

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 25 October 2018



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.	
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	7,629,515,881	27.64%	9,072,391,861	32.87%	-1,442,875,980	-5.23%
	HNWIs	6,688,460,159	24.23%	8,437,856,197	30.57%	-1,749,396,038	-6.34%
	IPIs	951,134,191	3.45%	2,399,953,325	8.69%	-1,448,819,134	-5.25%
	Individual DPMs	136,897,186	0.50%	659,550,791	2.39%	-522,653,605	-1.89%
	Sub Total (Individuals)	15,406,007,417	55.81%	20,569,752,174	74.52%	-5,163,744,757	-18.71%
	Institutions:						
	Corporates	1,447,496,827	5.24%	1,182,042,538	4.28%	265,454,289	0.96%
	Mutual Funds	358,771,630	1.30%	615,689,838	2.23%	-256,918,208	-0.93%
	GRE's	375,570,789	1.36%	0	0.00%	375,570,789	1.36%
	Institution DPMs	8,449,169,667	30.61%	778,099,219	2.82%	7,671,070,448	27.79%
	Sub Total (Institutions)	10,631,008,913	38.51%	2,575,831,595	9.33%	8,055,177,318	29.18%
Total Saudi Investors	26,037,016,330	94.33%	23,145,583,769	83.85%	2,891,432,561	10.48%	
GCC	Individuals	48,587,332	0.18%	119,811,200	0.43%	-71,223,867	-0.26%
	Institutions	288,037,450	1.04%	768,562,991	2.78%	-480,525,542	-1.74%
	GCC DPMs	2,305,276	0.01%	2,153,578	0.01%	151,698	0.00%
	Total GCC Investors	338,930,058	1.23%	890,527,769	3.23%	-551,597,711	-2.00%
Foreign	SWAP Holders	161,484,624	0.59%	953,956,630	3.46%	-792,472,006	-2.87%
	Foreign Residents	231,403,971	0.84%	337,539,497	1.22%	-106,135,526	-0.38%
	QFIs	834,148,382	3.02%	2,275,083,653	8.24%	-1,440,935,271	-5.22%
	Foreign DPMs	0	0.00%	292,047	0.00%	-292,047	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	1,227,036,977	4.45%	3,566,871,827	12.92%	-2,339,834,850	-8.48%
Grand Total		27,602,983,365	100.00%	27,602,983,365	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	13,005,016,020	47.11%	9,635,384,609	34.91%	3,369,631,411	12.21%
Non-Institutional	14,597,967,344	52.89%	17,967,598,755	65.09%	-3,369,631,411	-12.21%
Grand Total	27,602,983,365	100.00%	27,602,983,365	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 18 October 2018		As of 25 October 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	71,413,587,374	3.91%	72,928,603,334	3.89%	1,515,015,960	-0.02%
	HNWIs	140,223,758,649	7.68%	141,974,919,965	7.58%	1,751,161,317	-0.11%
	IPIs	247,461,574,329	13.56%	253,120,568,048	13.51%	5,658,993,719	-0.05%
	Individual DPMs	5,110,225,631	0.28%	5,164,644,819	0.28%	54,419,188	0.00%
	Sub Total (Individuals)	464,209,145,983	25.43%	473,188,736,166	25.25%	8,979,590,183	-0.18%
	Institutions:						
	Corporates	282,668,888,472	15.48%	288,959,368,654	15.42%	6,290,480,182	-0.07%
	Mutual Funds	90,970,127,984	4.98%	89,114,664,348	4.76%	-1,855,463,636	-0.23%
	GRE's	740,742,839,628	40.58%	768,037,019,119	40.98%	27,294,179,491	0.41%
	Institution DPMs	121,221,951,649	6.64%	128,147,378,171	6.84%	6,925,426,522	0.20%
Sub Total (Institutions)	1,235,603,807,732	67.68%	1,274,258,430,291	67.99%	38,654,622,559	0.31%	
Total Saudi Investors	1,699,812,953,715	93.11%	1,747,447,166,457	93.24%	47,634,212,743	0.13%	
GCC	Individuals	2,917,020,038	0.16%	2,975,671,880	0.16%	58,651,842	0.00%
	Institutions	32,610,673,424	1.79%	33,122,150,738	1.77%	511,477,314	-0.02%
	GCC DPMs	803,852,314	0.04%	833,464,667	0.04%	29,612,353	0.00%
	Total GCC Investors	36,331,545,776	1.99%	36,931,287,285	1.97%	599,741,509	-0.02%
Foreign	SWAP Holders	10,853,983,118	0.59%	10,097,620,367	0.54%	-756,362,752	-0.06%
	Foreign Residents	6,554,547,411	0.36%	3,273,272,813	0.17%	-3,281,274,598	-0.18%
	QFIs	14,875,015,417	0.81%	14,368,084,517	0.77%	-506,930,900	-0.05%
	Foreign DPMs	3,087,975,012	0.17%	3,233,697,838	0.17%	145,722,826	0.00%
	Strategic Partners	54,018,822,057	2.96%	58,697,472,666	3.13%	4,678,650,609	0.17%
	Total Foreign Investors	89,390,343,016	4.90%	89,670,148,201	4.78%	279,805,185	-0.11%
Grand Total		1,825,534,842,507	100.00%	1,874,048,601,944	100.00%	48,513,759,437	

