

# Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 06 December 2018



# Definitions



# Weekly Stock Market Ownership and Trading Activity Report

## Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
GCC	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

\*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

# Main Market - Value Traded & Ownership Breakdown



# Weekly Report - Main Market

## Value Traded (by Nationality and Investor Type)

### Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	<b>Individuals:</b>						
	Retail	7,646,996,436	42.76%	9,228,271,156	51.60%	-1,581,274,720	-8.84%
	HNWIs	5,428,201,046	30.35%	5,482,742,274	30.66%	-54,541,228	-0.30%
	IPIs	465,329,909	2.60%	514,343,891	2.88%	-49,013,982	-0.27%
	Individual DPMS	193,081,504	1.08%	220,993,560	1.24%	-27,912,056	-0.16%
	<b>Sub Total (Individuals)</b>	<b>13,733,608,896</b>	<b>76.79%</b>	<b>15,446,350,881</b>	<b>86.37%</b>	<b>-1,712,741,985</b>	<b>-9.58%</b>
	<b>Institutions:</b>						
	Corporates	1,903,535,476	10.64%	671,934,364	3.76%	1,231,601,112	6.89%
	Mutual Funds	300,800,709	1.68%	311,034,460	1.74%	-10,233,751	-0.06%
	GRE's	0	0.00%	1,115,000	0.01%	-1,115,000	-0.01%
	Institution DPMS	541,538,103	3.03%	397,534,666	2.22%	144,003,436	0.81%
	<b>Sub Total (Institutions)</b>	<b>2,745,874,288</b>	<b>15.35%</b>	<b>1,381,618,491</b>	<b>7.73%</b>	<b>1,364,255,797</b>	<b>7.63%</b>
<b>Total Saudi Investors</b>	<b>16,479,483,184</b>	<b>92.14%</b>	<b>16,827,969,372</b>	<b>94.09%</b>	<b>-348,486,188</b>	<b>-1.95%</b>	
GCC	Individuals	40,750,909	0.23%	70,993,336	0.40%	-30,242,427	-0.17%
	Institutions	287,284,370	1.61%	244,045,836	1.36%	43,238,534	0.24%
	GCC DPMS	4,251,326	0.02%	2,136,121	0.01%	2,115,205	0.01%
	<b>Total GCC Investors</b>	<b>332,286,605</b>	<b>1.86%</b>	<b>317,175,293</b>	<b>1.77%</b>	<b>15,111,312</b>	<b>0.08%</b>
Foreign	SWAP Holders	349,023,602	1.95%	150,611,605	0.84%	198,411,997	1.11%
	Foreign Residents	224,953,210	1.26%	255,975,244	1.43%	-31,022,034	-0.17%
	QFIs	498,562,128	2.79%	332,736,275	1.86%	165,825,853	0.93%
	Foreign DPMS	159,060	0.00%	0	0.00%	159,060	0.00%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	<b>Total Foreign Investors</b>	<b>1,072,698,000</b>	<b>6.00%</b>	<b>739,323,124</b>	<b>4.13%</b>	<b>333,374,876</b>	<b>1.86%</b>
<b>Grand Total</b>		<b>17,884,467,789</b>	<b>100.00%</b>	<b>17,884,467,789</b>	<b>100.00%</b>		

# Weekly Report - Main Market

## Value Traded (by Investor Classification)

### Main Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,543,566,187	25.41%	2,846,485,780	15.92%	1,697,080,408	9.49%
Non-Institutional	13,340,901,602	74.59%	15,037,982,010	84.08%	-1,697,080,408	-9.49%
<b>Grand Total</b>	<b>17,884,467,789</b>	<b>100.00%</b>	<b>17,884,467,789</b>	<b>100.00%</b>		

