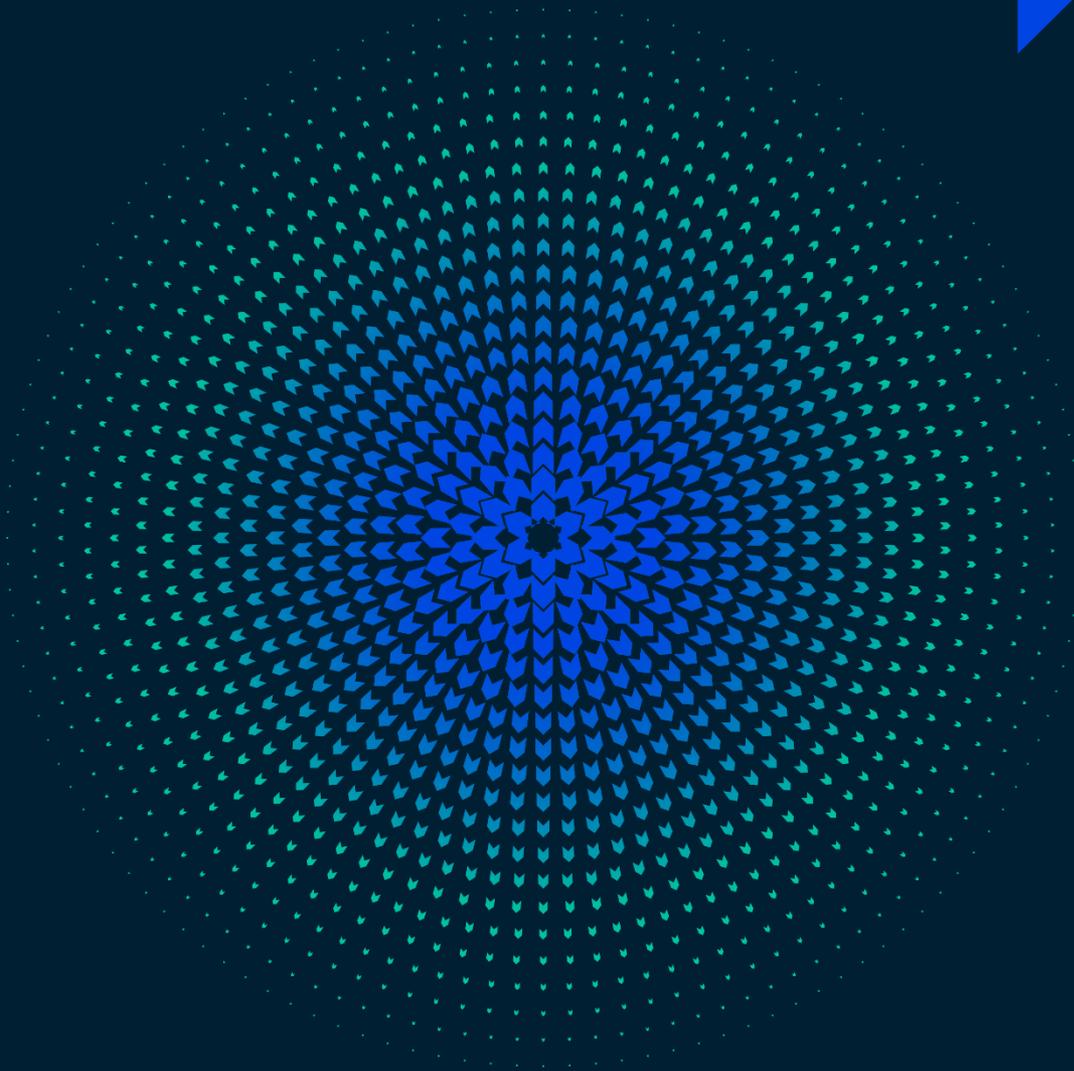


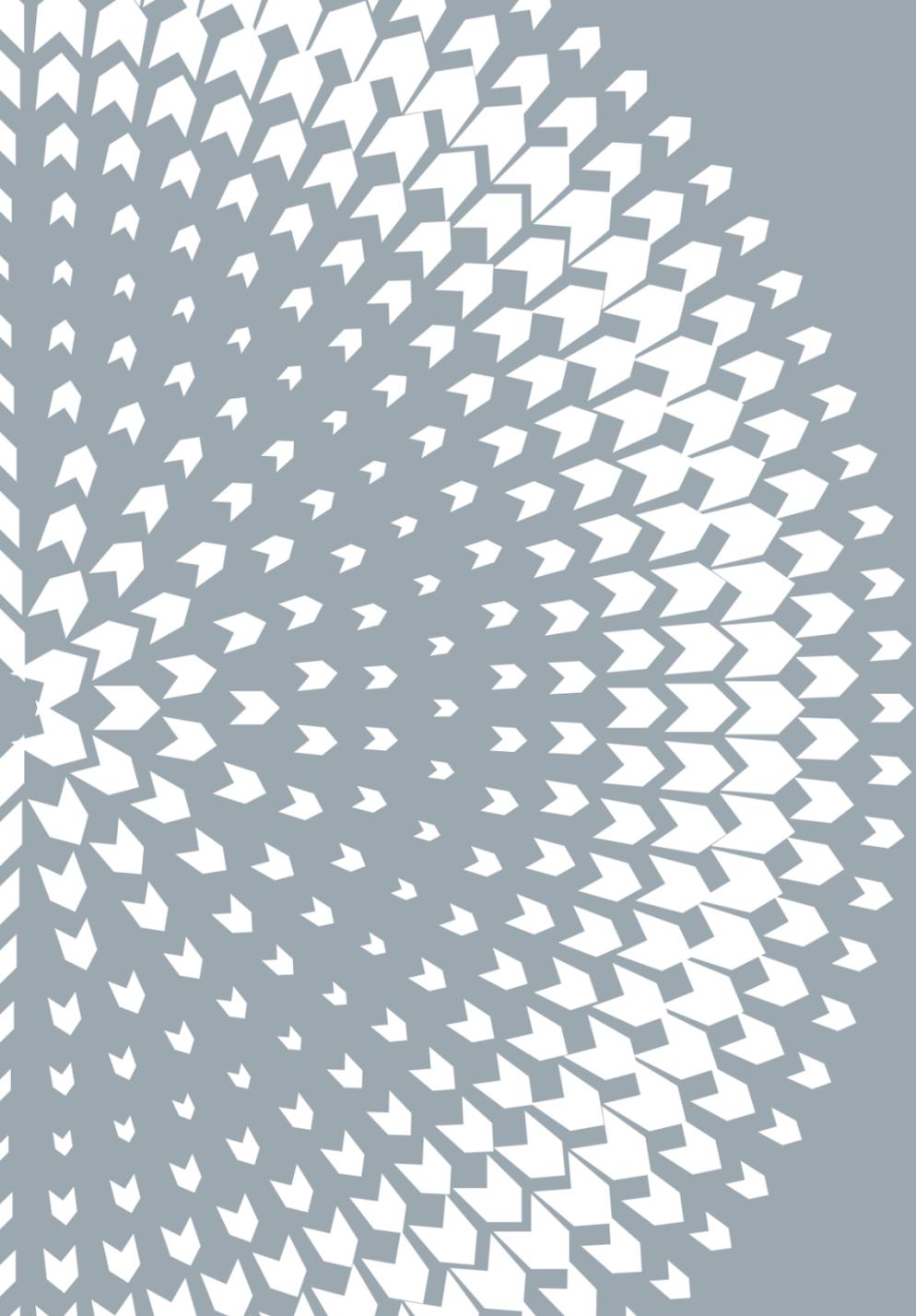
Monthly Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Month Ending 31 October 2021



