

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 5 September 2019



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities .
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.
	NRFI	Non-Resident Foreign Investors in accordance with the Guidance Note for the investment of Non- Resident Foreigners in Parallel Market

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	5,564,300,830	35.93%	5,962,646,551	38.50%	-398,345,722	-2.57%
	HNWIs	3,604,426,770	23.28%	3,675,001,683	23.73%	-70,574,912	-0.46%
	IPIs	388,232,631	2.51%	620,906,276	4.01%	-232,673,645	-1.50%
	Individual DPMs	290,339,542	1.87%	177,021,385	1.14%	113,318,157	0.73%
	Sub Total (Individuals)	9,847,299,773	63.59%	10,435,575,894	67.39%	-588,276,121	-3.80%
	Institutions:						
	Corporates	1,117,966,226	7.22%	515,978,174	3.33%	601,988,052	3.89%
	Mutual Funds	402,043,820	2.60%	372,713,779	2.41%	29,330,041	0.19%
	GRE's	2,015,240	0.01%	101,084	0.00%	1,914,156	0.01%
	Institution DPMs	406,110,828	2.62%	1,455,322,488	9.40%	-1,049,211,661	-6.78%
	Sub Total (Institutions)	1,928,136,113	12.45%	2,344,115,525	15.14%	-415,979,411	-2.69%
	Total Saudi Investors	11,775,435,886	76.04%	12,779,691,419	82.53%	-1,004,255,533	-6.49%
GCC	Individuals	16,992,718	0.11%	16,797,572	0.11%	195,146	0.00%
	Institutions	381,310,373	2.46%	583,616,101	3.77%	-202,305,728	-1.31%
	GCC DPMs	2,274,860	0.01%	250,938	0.00%	2,023,922	0.01%
	Total GCC Investors	400,577,950	2.59%	600,664,611	3.88%	-200,086,661	-1.29%
Foreign	SWAP Holders	71,818,031	0.46%	60,756,497	0.39%	11,061,534	0.07%
	Foreign Residents	155,779,820	1.01%	165,898,537	1.07%	-10,118,717	-0.07%
	QFIs	3,081,856,932	19.90%	1,878,424,896	12.13%	1,203,432,036	7.77%
	Foreign DPMs	241,825	0.00%	274,485	0.00%	-32,660	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	3,309,696,608	21.37%	2,105,354,415	13.60%	1,204,342,193	7.78%
Grand Total		15,485,710,445	100.00%	15,485,710,445	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	6,144,210,307	39.68%	5,665,366,102	36.58%	478,844,205	3.09%
Non-Institutional	9,341,500,137	60.32%	9,820,344,343	63.42%	-478,844,205	-3.09%
Grand Total	15,485,710,445	100.00%	15,485,710,445	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 29 August 2019		As of 5 September 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	71,733,831,600	3.77%	71,958,001,128	3.76%	224,169,528	-0.01%
	HNWIs	146,451,977,414	7.70%	147,210,918,415	7.68%	758,941,001	-0.01%
	IPIs	263,248,104,075	13.84%	265,325,852,876	13.85%	2,077,748,801	0.01%
	Individual DPMs	4,243,301,651	0.22%	4,383,578,989	0.23%	140,277,338	0.01%
	Sub Total (Individuals)	485,677,214,740	25.53%	488,878,351,407	25.52%	3,201,136,668	-0.01%
	Institutions:						
	Corporates	335,199,956,000	17.62%	335,849,970,264	17.53%	650,014,265	-0.09%
	Mutual Funds	189,614,977,662	9.97%	192,260,281,153	10.04%	2,645,303,491	0.07%
	GRE's	659,664,714,353	34.68%	665,967,333,758	34.76%	6,302,619,405	0.08%
	Institution DPMs	37,566,390,875	1.97%	36,103,780,194	1.88%	-1,462,610,681	-0.09%
	Sub Total (Institutions)	1,222,046,038,890	64.24%	1,230,181,365,369	64.21%	8,135,326,479	-0.03%
Total Saudi Investors	1,707,723,253,630	89.77%	1,719,059,716,777	89.73%	11,336,463,146	-0.04%	
GCC	Individuals	2,623,424,020	0.14%	2,639,984,351	0.14%	16,560,331	0.00%
	Institutions	32,968,732,478	1.73%	33,472,659,830	1.75%	503,927,352	0.01%
