

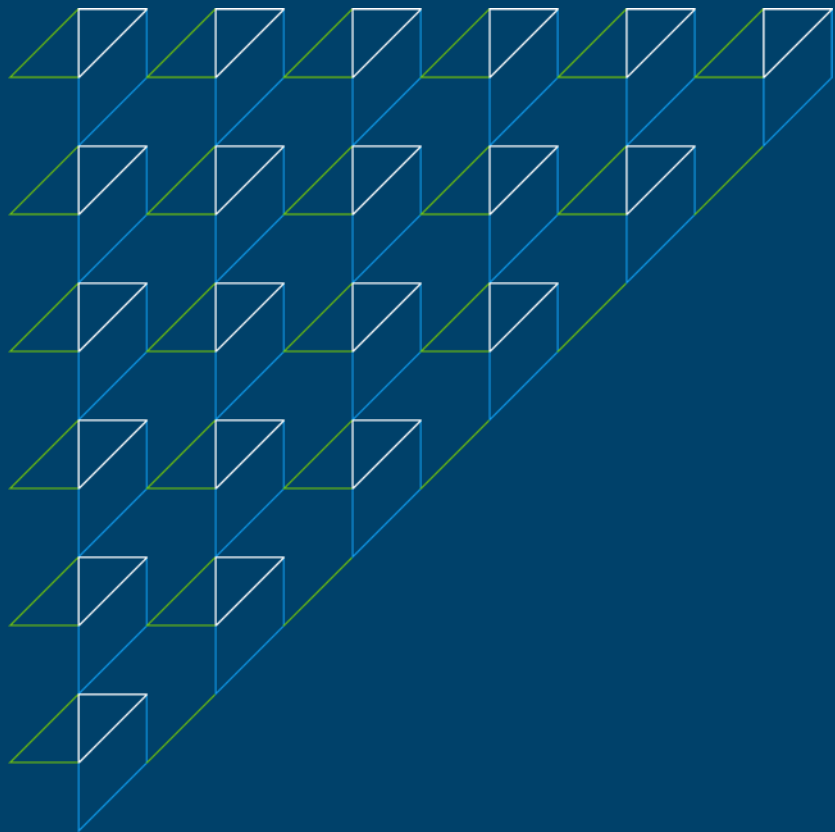
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 21 May 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	10,377,618,092	37.40%	11,182,765,156	40.30%	-805,147,064	-2.90%
	HNWIs	5,039,963,296	18.16%	5,175,846,658	18.65%	-135,883,362	-0.49%
	IPIs	842,015,385	3.03%	1,098,670,585	3.96%	-256,655,201	-0.92%
	Individual DPMs	176,876,608	0.64%	199,174,846	0.72%	-22,298,238	-0.08%
	Sub Total (Individuals)	16,436,473,381	59.23%	17,656,457,245	63.63%	-1,219,983,864	-4.40%
	Institutions:						
	Corporates	1,298,074,279	4.68%	2,002,003,848	7.21%	-703,929,569	-2.54%
	Mutual Funds	679,139,699	2.45%	880,944,008	3.17%	-201,804,310	-0.73%
	GRE's	116,519,682	0.42%	205,539,073	0.74%	-89,019,391	-0.32%
	Institution DPMs	228,679,882	0.82%	237,248,491	0.85%	-8,568,609	-0.03%
	Sub Total (Institutions)	2,322,413,542	8.37%	3,325,735,421	11.98%	-1,003,321,879	-3.62%
Total Saudi Investors	18,758,886,922	67.60%	20,982,192,666	75.61%	-2,223,305,743	-8.01%	
GCC	Individuals	64,475,269	0.23%	62,817,602	0.23%	1,657,666	0.01%
	Institutions	318,683,814	1.15%	354,799,875	1.28%	-36,116,061	-0.13%
	GCC DPMs	2,739,228	0.01%	756,957	0.00%	1,982,271	0.01%
	Total GCC Investors	385,898,310	1.39%	418,374,434	1.51%	-32,476,124	-0.12%
Foreign	SWAP Holders	19,590,894	0.07%	68,423,062	0.25%	-48,832,168	-0.18%
	Foreign Residents & Others	407,267,866	1.47%	485,966,316	1.75%	-78,698,449	-0.28%
	QFIs	8,178,132,934	29.47%	5,794,545,929	20.88%	2,383,587,005	8.59%
	Foreign DPMs	97,002	0.00%	371,522	0.00%	-274,521	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	8,605,088,696	31.01%	6,349,306,829	22.88%	2,255,781,867	8.13%
Grand Total		27,749,873,929	100.00%	27,749,873,929	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	11,860,549,406	42.74%	10,842,478,197	39.07%	1,018,071,209	3.67%
Non-Institutional	15,889,324,523	57.26%	16,907,395,732	60.93%	-1,018,071,209	-3.67%
Grand Total	27,749,873,929	100.00%	27,749,873,929	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 14 May 2020			As of 21 May 2020			Weekly Change			
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership		
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)	
Saudi	Individuals:										
	Retail	95,972,108,982	1.22%	9.74%	99,933,095,566	1.21%	9.67%	3,960,986,584	-0.01%	-0.08%	
	HNWIs	135,445,577,740	1.72%	13.56%	141,145,775,770	1.70%	13.47%	5,700,198,031	-0.02%	-0.09%	