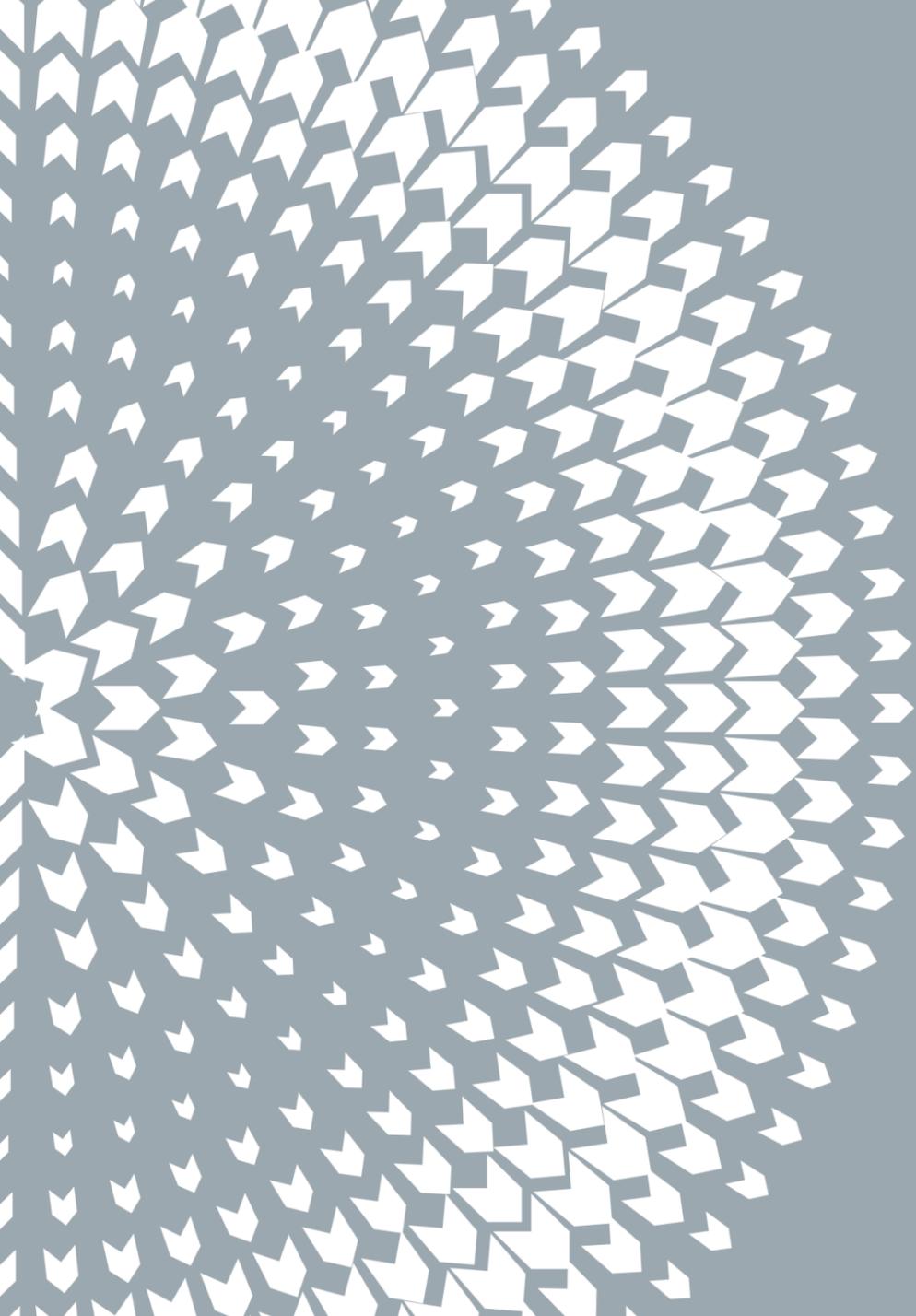
Definitions

Monthly Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

- *Nomu-Parallel Market Individual Investors
- Individuals who fulfill any of the following:
- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
 - Have an average portfolio size of SAR 5m for the preceding 12 months
 - Worked for at least 3 years in financial sectors.
 - Hold General Securities Qualification Certificate which is recognized by the Authority.
 - Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	73,912,518,032	47.23%	72,078,144,935	46.06%	1,834,373,097	1.17%	
	HNWIs	46,420,240,861	29.66%	47,081,244,138	30.08%	-661,003,278	-0.42%	
	IPIs	5,945,853,669	3.80%	5,959,924,087	3.81%	-14,070,418	-0.01%	
	Individual DPMs	1,780,662,956	1.14%	2,329,196,737	1.49%	-548,533,781	-0.35%	
	Sub Total (Individuals)	128,059,275,518	81.83%	127,448,509,897	81.44%	610,765,620	0.39%	
	Institutions:							
	Corporates	5,833,229,308	3.73%	6,920,424,810	4.42%	-1,087,195,502	-0.69%	
	Mutual Funds	3,384,773,912	2.16%	5,116,973,167	3.27%	-1,732,199,255	-1.11%	
	GRE's	95,303,200	0.06%	74,351,688	0.05%	20,951,512	0.01%	
	Institution DPMs	2,543,834,084	1.63%	2,598,164,098	1.66%	-54,330,014	-0.03%	
	Sub Total (Institutions)	11,857,140,504	7.58%	14,709,913,763	9.40%	-2,852,773,259	-1.82%	
Total Saudi Investors	139,916,416,022	89.40%	142,158,423,660	90.83%	-2,242,007,638	-1.43%		
GCC	Individuals	392,576,109	0.25%	310,450,936	0.20%	82,125,172	0.05%	
	Institutions	1,214,000,392	0.78%	1,799,635,173	1.15%	-585,634,780	-0.37%	
	GCC DPMs	16,064,681	0.01%	19,810,208	0.01%	-3,745,527	0.00%	
	Total GCC Investors	1,622,641,182	1.04%	2,129,896,317	1.36%	-507,255,135	-0.32%	
Foreign	SWAP Holders	55,753,294	0.04%	170,816,409	0.11%	-115,063,115	-0.07%	
	Foreign Residents & Others	2,292,962,254	1.47%	2,224,337,001	1.42%	68,625,253	0.04%	
	QFIs	12,582,836,505	8.04%	9,794,003,351	6.26%	2,788,833,153	1.78%	
	Foreign DPMs	32,105,095	0.02%	25,237,613	0.02%	6,867,482	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	14,963,657,148	9.56%	12,214,394,374	7.80%	2,749,262,774	1.76%	
Grand Total		156,502,714,351	100.00%	156,502,714,351	100.00%			

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	33,484,417,096	21.40%	34,808,537,341	22.24%	-1,324,120,245	-0.85%
Non-Institutional	123,018,297,256	78.60%	121,694,177,011	77.76%	1,324,120,245	0.85%
Grand Total	156,502,714,351	100.00%	156,502,714,351	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 30 September 2021			As of 31 October 2021			Monthly Change					
