

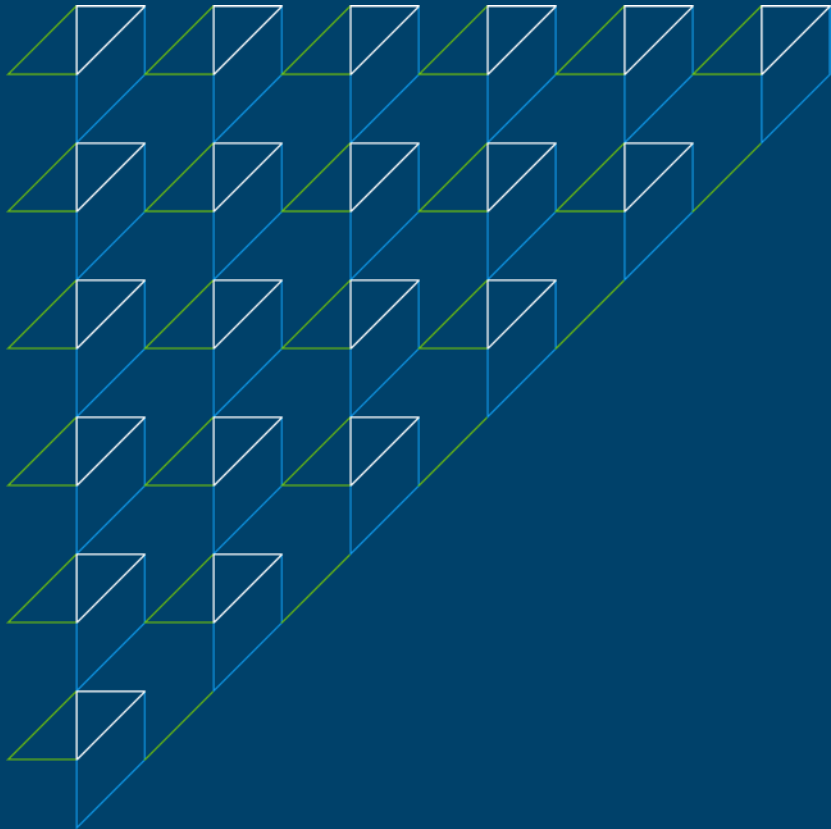
Monthly Stock Market Ownership and Trading Activity Report

Market Reports

Month Ending 31 May 2020



Definitions



Monthly Stock Market Ownership and Trading Activity Report

Definitions

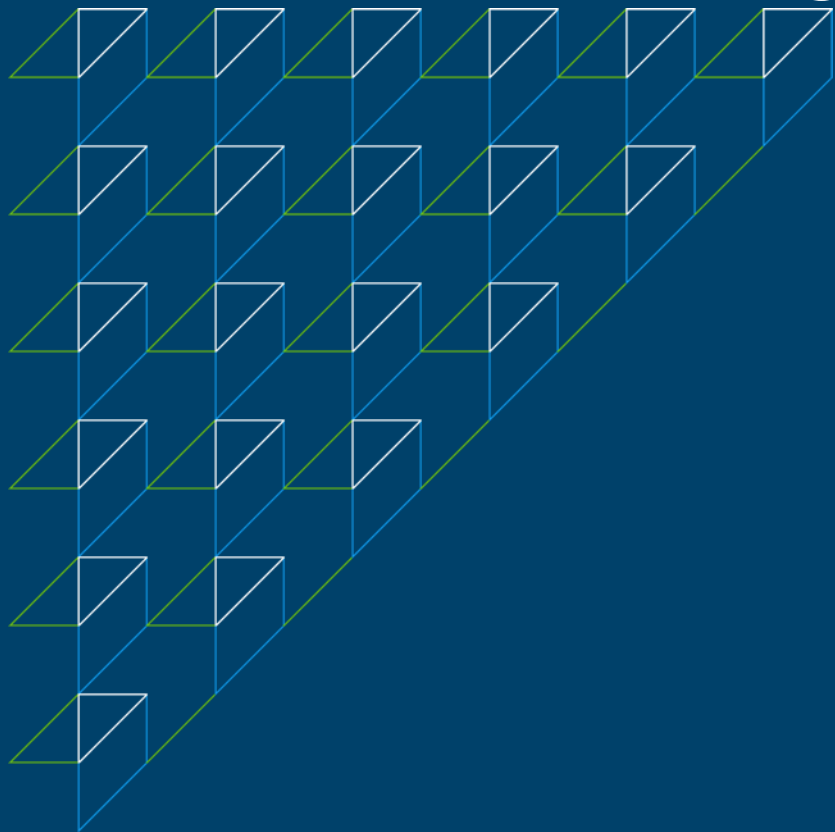
Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).	
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with a valid residency permit (Iqama) and others (excluding SWAP, QFIs, DPM & Strategic Investors).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	A foreign legal entity that aims to own a strategic shareholding in listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Highlights