* Non deposited amount of 680,400,000 SAR was not reflected as on 25 October holding value (Saudi Industrial Export Co. 4140 - Corporate Action-Tradable Rights)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 18 October 2018		As of 25 October 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,604,425,929,035	87.89%	1,652,896,133,951	88.20%	48,470,204,916	0.31%
Non-Institutional	221,108,913,472	12.11%	221,152,467,993	11.80%	43,554,521	-0.31%
Grand Total	1,825,534,842,507	100.00%	1,874,048,601,944	100.00%	48,513,759,437	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	3,033,897	86.55%	3,094,116	88.27%	-60,220	-1.72%
	Corporates	325,578	9.29%	0	0.00%	325,578	9.29%
	Institution	54,954	1.57%	403,868	11.52%	-348,914	-9.95%
	Total Saudi Investors	3,414,429	97.41%	3,497,984	99.79%	-83,555	-2.38%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	6,800	0.19%	7,225	0.21%	-425	-0.01%
	QFIs	83,980	2.40%	0	0.00%	83,980	2.40%
	Total Foreign Investors	90,780	2.59%	7,225	0.21%	83,555	2.38%
Grand Total		3,505,209	100.00%	3,505,209	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	464,513	13.25%	403,868	11.52%	60,645	1.73%
Non-Institutional	3,040,697	86.75%	3,101,341	88.48%	-60,645	-1.73%
Grand Total	3,505,209	100.00%	3,505,209	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 18 October 2018		As of 25 October 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,842,801,776	91.40%	1,864,183,371	91.45%	21,381,596	0.05%
	Corporates	110,091,397	5.46%	110,598,016	5.43%	506,619	-0.03%
	Institution	38,337,694	1.90%	38,505,803	1.89%	168,109	-0.01%
	Total Saudi Investors	1,991,230,866	98.76%	2,013,287,190	98.77%	22,056,323	0.01%
GCC	Individuals	22,663	0.00%	23,322	0.00%	659	0.00%
	Institutions	12,559,368	0.62%	12,559,368	0.62%	0	-0.01%
	Total GCC Investors	12,582,031	0.62%	12,582,690	0.62%	659	-0.01%
Foreign	SWAP Holders	168,296	0.01%	157,754	0.01%	-10,542	0.00%
	Foreign Residents	1,959,620	0.10%	1,977,585	0.10%	17,965	0.00%
	QFIs	10,267,557	0.51%	10,423,151	0.51%	155,595	0.00%
	Total Foreign Investors	12,395,473	0.61%	12,558,490	0.62%	163,018	0.00%
Grand Total		2,016,208,370	100.00%	2,038,428,370	100.00%	22,220,000	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 18 October 2018		As of 25 October 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	171,424,312	8.50%	172,244,092	8.45%	819,780	-0.05%
Non-Institutional	1,844,784,059	91.50%	1,866,184,278	91.55%	21,400,220	0.05%
Grand Total	2,016,208,370	100.00%	2,038,428,370	100.00%	22,220,000	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Tadawul

Thank you