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership				
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)			
Saudi	Individuals:												
	Retail	158,663,482,431	1.58%	9.41%	155,140,678,553	1.47%	8.83%	■	-3,522,803,878	■	-0.10%	■	-0.57%
	HNWIs	245,789,779,192	2.44%	14.39%	250,281,569,071	2.38%	14.09%	■	4,491,789,878	■	-0.06%	■	-0.29%
	IPLs	400,546,498,802	3.98%	17.14%	410,168,658,354	3.90%	17.09%	■	9,622,159,552	■	-0.08%	■	-0.05%
	Individual DPMs	9,993,765,072	0.10%	0.59%	9,650,343,244	0.09%	0.55%	■	-343,421,828	■	-0.01%	■	-0.04%
	Sub Total (Individuals)	814,993,525,497	8.09%	41.53%	825,241,249,221	7.84%	40.57%	■	10,247,723,724	■	-0.25%	■	-0.96%
	Institutions:												
	Corporates	857,587,421,971	8.52%	22.77%	884,507,273,398	8.41%	23.77%	■	26,919,851,427	■	-0.11%	■	1.00%
	Mutual Funds	278,300,981,095	2.76%	11.50%	291,747,451,417	2.77%	11.38%	■	13,446,470,322	■	0.01%	■	-0.12%
	GRE's	7,685,219,003,911	76.31%	2.54%	8,073,691,758,072	76.74%	2.47%	■	388,472,754,160	■	0.43%	■	-0.07%
	Institution DPMs	84,882,676,670	0.84%	5.02%	85,444,195,488	0.81%	4.85%	■	561,518,818	■	-0.03%	■	-0.17%
	Sub Total (Institutions)	8,905,990,083,647	88.43%	41.83%	9,335,390,678,375	88.74%	42.48%	■	429,400,594,728	■	0.31%	■	0.65%
	Total Saudi Investors	9,720,983,609,144	96.52%	83.36%	10,160,631,927,596	96.58%	83.05%	■	439,648,318,452	■	0.06%	■	-0.31%
GCC	Individuals	3,413,097,218	0.03%	0.20%	3,478,766,292	0.03%	0.20%	■	65,669,074	■	0.00%	■	0.00%
	Institutions	47,638,434,206	0.47%	1.94%	47,818,250,352	0.45%	1.94%	■	179,816,145	■	-0.02%	■	0.00%
	GCC DPMs	180,225,521	0.00%	0.01%	182,941,437	0.00%	0.01%	■	2,715,916	■	0.00%	■	0.00%
	Total GCC Investors	51,231,756,945	0.51%	2.16%	51,479,958,080	0.49%	2.15%	■	248,201,136	■	-0.02%	■	0.00%
Foreign	SWAP Holders	2,284,170,219	0.02%	0.14%	2,307,502,357	0.02%	0.13%	■	23,332,137	■	0.00%	■	0.00%
	Foreign Residents & Others	7,647,982,128	0.08%	0.36%	7,748,402,853	0.07%	0.36%	■	100,420,724	■	0.00%	■	0.00%
	QFIs	235,740,194,316	2.34%	13.98%	245,803,652,010	2.34%	14.30%	■	10,063,457,693	■	0.00%	■	0.32%
	Foreign DPMs	24,318,934	0.00%	0.00%	24,383,480	0.00%	0.00%	■	64,546	■	0.00%	■	0.00%
	Strategic Investors	53,107,559,244	0.53%	0.00%	52,223,134,253	0.50%	0.00%	■	-884,424,991	■	-0.03%	■	0.00%
Total Foreign Investors	298,804,224,843	2.97%	14.48%	308,107,074,952	2.93%	14.80%	■	9,302,850,109	■	-0.04%	■	0.32%	
Grand Total		10,071,019,590,931	100.00%	100.00%	10,520,218,960,628	100.00%	100.00%	■	449,199,369,697	■			

