

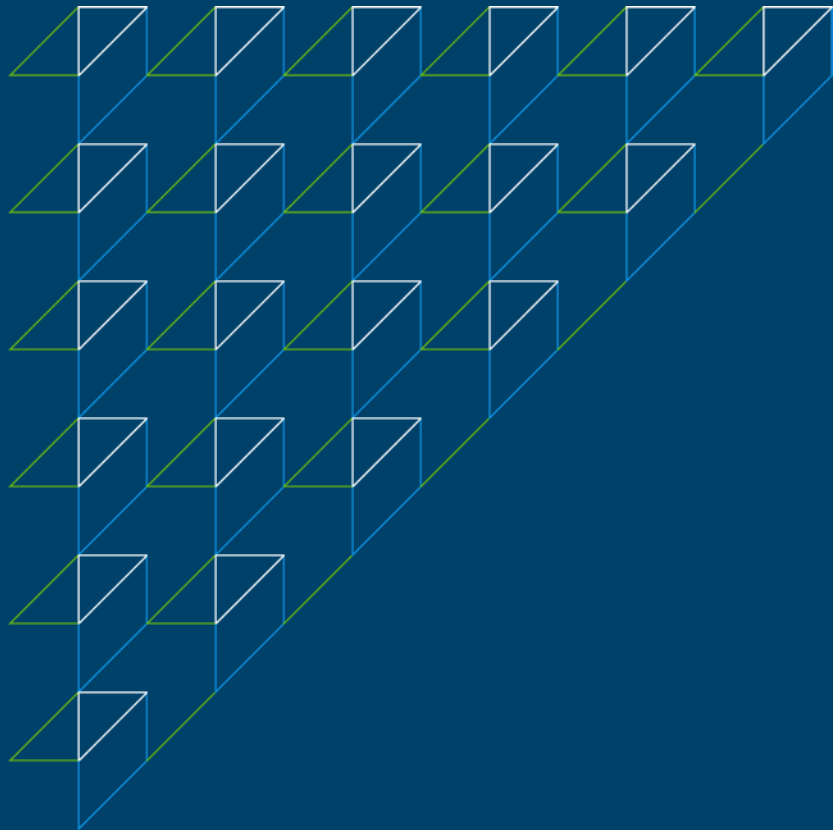
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 7 September 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	2,084,665,763	48.89%	2,322,327,738	54.47%	-237,661,975	-5.57%
	HNWIs	1,043,264,087	24.47%	1,062,017,914	24.91%	-18,753,828	-0.44%
	IPIs	65,263,031	1.53%	125,007,904	2.93%	-59,744,874	-1.40%
	Individual DPMs	43,421,046	1.02%	40,597,865	0.95%	2,823,181	0.07%
	Sub Total (Individuals)	3,236,613,927	75.91%	3,549,951,422	83.26%	-313,337,495	-7.35%
	Institutions:						
	Corporates	356,072,775	8.35%	83,470,810	1.96%	272,601,965	6.39%
	Mutual Funds	162,318,439	3.81%	93,322,655	2.19%	68,995,784	1.62%
	GRE's	2,128,848	0.05%	3,775,800	0.09%	-1,646,952	-0.04%
	Institution DPMs	54,057,300	1.27%	48,376,390	1.13%	5,680,910	0.13%
	Sub Total (Institutions)	574,577,362	13.48%	228,945,655	5.37%	345,631,707	8.11%
	Total Saudi Investors	3,811,191,289	89.38%	3,778,897,077	88.63%	32,294,211	0.76%
GCC	Individuals	15,844,228	0.37%	10,190,401	0.24%	5,653,827	0.13%
	Institutions	139,994,558	3.28%	108,224,062	2.54%	31,770,496	0.75%
	GCC DPMs	838,424	0.02%	39,207,891	0.92%	-38,369,467	-0.90%
	Total GCC Investors	156,677,210	3.67%	157,622,354	3.70%	-945,144	-0.02%
Foreign	SWAP Holders	134,228,611	3.15%	93,155,955	2.18%	41,072,656	0.96%
	Foreign Residents	64,630,948	1.52%	76,843,634	1.80%	-12,212,686	-0.29%
	QFIs	92,864,375	2.18%	59,620,147	1.40%	33,244,228	0.78%
	Foreign DPMs	4,271,699	0.10%	97,724,965	2.29%	-93,453,266	-2.19%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	295,995,634	6.94%	327,344,701	7.68%	-31,349,068	-0.74%
Grand Total		4,263,864,132	100.00%	4,263,864,132	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,055,459,106	24.75%	792,484,445	18.59%	262,974,661	6.17%
Non-Institutional	3,208,405,026	75.25%	3,471,379,688	81.41%	-262,974,661	-6.17%
Grand Total	4,263,864,132	100.00%	4,263,864,132	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 29 August 2017		As of 7 September 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	71,695,086,591	4.18%	72,623,208,020	4.18%	928,121,429	0.00%