	GCC DPMs	565,111,794	0.03%	578,287,554	0.03%	13,175,760	0.00%
	Total GCC Investors	36,157,268,292	1.90%	36,690,931,735	1.92%	533,663,442	0.01%
Foreign	SWAP Holders	3,293,033,065	0.17%	3,216,820,293	0.17%	-76,212,772	-0.01%
	Foreign Residents	5,582,204,256	0.29%	5,696,556,641	0.30%	114,352,385	0.00%
	QFIs	99,041,831,352	5.21%	100,744,875,487	5.26%	1,703,044,135	0.05%
	Foreign DPMs	13,685,477	0.00%	13,688,362	0.00%	2,885	0.00%
	Strategic Partners	50,597,427,548	2.66%	50,474,330,414	2.63%	-123,097,134	-0.03%
	Total Foreign Investors	158,528,181,698	8.33%	160,146,271,198	8.36%	1,618,089,500	0.03%
Grand Total		1,902,408,703,621	100.00%	1,915,896,919,709	100.00%	13,488,216,089	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 29 August 2019		As of 5 September 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,676,017,266,330	88.10%	1,688,391,459,174	88.13%	12,374,192,844	0.03%
Non-Institutional	226,391,437,290	11.90%	227,505,460,535	11.87%	1,114,023,244	-0.03%
Grand Total	1,902,408,703,621	100.00%	1,915,896,919,709	100.00%	13,488,216,089	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	5,832,277	85.93%	5,965,098	87.89%	-132,821	-1.96%
	Corporates	65,479	0.96%	156,901	2.31%	-91,422	-1.35%
	Institution	295,397	4.35%	96,750	1.43%	198,647	2.93%
	Total Saudi Investors	6,193,153	91.25%	6,218,749	91.63%	-25,596	-0.38%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	58,538	0.86%	261,806	3.86%	-203,268	-2.99%
	Total GCC Investors	58,538	0.86%	261,806	3.86%	-203,268	-2.99%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	109,997	1.62%	306,502	4.52%	-196,505	-2.90%
	QFIs	425,369	6.27%	0	0.00%	425,369	6.27%
	Total Foreign Investors	535,366	7.89%	306,502	4.52%	228,864	3.37%
Grand Total		6,787,057	100.00%	6,787,057	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	844,783	12.45%	515,457	7.59%	329,327	4.85%
Non-Institutional	5,942,273	87.55%	6,271,600	92.41%	-329,327	-4.85%
Grand Total	6,787,057	100.00%	6,787,057	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 29 August 2019		As of 5 September 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	4,448,362,745	95.46%	4,358,675,175	95.54%	-89,687,570	0.08%
	Corporates	135,592,230	2.91%	131,381,903	2.88%	-4,210,327	-0.03%
	Institution	42,387,144	0.91%	40,533,784	0.89%	-1,853,360	-0.02%
	Total Saudi Investors	4,626,342,118	99.28%	4,530,590,861	99.31%	-95,751,257	0.03%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	12,303,120	0.26%	11,692,060	0.26%	-611,060	-0.01%
	Total GCC Investors	12,303,120	0.26%	11,692,060	0.26%	-611,060	-0.01%
Foreign	SWAP Holders	201,358	0.00%	198,569	0.00%	-2,790	0.00%
	Foreign Residents	6,231,597	0.13%	5,736,226	0.13%	-495,372	-0.01%
	QFIs	14,622,942	0.31%	13,820,920	0.30%	-802,022	-0.01%
	Total Foreign Investors	21,055,898	0.45%	19,755,714	0.43%	-1,300,183	-0.02%
Grand Total		4,659,701,136	100.00%	4,562,038,636	100.00%	-97,662,500	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 29 August 2019		As of 5 September 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	205,106,794	4.40%	197,627,236	4.33%	-7,479,558	-0.07%
Non-Institutional	4,454,594,342	95.60%	4,364,411,400	95.67%	-90,182,942	0.07%
Grand Total	4,659,701,136	100.00%	4,562,038,636	100.00%	-97,662,500	

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