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 30 September 2021			As of 31 October 2021			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	9,657,457,329,038	95.87%	75.64%	10,105,113,536,598	96.04%	76.51%	447,656,207,559	0.17%	0.87%
Non-Institutional	413,562,261,893	4.13%	24.36%	415,105,424,030	3.96%	23.49%	1,543,162,137	-0.17%	-0.87%
Grand Total	10,071,019,590,931	100.00%	100.00%	10,520,218,960,628	100.00%	100.00%	449,199,369,697		

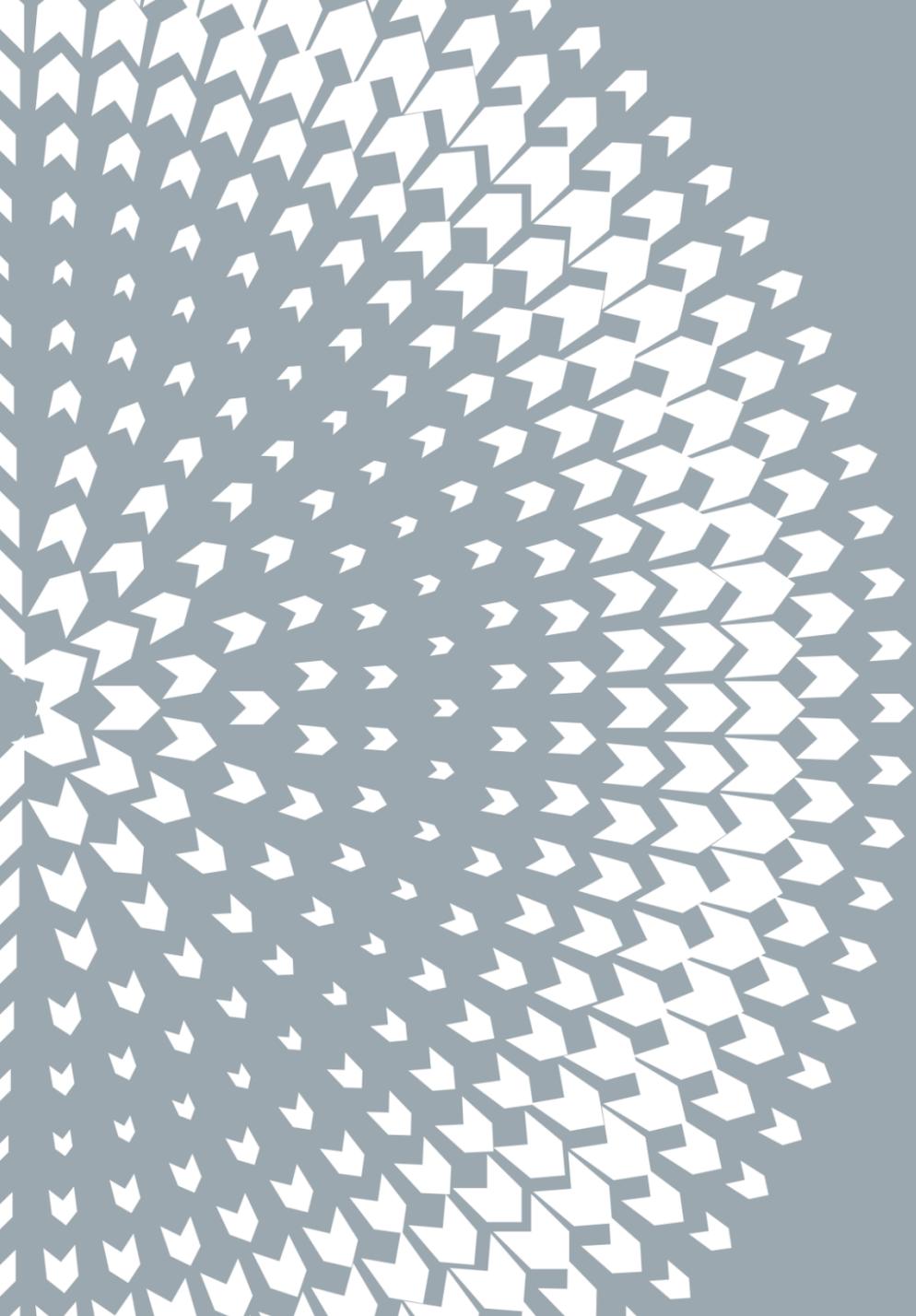
Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	624,139,801	92.37%	628,145,748	92.96%	-4,005,948	-0.59%
	Corporates	11,884,529	1.76%	11,205,564	1.66%	678,966	0.10%
	Institution	6,270,571	0.93%	7,570,460	1.12%	-1,299,889	-0.19%
	Total Saudi Investors	642,294,901	95.05%	646,921,771	95.74%	-4,626,871	-0.68%
GCC	Individuals	3,361,102	0.50%	3,444,238	0.51%	-83,136	-0.01%
	Institutions	2,925,314	0.43%	0	0.00%	2,925,314	0.43%
	Total GCC Investors	6,286,416	0.93%	3,444,238	0.51%	2,842,178	0.42%
Foreign	SWAP Holders	0	0.00%	495,730	0.07%	-495,730	-0.07%
	Foreign Residents	11,586,835	1.71%	13,258,420	1.96%	-1,671,585	-0.25%
	QFIs	15,549,266	2.30%	11,597,258	1.72%	3,952,008	0.58%
	Total Foreign Investors	27,136,101	4.02%	25,351,408	3.75%	1,784,692	0.26%
Grand Total		675,717,417	100.00%	675,717,417	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	36,629,680	5.42%	30,869,012	4.57%	5,760,668	0.85%