	HNWIs	132,737,867,440	7.74%	134,352,220,816	7.73%	1,614,353,376	-0.01%
	IPIs	258,964,802,570	15.09%	262,334,184,313	15.09%	3,369,381,743	0.00%
	Individual DPMs	4,916,898,359	0.29%	4,975,881,364	0.29%	58,983,005	0.00%
	Sub Total (Individuals)	468,314,654,960	27.29%	474,285,494,513	27.28%	5,970,839,553	-0.02%
	Institutions:						
	Corporates	280,749,751,992	16.36%	284,164,492,199	16.34%	3,414,740,207	-0.02%
	Mutual Funds	104,598,588,486	6.10%	106,712,891,257	6.14%	2,114,302,771	0.04%
	GRE's	697,158,900,942	40.63%	705,942,310,073	40.60%	8,783,409,130	-0.03%
	Institution DPMs	50,049,170,872	2.92%	50,655,534,851	2.91%	606,363,978	0.00%
	Sub Total (Institutions)	1,132,556,412,293	66.01%	1,147,475,228,380	66.00%	14,918,816,087	-0.01%
Total Saudi Investors	1,600,871,067,253	93.30%	1,621,760,722,893	93.28%	20,889,655,639	-0.02%	
GCC	Individuals	3,010,707,104	0.18%	3,050,022,376	0.18%	39,315,273	0.00%
	Institutions	32,937,219,571	1.92%	33,411,154,681	1.92%	473,935,110	0.00%
	GCC DPMs	837,392,680	0.05%	744,023,626	0.04%	-93,369,054	-0.01%
	Total GCC Investors	36,785,319,355	2.14%	37,205,200,683	2.14%	419,881,328	0.00%
Foreign	SWAP Holders	10,195,113,111	0.59%	10,351,788,396	0.60%	156,675,285	0.00%
	Foreign Residents	4,322,345,069	0.25%	4,377,408,930	0.25%	55,063,861	0.00%
	QFIs	7,279,573,794	0.42%	7,374,168,153	0.42%	94,594,359	0.00%
	Foreign DPMs	2,715,113,582	0.16%	2,729,689,756	0.16%	14,576,174	0.00%
	Strategic Partners	53,647,233,189	3.13%	54,850,486,760	3.15%	1,203,253,572	0.03%
	Total Foreign Investors	78,159,378,745	4.56%	79,683,541,995	4.58%	1,524,163,250	0.03%
Grand Total		1,715,815,765,353	100.00%	1,738,649,465,570	100.00%	22,833,700,218	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 29 August 2017		As of 7 September 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,504,049,759,149	87.66%	1,524,246,605,429	87.67%	20,196,846,279	0.01%
Non-Institutional	211,766,006,203	12.34%	214,402,860,142	12.33%	2,636,853,938	-0.01%
Grand Total	1,715,815,765,353	100.00%	1,738,649,465,570	100.00%	22,833,700,218	