	IPIs	232,665,360,799	2.95%	17.42%	242,003,376,710	2.92%	17.37%	9,338,015,911	-0.03%	-0.05%	
	Individual DPMs	4,740,042,617	0.06%	0.48%	4,892,831,551	0.06%	0.47%	152,788,934	0.00%	-0.01%	
	Sub Total (Individuals)	468,823,090,138	5.95%	41.20%	487,975,079,597	5.89%	40.98%	19,151,989,460	-0.06%	-0.22%	
	Institutions:										
	Corporates	317,382,936,839	4.03%	23.09%	332,298,321,931	4.01%	23.08%	14,915,385,091	-0.02%	-0.01%	
	Mutual Funds	162,269,626,868	2.06%	11.15%	169,444,987,615	2.05%	11.14%	7,175,360,747	-0.01%	-0.01%	
	GRE's	6,709,606,505,030	85.18%	7.45%	7,062,406,692,273	85.26%	7.51%	352,800,187,243	0.08%	0.06%	
	Institution DPMs	30,815,295,019	0.39%	3.13%	32,301,778,076	0.39%	3.13%	1,486,483,057	0.00%	0.00%	
	Sub Total (Institutions)	7,220,074,363,757	91.66%	44.82%	7,596,451,779,895	91.71%	44.86%	376,377,416,138	0.05%	0.04%	
Total Saudi Investors	7,688,897,453,894	97.61%	86.03%	8,084,426,859,492	97.60%	85.84%	395,529,405,598	-0.02%	-0.18%		
GCC	Individuals	2,020,565,251	0.03%	0.20%	2,123,154,913	0.03%	0.20%	102,589,662	0.00%	0.00%	
	Institutions	31,521,765,413	0.40%	1.93%	32,900,012,897	0.40%	1.93%	1,378,247,484	0.00%	0.00%	
	GCC DPMs	654,049,060	0.01%	0.07%	683,454,598	0.01%	0.07%	29,405,539	0.00%	0.00%	
	Total GCC Investors	34,196,379,724	0.43%	2.20%	35,706,622,409	0.43%	2.20%	1,510,242,684	0.00%	0.00%	
Foreign	SWAP Holders	2,030,344,997	0.03%	0.20%	2,101,998,692	0.03%	0.20%	71,653,695	0.00%	0.00%	
	Foreign Residents & Others	7,944,051,244	0.10%	0.56%	8,169,673,972	0.10%	0.54%	225,622,728	0.00%	-0.01%	
	QFIs	107,977,189,778	1.37%	10.85%	115,509,429,623	1.39%	11.06%	7,532,239,845	0.02%	0.21%	
	Foreign DPMs	1,624,187,044	0.02%	0.16%	1,615,513,927	0.02%	0.15%	-8,673,117	0.00%	-0.01%	
	Strategic Investors	34,242,324,523	0.43%	0.00%	35,881,174,474	0.43%	0.00%	1,638,849,950	0.00%	0.00%	
Total Foreign Investors	153,818,097,587	1.95%	11.77%	163,277,790,688	1.97%	11.96%	9,459,693,101	0.02%	0.18%		
Grand Total		7,876,911,931,205	100.00%	100.00%	8,283,411,272,588	100.00%	100.00%	406,499,341,383			

* Non deposited shares was not reflected as on 21 May holding value (Saudi Fisheries 6050 - Capital Increase - Right Issue)

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 14 May 2020			As of 21 May 2020			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	7,637,917,679,298	96.94%	75.94%	8,034,443,968,749	96.97%	76.11%	396,526,289,451	0.03%	0.17%