Non-Institutional	639,087,737	94.58%	644,848,405	95.43%	-5,760,668	-0.85%
Grand Total	675,717,417	100.00%	675,717,417	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 30 September 2021			As of 31 October 2021			Monthly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	10,689,463,127	70.07%	64.37%	12,615,295,519	71.97%	65.75%	1,925,832,392	1.90%	1.38%
	Corporates	4,336,373,425	28.43%	32.74%	4,687,472,015	26.74%	32.04%	351,098,590	-1.68%	-0.70%
	Institution	114,783,133	0.75%	0.87%	113,621,309	0.65%	0.78%	-1,161,824	-0.10%	-0.09%
	Total Saudi Investors	15,140,619,684	99.25%	97.97%	17,416,388,843	99.36%	98.57%	2,275,769,159	0.11%	0.60%
GCC	Individuals	4,884,279	0.03%	0.09%	4,527,744	0.03%	0.06%	-356,535	-0.01%	-0.03%
	Institutions	20,735,993	0.14%	0.37%	21,469,756	0.12%	0.27%	733,762	-0.01%	-0.09%
	Total GCC Investors	25,620,272	0.17%	0.45%	25,997,499	0.15%	0.33%	377,227	-0.02%	-0.12%
Foreign	SWAP Holders	19,851,978	0.13%	0.35%	17,116,562	0.10%	0.22%	-2,735,416	-0.03%	-0.13%
	Foreign Residents	15,761,728	0.10%	0.28%	14,145,457	0.08%	0.18%	-1,616,271	-0.02%	-0.10%
	QFIs	53,526,339	0.35%	0.94%	55,066,640	0.31%	0.70%	1,540,301	-0.04%	-0.24%
	Total Foreign Investors	89,140,044	0.58%	1.57%	86,328,659	0.49%	1.10%	-2,811,386	-0.09%	-0.47%
Grand Total		15,255,380,000	100.00%	100.00%	17,528,715,000	100.00%	100.00%	2,273,335,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

