

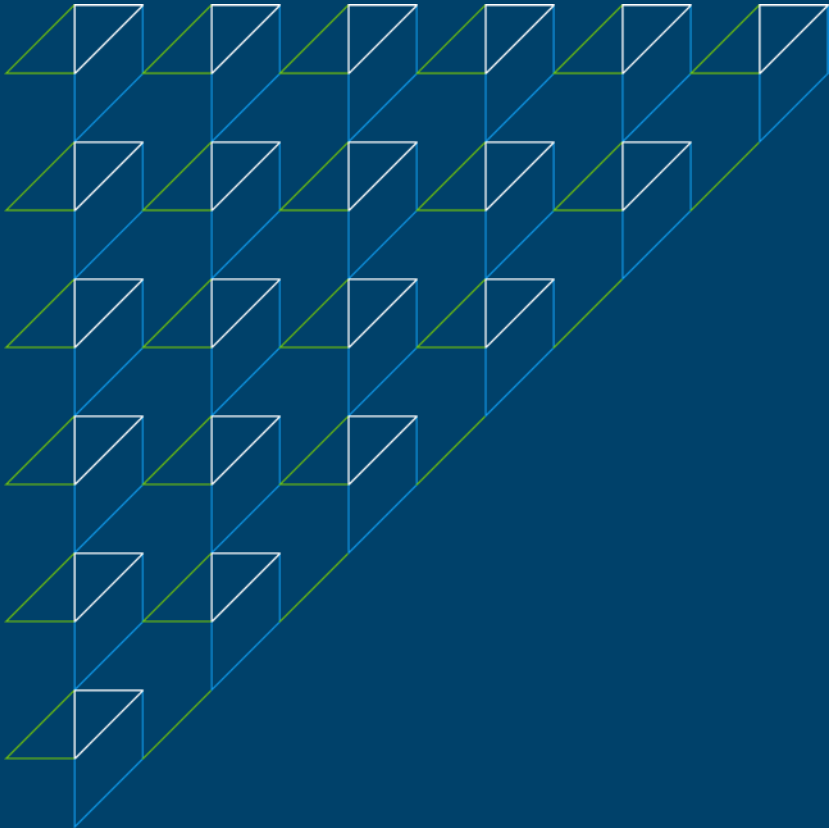
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 17 November 2016



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

Highlights



Weekly Stock Market Ownership and Trading Activity Report

Highlights

The total value of shares traded for the week ending 17 November 2016 amounted to SAR 28.30 billion, increasing by 10.05% over the previous week; while total stock market capitalization reached SAR 1,543.22 billion at the end of this period, increasing by 1.29% over the previous week.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 27.21 billion representing 96.17% of total buying activity, and sales of SAR 26.25 billion representing 92.77% of total selling activity. Total ownership of “Saudi Investors” stood at 93.18% of total market capitalization as of 17 November 2016, representing a decrease of 0.14% from the previous week.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.321 billion representing 1.13% of total buying activity, and sales of SAR 1.02 billion representing 3.59% of total selling activity. Total ownership of “GCC Investors” stood at 2.34% of total market capitalization as of 17 November 2016, representing a decrease of 0.02% from the previous week.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 0.762 billion representing 2.69% of total buying activity, and sales of SAR 1.03 billion representing 3.64% of total selling activity. Total ownership of “Foreign Investors” stood at 4.48% of total market capitalization as of 17 November 2016, representing an increase of 0.16% from the previous week.



Stock Market Value Traded Breakdown



Weekly Stock Market Ownership and Trading Activity Report

Value Traded (by Nationality and Investor Type)

Stock Market Traded Value Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	11,175,847,697	39.49%	12,135,961,679	42.89%	-960,113,982	-3.39%
	HNWIs	7,864,974,143	27.79%	8,771,312,136	31.00%	-906,337,994	-3.20%
	IPIs	586,133,389	2.07%	1,949,604,715	6.89%	-1,363,471,327	-4.82%
	Individual DPMs	180,767,503	0.64%	434,589,618	1.54%	-253,822,114	-0.90%
	Sub Total (Individuals)	19,807,722,731	70.00%	23,291,468,147	82.31%	-3,483,745,416	-12.31%
	Institutions:						
	Corporates	1,084,959,735	3.83%	1,677,141,733	5.93%	-592,181,997	-2.09%
	Mutual Funds	6,008,190,465	21.23%	609,328,403	2.15%	5,398,862,062	19.08%
	GRE's	15,783,736	0.06%	13,550,203	0.05%	2,233,534	0.01%
	Institution DPMs	298,086,476	1.05%	661,182,981	2.34%	-363,096,505	-1.28%
	Sub Total (Institutions)	7,407,020,413	26.18%	2,961,203,319	10.46%	4,445,817,094	15.71%
Total Saudi Investors	27,214,743,145	96.17%	26,252,671,467	92.77%	962,071,678	3.40%	
GCC	Individuals	58,631,209	0.21%	115,164,008	0.41%	-56,532,800	-0.20%
	Institutions	258,061,674	0.91%	889,714,627	3.14%	-631,652,953	-2.23%
	GCC DPMs	4,376,276	0.02%	10,132,509	0.04%	-5,756,233	-0.02%
	Total GCC Investors	321,069,159	1.13%	1,015,011,144	3.59%	-693,941,986	-2.45%
Foreign	SWAP Holders	259,296,356	0.92%	563,270,337	1.99%	-303,973,981	-1.07%
	Foreign Residents	418,448,779	1.48%	434,658,568	1.54%	-16,209,789	-0.06%
	QFIs	83,767,490	0.30%	25,717,364	0.09%	58,050,126	0.21%
	Foreign DPMs	162,675	0.00%	6,158,723	0.02%	-5,996,048	-0.02%
	Strategic Partners	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	761,675,299	2.69%	1,029,804,991	3.64%	-268,129,692	-0.95%
Grand Total		28,297,487,603	100.00%	28,297,487,603	100.00%		