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

# Weekly Report - Main Market

## Ownership Value (by Nationality and Investor Type)

### Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 29 November 2018		As of 6 December 2018		Weekly Change		
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Saudi	Individuals:							
	Retail	73,922,236,065	4.06%	73,045,654,371	3.92%	-876,581,694	-0.13%	
	HNWIs	142,030,427,556	7.79%	145,347,720,158	7.80%	3,317,292,602	0.01%	
	IPIs	247,837,505,897	13.60%	253,168,407,721	13.59%	5,330,901,824	-0.01%	
	Individual DPMs	4,997,020,414	0.27%	5,025,146,298	0.27%	28,125,883	0.00%	
	<b>Sub Total (Individuals)</b>	<b>468,787,189,933</b>	<b>25.73%</b>	<b>476,586,928,548</b>	<b>25.59%</b>	<b>7,799,738,615</b>	<b>-0.13%</b>	
	Institutions:							
	Corporates	283,899,426,933	15.58%	287,846,729,731	15.46%	3,947,302,798	-0.12%	
	Mutual Funds	88,344,811,234	4.85%	91,755,260,843	4.93%	3,410,449,609	0.08%	
	GRE's	730,934,622,691	40.11%	749,655,346,674	40.25%	18,720,723,983	0.14%	
	Institution DPMs	128,718,532,498	7.06%	131,986,116,041	7.09%	3,267,583,543	0.02%	
	<b>Sub Total (Institutions)</b>	<b>1,231,897,393,356</b>	<b>67.60%</b>	<b>1,261,243,453,289</b>	<b>67.73%</b>	<b>29,346,059,933</b>	<b>0.12%</b>	
<b>Total Saudi Investors</b>	<b>1,700,684,583,289</b>	<b>93.33%</b>	<b>1,737,830,381,837</b>	<b>93.32%</b>	<b>37,145,798,548</b>	<b>-0.01%</b>		
GCC	Individuals	2,816,625,718	0.15%	2,827,114,954	0.15%	10,489,236	0.00%	
	Institutions	32,850,166,491	1.80%	33,590,555,082	1.80%	740,388,590	0.00%	
	GCC DPMs	817,754,689	0.04%	839,861,732	0.05%	22,107,043	0.00%	
	<b>Total GCC Investors</b>	<b>36,484,546,898</b>	<b>2.00%</b>	<b>37,257,531,767</b>	<b>2.00%</b>	<b>772,984,869</b>	<b>0.00%</b>	
Foreign	SWAP Holders	9,105,637,846	0.50%	9,515,546,558	0.51%	409,908,711	0.01%	
	Foreign Residents	3,251,249,649	0.18%	3,301,468,812	0.18%	50,219,163	0.00%	
	QFIs	12,864,465,981	0.71%	13,345,648,289	0.72%	481,182,308	0.01%	
	Foreign DPMs	3,859,301,545	0.21%	3,971,750,908	0.21%	112,449,362	0.00%	
	Strategic Partners	55,961,283,548	3.07%	57,066,183,828	3.06%	1,104,900,281	-0.01%	
	<b>Total Foreign Investors</b>	<b>85,041,938,569</b>	<b>4.67%</b>	<b>87,200,598,394</b>	<b>4.68%</b>	<b>2,158,659,825</b>	<b>0.02%</b>	
<b>Grand Total</b>		<b>1,822,211,068,756</b>	<b>100.00%</b>	<b>1,862,288,511,998</b>	<b>100.00%</b>	<b>40,077,443,242</b>		

# Weekly Report - Main Market

## Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 29 November 2018		As of 6 December 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,600,190,529,768	87.82%	1,637,766,553,704	87.94%	37,576,023,936	0.13%
Non-Institutional	222,020,538,988	12.18%	224,521,958,294	12.06%	2,501,419,307	-0.13%
<b>Grand Total</b>	<b>1,822,211,068,756</b>	<b>100.00%</b>	<b>1,862,288,511,998</b>	<b>100.00%</b>	<b>40,077,443,242</b>	