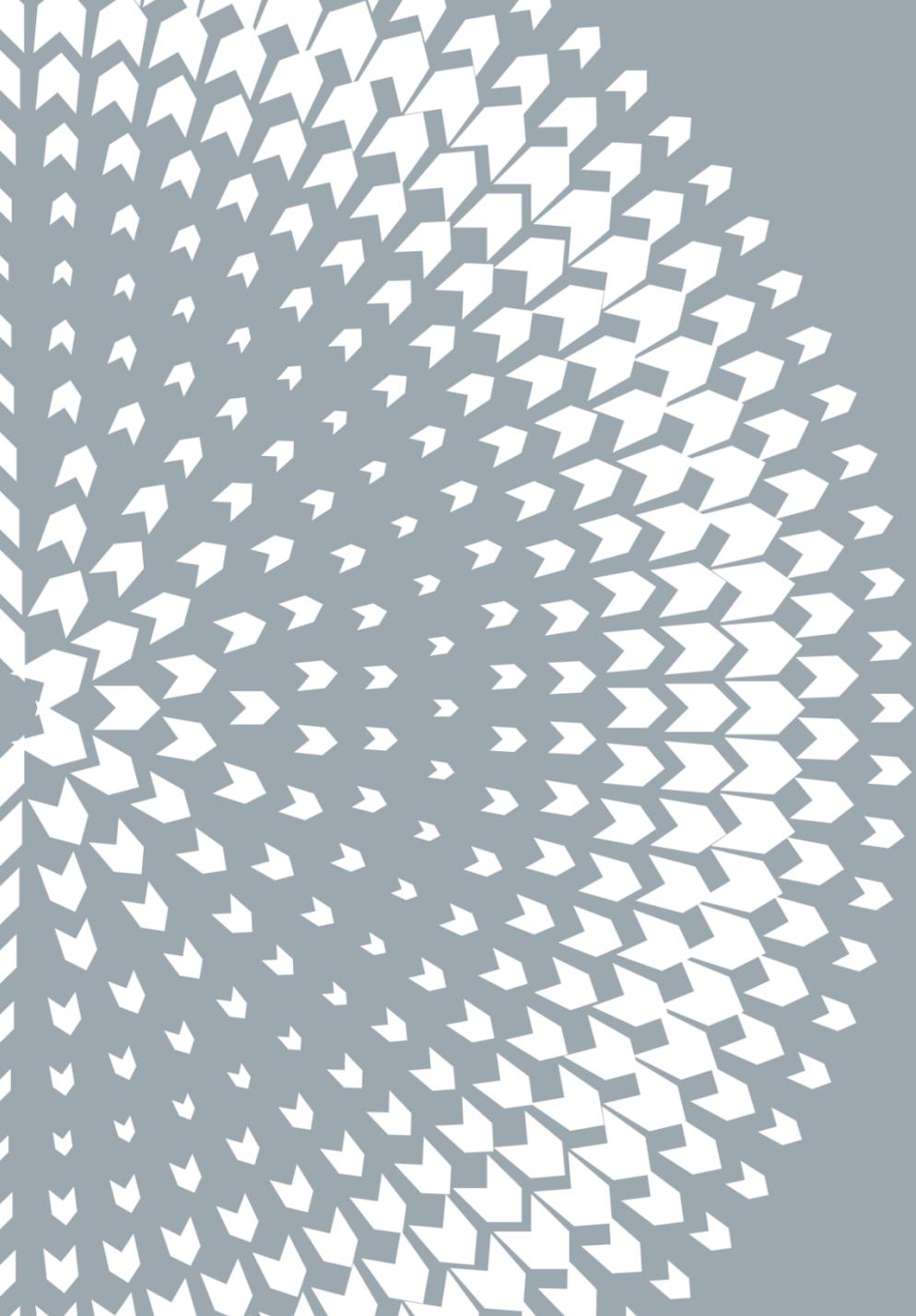
Investor Classification	As of 30 September 2021			As of 31 October 2021			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,545,270,867	29.79%	35.26%	4,894,746,281	27.92%	34.01%	349,475,414	-1.87%	-1.26%
Non-Institutional	10,710,109,133	70.21%	64.74%	12,633,968,719	72.08%	65.99%	1,923,859,586	1.87%	1.26%
Grand Total	15,255,380,000	100.00%	100.00%	17,528,715,000	100.00%	100.00%	2,273,335,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.



