> (see below) and <b>HNWIs</b> .
	<ul style="list-style-type: none"> <li>High Net Worth Investors (<b>HNWIs</b>)</li> </ul>	<b>HNWIs</b> are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding <b>IPIs</b> (see below).
	<ul style="list-style-type: none"> <li>Individual Professional Investors (<b>IPIs</b>)</li> </ul>	<b>IPIs</b> are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	<ul style="list-style-type: none"> <li>Individual DPMs</li> </ul>	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Institutions</b>	<b>Saudi Institutions</b> have been classified under 4 categories as defined below:
	<ul style="list-style-type: none"> <li>Corporates</li> </ul>	Saudi company with a commercial registration permitting its investment in the stock market .
	<ul style="list-style-type: none"> <li>Mutual Funds</li> </ul>	A mutual fund managed by an Authorized Person and licensed by the CMA.
<ul style="list-style-type: none"> <li>Government Related Entities (<b>GREs</b>)</li> </ul>	A Government entity or a supranational authority recognized by the CMA.	
<ul style="list-style-type: none"> <li>Institutional DPMs</li> </ul>	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	<b>Individuals</b>	GCC natural person
	<b>Institutions</b>	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	<b>GCC DPMs</b>	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	<b>SWAP Holders</b>	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	<b>Foreign Residents</b>	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	<b>QFIs</b>	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	<b>Foreign DPMs</b>	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	<b>Strategic Partners</b>	Foreign investors considered founders and partners of Saudi listed companies.



## Highlights



The total value of shares traded for the week ending 03 December 2015 amounted to SAR 28.09 billion, increasing by 13.26% over the previous week; while total stock market capitalization reached SAR 1,668.29 billion at the end of this period, increasing by 0.58% over the previous week.

- The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 26.44 billion representing 94.13% of total buying activity, and sales of SAR 25.90 billion representing 92.21% of total selling activity. Total ownership of “Saudi Investors” stood at 93.04% of total market capitalization as of 03 December 2015, representing an increase of 0.11% from the previous week.
- The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.438 billion representing 1.56% of total buying activity, and sales of SAR 0.752 billion representing 2.68% of total selling activity. Total ownership of “GCC Investors” stood at 2.46% of total market capitalization as of 03 December 2015, representing a decrease of 0.003% from the previous week.
- The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 1.21 billion representing 4.31% of total buying activity, and sales of SAR 1.44 billion representing 5.11% of total selling activity. Total ownership of “Foreign Investors” stood at 4.50% of total market capitalization as of 03 December 2015, representing a decrease of 0.11% from the previous week.



## Stock Market Value Traded Breakdown



### Stock Market Traded Value Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	<b>Individuals:</b>							
	Retail	11,775,156,362	41.93%	13,358,692,176	47.56%	-1,583,535,814	-5.64%	
	HNWIs	9,574,907,392	34.09%	9,471,115,489	33.72%	103,791,903	0.37%	
	IPIs	701,299,079	2.50%	605,808,520	2.16%	95,490,559	0.34%	
	Individual DPMs	214,592,200	0.76%	368,868,756	1.31%	-154,276,556	-0.55%	
	<b>Sub Total (Individuals)</b>	<b>22,265,955,032</b>	<b>79.28%</b>	<b>23,804,484,940</b>	<b>84.76%</b>	<b>-1,538,529,908</b>	<b>-5.48%</b>	
	<b>Institutions:</b>							
	Corporates	2,131,769,111	7.59%	1,227,049,668	4.37%	904,719,443	3.22%	
	Mutual Funds	1,705,401,992	6.07%	544,008,176	1.94%	1,161,393,816	4.14%	
	GRE's	35,200	0.00%	0	0.00%	35,200	0.00%	
	Institution DPMs	332,490,925	1.18%	322,039,789	1.15%	10,451,135	0.04%	
	<b>Sub Total (Institutions)</b>	<b>4,169,697,228</b>	<b>14.85%</b>	<b>2,093,097,634</b>	<b>7.45%</b>	<b>2,076,599,594</b>	<b>7.39%</b>	
<b>Total Saudi Investors</b>	<b>26,435,652,260</b>	<b>94.13%</b>	<b>25,897,582,574</b>	<b>92.21%</b>	<b>538,069,686</b>	<b>1.92%</b>		
GCC	Individuals	120,397,068	0.43%	90,567,373	0.32%	29,829,695	0.11%	
	Institutions	313,430,516	1.12%	646,416,976	2.30%	-332,986,460	-1.19%	
	GCC DPMs	4,481,035	0.02%	15,465,931	0.06%	-10,984,896	-0.04%	
	<b>Total GCC Investors</b>	<b>438,308,619</b>	<b>1.56%</b>	<b>752,450,279</b>	<b>2.68%</b>	<b>-314,141,660</b>	<b>-1.12%</b>	
Foreign	SWAP Holders	252,124,665	0.90%	415,782,612	1.48%	-163,657,946	-0.58%	
	Foreign Residents	389,025,265	1.39%	993,963,221	3.54%	-604,937,955	-2.15%	
	QFIs	569,290,876	2.03%	24,591,087	0.09%	544,699,789	1.94%	
	Foreign DPMs	969,021.40	0.00%	1,000,934.80	0.00%	-31,913.40	0.00%	
	Strategic Partners	0.00	0.00%	0.00	0.00%	0.00	0.00%	
	<b>Total Foreign Investors</b>	<b>1,211,409,828</b>	<b>4.31%</b>	<b>1,435,337,854</b>	<b>5.11%</b>	<b>-223,928,026</b>	<b>-0.80%</b>	
<b>Grand Total</b>		<b>28,085,370,706</b>	<b>100.00%</b>	<b>28,085,370,706</b>	<b>100.00%</b>			