Non-Institutional	238,994,251,907	3.06%	24.06%	248,967,303,839	3.03%	23.89%	9,973,051,932	-0.03%	-0.17%
Grand Total	7,876,911,931,205	100.00%	100.00%	8,283,411,272,588	100.00%	100.00%	406,499,341,383		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	176,712,928	98.88%	176,017,192	98.49%	695,735	0.39%
	Corporates	0	0.00%	579,524	0.32%	-579,524	-0.32%
	Institution	68,715	0.04%	335,009	0.19%	-266,294	-0.15%
	Total Saudi Investors	176,781,642	98.92%	176,931,725	99.00%	-150,083	-0.08%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	1,929,004	1.08%	1,778,922	1.00%	150,083	0.08%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	1,929,004	1.08%	1,778,922	1.00%	150,083	0.08%
Grand Total		178,710,647	100.00%	178,710,647	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	68,715	0.04%	914,533	0.51%	-845,818	-0.47%
Non-Institutional	178,641,932	99.96%	177,796,114	99.49%	845,818	0.47%
Grand Total	178,710,647	100.00%	178,710,647	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 14 May 2020			As of 21 May 2020			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	1,887,351,467	60.83%	91.27%	1,880,859,760	60.73%	91.82%	-6,491,707	-0.10%	0.55%
	Corporates	1,208,878,388	38.96%	7.87%	1,210,372,056	39.08%	7.42%	1,493,668	0.12%	-0.45%
	Institution	2,274,653	0.07%	0.30%	2,111,357	0.07%	0.27%	-163,296	-0.01%	-0.02%
	Total Saudi Investors	3,098,504,508	99.87%	99.44%	3,093,343,173	99.89%	99.52%	-5,161,335	0.02%	0.08%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	14,860	0.00%	0.00%	15,543	0.00%	0.00%	683	0.00%	0.00%
	Foreign Residents	4,080,633	0.13%	0.56%	3,541,284	0.11%	0.48%	-539,348	-0.02%	-0.08%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	4,095,492	0.13%	0.56%	3,556,827	0.11%	0.48%	-538,665	-0.02%	-0.08%
Grand Total		3,102,600,000	100.00%	100.00%	3,096,900,000	100.00%	100.00%	-5,700,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 14 May 2020			As of 21 May 2020			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	1,211,167,901	39.04%	8.17%	1,212,498,956	39.15%	7.69%	1,331,055	0.11%	-0.47%
Non-Institutional	1,891,432,100	60.96%	91.83%	1,884,401,045	60.85%	92.31%	-7,031,055	-0.11%	0.47%
Grand Total	3,102,600,000	100%	100%	3,096,900,000	100%	100%	-5,700,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Thank you