Sukuk/Bonds Market - Value Traded & Ownership Breakdown

Monthly Report – Sukuk/Bonds Market

Value Traded (by Nationality, Investor Type, & Classification)

Sukuk/Bonds Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Corporates	1,557,336,952	77.75%	533,523,722	26.64%	1,023,813,229	51.11%
	GRE's	68,348,890	3.41%	1,375,800,000	68.69%	-1,307,451,110	-65.27%
	Individual	3,178,047	0.16%	2,145	0.00%	3,175,903	0.16%
	Institutions	60,743,942	3.03%	69,097,964	3.45%	-8,354,022	-0.42%
	Total Saudi Investors	1,689,607,831	84.35%	1,978,423,831	98.77%	-288,816,000	-14.42%
GCC	Institutions	0	0.00%	24,594,000	1.23%	-24,594,000	-1.23%
	Total GCC Investors	0	0.00%	24,594,000	1.23%	-24,594,000	-1.23%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	0	0.00%	0	0.00%	0	0.00%
	QFIs	313,410,000	15.65%	0	0.00%	313,410,000	15.65%
	Total Foreign Investors	313,410,000	15.65%	0	0.00%	313,410,000	15.65%
Grand Total		2,003,017,831	100.00%	2,003,017,831	100.00%		

Sukuk/Bonds Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,999,839,784	99.84%	2,003,015,686	100.00%	-3,175,903	-0.16%
Non-Institutional	3,178,047	0.16%	2,145	0.00%	3,175,903	0.16%
Grand Total	2,003,017,831	100.00%	2,003,017,831	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Sukuk/Bonds Market

Ownership Value (by Nationality, Investor Type, & Classification)

Sukuk/Bonds Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 30 September 2021		As of 31 October 2021		Monthly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Corporates	349,094,169,680	77.29%	358,073,577,680	77.81%	8,979,408,000	0.52%
	GRE's	87,221,753,000	19.31%	85,826,753,000	18.65%	-1,395,000,000	-0.66%
	Individual	253,902,000	0.06%	257,057,000	0.06%	3,155,000	0.00%
	Institutions	10,801,408,060	2.39%	10,938,565,060	2.38%	137,157,000	-0.01%
	Total Saudi Investors	447,371,232,740	99.04%	455,095,952,740	98.89%	7,724,720,000	-0.15%
GCC	Institutions	1,744,000,000	0.39%	1,719,000,000	0.37%	-25,000,000	-0.01%
	Total GCC Investors	1,744,000,000	0.39%	1,719,000,000	0.37%	-25,000,000	-0.01%
Foreign	SWAP Holders	100,000,000	0.02%	100,000,000	0.02%	0	0.00%
	Foreign Residents	10,000	0.00%	10,000	0.00%	0	0.00%
	QFIs	2,480,500,000	0.55%	3,280,500,000	0.71%	800,000,000	0.16%
	Total Foreign Investors	2,580,510,000	0.57%	3,380,510,000	0.73%	800,000,000	0.16%
Grand Total		451,695,742,740	100.00%	460,195,462,740	100.00%	8,499,720,000	

Sukuk/Bonds Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 30 September 2021		As of 31 October 2021		Monthly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	451,441,830,740	99.94%	459,938,395,740	99.94%	8,496,565,000	0.00%
Non-Institutional	253,912,000	0.06%	257,067,000	0.06%	3,155,000	0.00%
Grand Total	451,695,742,740	100.00%	460,195,462,740	100.00%	8,499,720,000	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you