Weekly Stock Market Ownership and Trading Activity Report

Value Traded (by Investor Classification)

Stock Market Traded Value Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	8,779,585,776	31.03%	6,840,391,211	24.17%	1,939,194,564	6.85%
Non-Institutional	19,517,901,827	68.97%	21,457,096,391	75.83%	-1,939,194,564	-6.85%
Grand Total	28,297,487,603	100.00%	28,297,487,603	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Stock Market Ownership Breakdown



Weekly Stock Market Ownership and Trading Activity Report

Ownership Value (by Nationality and Investor Type)

Stock Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 10 November 2016		As of 17 November 2016		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	69,755,438,524	4.58%	71,549,502,102	4.64%	1,794,063,577	0.06%
	HNWIs	124,330,136,431	8.16%	125,788,751,482	8.15%	1,458,615,051	-0.01%
	IPIs	246,497,226,617	16.18%	246,814,061,889	15.99%	316,835,272	-0.19%
	Individual DPMs	4,549,327,375	0.30%	4,484,937,772	0.29%	-64,389,603	-0.01%
	Sub Total (Individuals)	445,132,128,947	29.22%	448,637,253,245	29.07%	3,505,124,298	-0.15%
	Institutions:						
	Corporates	268,700,344,331	17.64%	272,375,470,432	17.65%	3,675,126,101	0.01%
	Mutual Funds	56,398,609,722	3.70%	62,583,520,286	4.06%	6,184,910,563	0.35%
	GRE's	608,467,682,740	39.94%	611,509,317,944	39.63%	3,041,635,204	-0.31%
	Institution DPMs	43,003,974,333	2.82%	42,823,114,555	2.77%	-180,859,779	-0.05%
	Sub Total (Institutions)	976,570,611,127	64.10%	989,291,423,216	64.11%	12,720,812,090	0.01%
Total Saudi Investors	1,421,702,740,074	93.32%	1,437,928,676,462	93.18%	16,225,936,388	-0.14%	
GCC	Individuals	2,853,367,071	0.19%	2,835,472,777	0.18%	-17,894,294	0.00%
	Institutions	32,439,250,273	2.13%	32,649,822,817	2.12%	210,572,543	-0.01%
	GCC DPMs	663,643,349	0.04%	661,352,104	0.04%	-2,291,245	0.00%
	Total GCC Investors	35,956,260,693	2.36%	36,146,647,698	2.34%	190,387,005	-0.02%
Foreign	SWAP Holders	16,085,509,316	1.06%	15,797,149,575	1.02%	-288,359,740	-0.03%
	Foreign Residents	4,208,842,766	0.28%	4,361,035,599	0.28%	152,192,833	0.01%
	QFIs	1,433,961,183	0.09%	1,502,381,180	0.10%	68,419,998	0.00%
	Foreign DPMs	12,001,529	0.00%	6,056,853	0.00%	-5,944,675	0.00%
	Strategic Partners	44,139,508,175	2.90%	47,481,551,537	3.08%	3,342,043,362	0.18%
	Total Foreign Investors	65,879,822,969	4.32%	69,148,174,746	4.48%	3,268,351,777	0.16%
Grand Total		1,523,538,823,736	100.00%	1,543,223,498,906	100.00%	19,684,675,169	

Weekly Stock Market Ownership and Trading Activity Report

Ownership Value (by Investor Classification)

Stock Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 10 November 2016		As of 17 November 2016		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,322,391,038,943	86.80%	1,338,688,736,945	86.75%	16,297,698,002	-0.05%
Non-Institutional	201,147,784,793	13.20%	204,534,761,961	13.25%	3,386,977,167	0.05%
Grand Total	1,523,538,823,736	100.00%	1,543,223,498,906	100.00%	19,684,675,169	

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

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Thank you