# Saudi Stock Market Weekly Report

## Value Traded (by Investor Classification)



### Stock Market Traded Value Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	6,225,884,620	22.17%	4,171,032,449	14.85%	2,054,852,171	7.32%
Non-Institutional	21,859,486,086	77.83%	23,914,338,258	85.15%	-2,054,852,171	-7.32%
<b>Grand Total</b>	<b>28,085,370,706</b>	<b>100.00%</b>	<b>28,085,370,706</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with intuitional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.





# Stock Market Ownership Breakdown



### Stock Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 26 Nov 2015		As of 03 Dec 2015		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	<b>Individuals:</b>							
	Retail	77,568,083,608	4.68%	75,410,976,412	4.52%	-2,157,107,196	-0.16%	
	HNWIs	141,877,318,898	8.55%	144,495,922,152	8.66%	2,618,603,255	0.11%	
	IPIs	299,328,909,792	18.05%	311,950,403,111	18.70%	12,621,493,319	0.65%	
	Individual DPMs	4,349,693,185	0.26%	4,236,420,890	0.25%	-113,272,295	-0.01%	
	<b>Sub Total (Individuals)</b>	<b>523,124,005,482</b>	<b>31.54%</b>	<b>536,093,722,566</b>	<b>32.13%</b>	<b>12,969,717,083</b>	<b>0.60%</b>	
	<b>Institutions:</b>							
	Corporates	293,131,287,816	17.67%	292,940,899,393	17.56%	-190,388,423	-0.11%	
	Mutual Funds	46,331,372,983	2.79%	48,140,704,931	2.89%	1,809,331,948	0.09%	
	GRE's	626,390,002,606	37.76%	622,561,406,020	37.32%	-3,828,596,585	-0.45%	
	Institution DPMs	52,439,087,369	3.16%	52,445,116,187	3.14%	6,028,818	-0.02%	
	<b>Sub Total (Institutions)</b>	<b>1,018,291,750,773</b>	<b>61.39%</b>	<b>1,016,088,126,531</b>	<b>60.91%</b>	<b>-2,203,624,242</b>	<b>-0.48%</b>	
<b>Total Saudi Investors</b>	<b>1,541,415,756,256</b>	<b>92.93%</b>	<b>1,552,181,849,097</b>	<b>93.04%</b>	<b>10,766,092,841</b>	<b>0.11%</b>		
GCC	Individuals	2,261,543,279	0.14%	2,402,701,860	0.14%	141,158,581	0.01%	
	Institutions	37,883,116,304	2.28%	37,933,550,287	2.27%	50,433,983	-0.01%	
	GCC DPMs	735,208,247	0.04%	722,533,776	0.04%	-12,674,471	0.00%	
	<b>Total GCC Investors</b>	<b>40,879,867,830</b>	<b>2.46%</b>	<b>41,058,785,922</b>	<b>2.46%</b>	<b>178,918,093</b>	<b>0.00%</b>	
Foreign	SWAP Holders	16,198,696,447	0.98%	16,013,427,695	0.96%	-185,268,752	-0.02%	
	Foreign Residents	5,138,270,889	0.31%	4,810,463,155	0.29%	-327,807,734	-0.02%	
	QFIs	956,048,188	0.06%	1,491,569,688	0.09%	535,521,500	0.03%	
	Foreign DPMs	13,290,212	0.00%	13,267,586	0.00%	-22,626	0.00%	
	Strategic Partners	54,108,510,080	3.26%	52,724,029,010	3.16%	-1,384,481,070.19	-0.10%	
	<b>Total Foreign Investors</b>	<b>76,414,815,816</b>	<b>4.61%</b>	<b>75,052,757,134</b>	<b>4.50%</b>	<b>-1,362,058,682</b>	<b>-0.11%</b>	
<b>Grand Total</b>		<b>1,658,710,439,902</b>	<b>100.00%</b>	<b>1,668,293,392,153</b>	<b>100.00%</b>	<b>9,582,952,251.13</b>		

# Saudi Stock Market Weekly Report

## Ownership Value (by Investor Classification)



### Stock Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 26 Nov 2015		As of 03 Dec 2015		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
<b>Institutional</b>	1,431,865,223,228	86.32%	1,441,173,328,575	86.39%	9,308,105,346	0.06%
<b>Non-Institutional</b>	226,845,216,673	13.68%	227,120,063,578	13.61%	274,846,905	-0.06%
<b>Grand Total</b>	<b>1,658,710,439,902</b>	<b>100.00%</b>	<b>1,668,293,392,153</b>	<b>100.00%</b>	<b>9,582,952,251.13</b>	

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# Thank you

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