Definitions

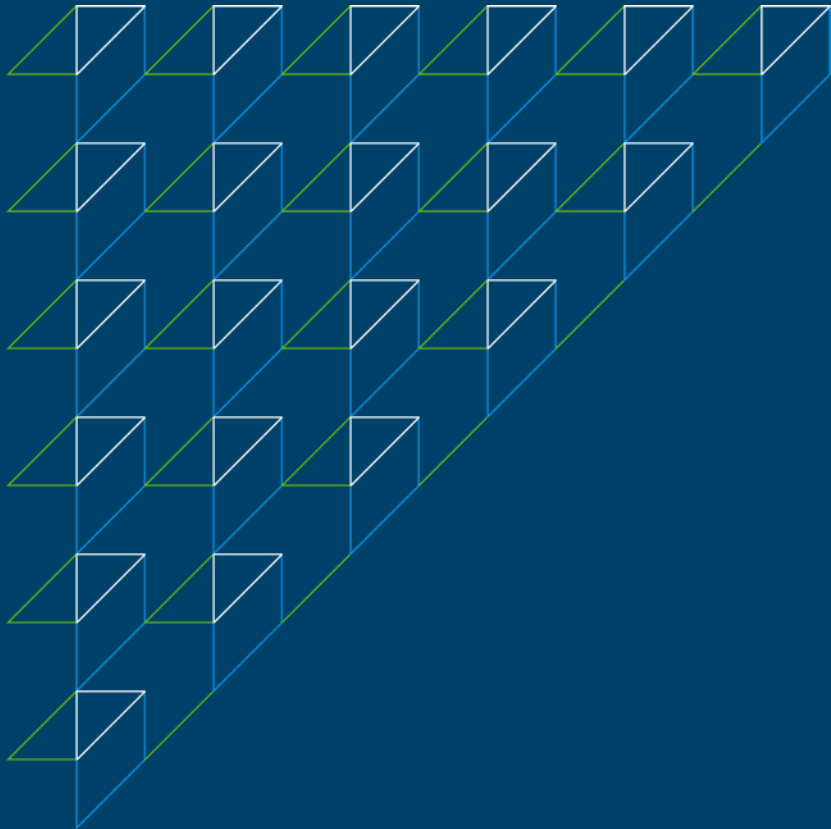
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	1,348,505	91.30%	1,179,488	79.86%	169,018	11.44%
	Corporates	0	0.00%	0	0.00%	0	0.00%
	Institution	100,550	6.81%	209,591	14.19%	-109,041	-7.38%
	Total Saudi Investors	1,449,055	98.11%	1,389,079	94.05%	59,977	4.06%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	27,874	1.89%	87,851	5.95%	-59,977	-4.06%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	27,874	1.89%	87,851	5.95%	-59,977	-4.06%
Grand Total		1,476,929	100.00%	1,476,929	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	100,550	6.81%	209,591	14.19%	-109,041	-7.38%
Non-Institutional	1,376,379	93.19%	1,267,338	85.81%	109,041	7.38%
Grand Total	1,476,929	100.00%	1,476,929	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 29 August 2017		As of 7 September 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	2,107,271,140	88.12%	2,124,613,580	88.06%	17,342,440	-0.05%
	Corporates	136,342,423	5.70%	138,716,663	5.75%	2,374,240	0.05%
	Institution	128,988,882	5.39%	129,763,766	5.38%	774,884	-0.02%
	Total Saudi Investors	2,372,602,446	99.21%	2,393,094,009	99.19%	20,491,563	-0.02%
GCC	Individuals	30,597	0.00%	30,699	0.00%	101	0.00%
	Institutions	12,404,641	0.52%	13,026,968	0.54%	622,327	0.02%
	Total GCC Investors	12,435,238	0.52%	13,057,667	0.54%	622,428	0.02%
Foreign	SWAP Holders	207,499	0.01%	206,780	0.01%	-719	0.00%
	Foreign Residents	4,618,994	0.19%	4,666,131	0.19%	47,138	0.00%
	QFIs	1,632,323	0.07%	1,631,913	0.07%	-410	0.00%
	Total Foreign Investors	6,458,816	0.27%	6,504,824	0.27%	46,008	0.00%
Grand Total		2,391,496,500	100.00%	2,412,656,500	100.00%	21,160,000	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 29 August 2017		As of 7 September 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	279,575,769	11.69%	283,346,090	11.74%	3,770,321	0.05%
Non-Institutional	2,111,920,731	88.31%	2,129,310,410	88.26%	17,389,679	-0.05%
Grand Total	2,391,496,500	100.00%	2,412,656,500	100.00%	21,160,000	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