## Definitions

### **Institutional:**

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

### **Non Institutional:**

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



# Nomu-Parallel Market - Value Traded & Ownership Breakdown



# Weekly Report – Nomu-Parallel Market

## Value Traded (by Nationality, Investor Type, & Classification)

### Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	8,864,709	94.44%	8,481,299	90.36%	383,410	4.08%
	Corporates	363,096	3.87%	22,194	0.24%	340,901	3.63%
	Institution	0	0.00%	88,538	0.94%	-88,538	-0.94%
	<b>Total Saudi Investors</b>	<b>9,227,804</b>	<b>98.31%</b>	<b>8,592,032</b>	<b>91.54%</b>	<b>635,773</b>	<b>6.77%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	677,640	7.22%	-677,640	-7.22%
	<b>Total GCC Investors</b>	<b>0</b>	<b>0.00%</b>	<b>677,640</b>	<b>7.22%</b>	<b>-677,640</b>	<b>-7.22%</b>
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	81,376	0.87%	116,571	1.24%	-35,194	-0.37%
	QFIs	77,062	0.82%	0	0.00%	77,062	0.82%
	<b>Total Foreign Investors</b>	<b>158,438</b>	<b>1.69%</b>	<b>116,571</b>	<b>1.24%</b>	<b>41,867</b>	<b>0.45%</b>
<b>Grand Total</b>		<b>9,386,242</b>	<b>100.00%</b>	<b>9,386,242</b>	<b>100.00%</b>		

### Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
<b>Institutional</b>	440,157	4.69%	788,372	8.40%	-348,215	-3.71%
<b>Non-Institutional</b>	8,946,085	95.31%	8,597,870	91.60%	348,215	3.71%
<b>Grand Total</b>	<b>9,386,242</b>	<b>100.00%</b>	<b>9,386,242</b>	<b>100.00%</b>		

#### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

#### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

# Weekly Report – Nomu-Parallel Market

## Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 29 November 2018		As of 06 December 2018		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	1,904,875,344	91.74%	1,943,676,688	91.73%	38,801,344	-0.01%
	Corporates	109,571,651	5.28%	113,371,780	5.35%	3,800,129	0.07%
	Institution	33,411,085	1.61%	33,448,377	1.58%	37,292	-0.03%
	<b>Total Saudi Investors</b>	<b>2,047,858,081</b>	<b>98.63%</b>	<b>2,090,496,846</b>	<b>98.66%</b>	<b>42,638,765</b>	<b>0.04%</b>
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	14,063,949	0.68%	13,676,306	0.65%	-387,644	-0.03%
	<b>Total GCC Investors</b>	<b>14,063,949</b>	<b>0.68%</b>	<b>13,676,306</b>	<b>0.65%</b>	<b>-387,644</b>	<b>-0.03%</b>
Foreign	SWAP Holders	169,970	0.01%	169,983	0.01%	13	0.00%
	Foreign Residents	2,329,162	0.11%	2,338,156	0.11%	8,994	0.00%
	QFIs	11,940,038	0.58%	12,115,470	0.57%	175,432	0.00%
	<b>Total Foreign Investors</b>	<b>14,439,170</b>	<b>0.70%</b>	<b>14,623,609</b>	<b>0.69%</b>	<b>184,439</b>	<b>-0.01%</b>
<b>Grand Total</b>		<b>2,076,361,200</b>	<b>100.00%</b>	<b>2,118,796,760</b>	<b>100.00%</b>	<b>42,435,560</b>	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 29 November 2018		As of 06 December 2018		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	169,156,694	8.15%	172,781,916	8.15%	3,625,223	0.01%
Non-Institutional	1,907,204,506	91.85%	1,946,014,844	91.85%	38,810,337	-0.01%
<b>Grand Total</b>	<b>2,076,361,200</b>	<b>100.00%</b>	<b>2,118,796,760</b>	<b>100.00%</b>	<b>42,435,560</b>	

### Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

### Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Tadawul

Thank you