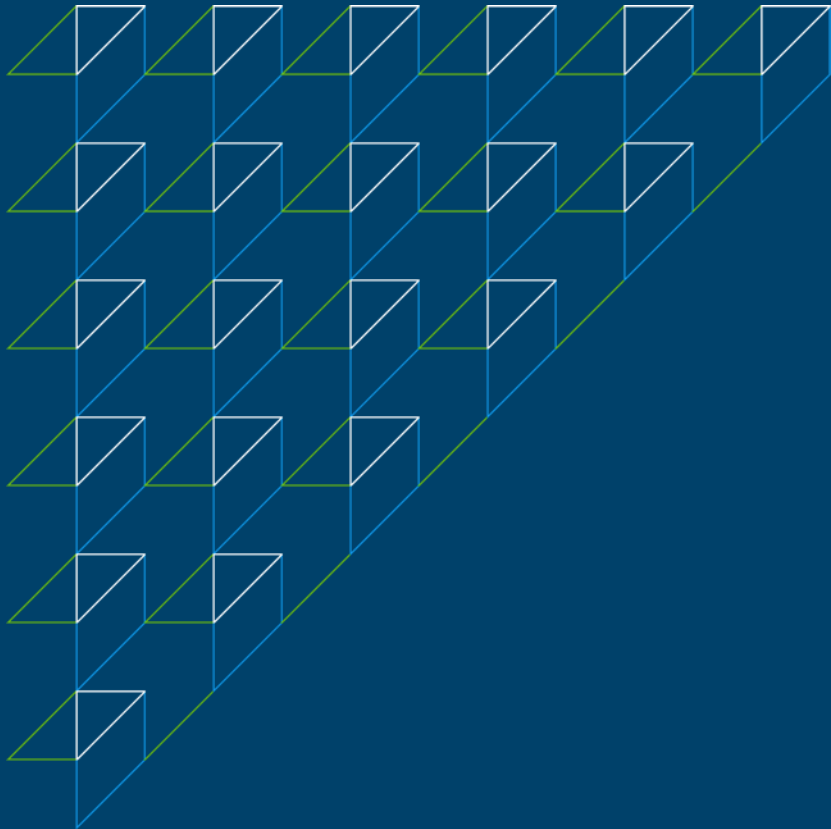


The total value of shares traded for the month ending 31 May 2020 amounted to SAR 75.80 billion, decreasing by 20.71% over the previous month; while total stock market capitalization reached SAR 8,327.08 billion at the end of this period, increasing by 4.02% over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 61.04 billion representing 80.53 % of total buying activity, and sales of SAR 63.46 billion representing 83.72 % of total selling activity. Total ownership of “Saudi Investors” stood at 97.55 % of total market capitalization as of 31 May 2020, representing an increase of 0.04 % from the previous month.
- ▶ The total value of shares purchased by “GCC Investors” during this period amounted to SAR 0.88 billion representing 1.16 % of total buying activity, and sales of SAR 1.28 billion representing 1.69% of total selling activity. Total ownership of “GCC Investors” stood at 0.44% of total market capitalization as of 31 May 2020, representing a decrease of 0.02% from the previous month.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 13.87 billion representing 18.30% of total buying activity, and sales of SAR 11.05 billion representing 14.58% of total selling activity. Total ownership of “Foreign Investors” stood at 2.02% of total market capitalization as of 31 May 2020, representing a decrease of 0.02% from the previous month.



Main Market - Value Traded & Ownership Breakdown



Monthly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	35,644,668,971	47.02%	35,501,339,643	46.83%	143,329,328	0.19%
	HNWIs	15,718,594,473	20.74%	16,430,265,872	21.68%	-711,671,399	-0.94%
	IPIs	2,326,740,114	3.07%	2,828,144,438	3.73%	-501,404,325	-0.66%
	Individual DPMs	541,447,272	0.71%	1,089,337,845	1.44%	-547,890,573	-0.72%
	Sub Total (Individuals)	54,231,450,830	71.54%	55,849,087,798	73.68%	-1,617,636,969	-2.13%
	Institutions:						
	Corporates	3,161,999,579	4.17%	3,080,258,114	4.06%	81,741,465	0.11%
	Mutual Funds	2,641,862,891	3.49%	2,725,686,185	3.60%	-83,823,294	-0.11%
	GRE's	372,120,934	0.49%	778,543,129	1.03%	-406,422,195	-0.54%
	Institution DPMs	637,502,290	0.84%	1,030,513,904	1.36%	-393,011,614	-0.52%
	Sub Total (Institutions)	6,813,485,694	8.99%	7,615,001,331	10.05%	-801,515,638	-1.06%
	Total Saudi Investors	61,044,936,523	80.53%	63,464,089,130	83.72%	-2,419,152,606	-3.19%
GCC	Individuals	155,248,063	0.20%	157,729,422	0.21%	-2,481,359	0.00%
	Institutions	719,702,737	0.95%	1,102,688,527	1.45%	-382,985,790	-0.51%
	GCC DPMs	7,727,415	0.01%	23,357,635	0.03%	-15,630,220	-0.02%
	Total GCC Investors	882,678,214	1.16%	1,283,775,583	1.69%	-401,097,369	-0.53%
Foreign	SWAP Holders	81,916,178	0.11%	183,855,383	0.24%	-101,939,205	-0.13%
	Foreign Residents & Others	1,390,837,427	1.83%	1,392,503,580	1.84%	-1,666,153	0.00%
	QFIs	12,400,696,453	16.36%	9,476,611,838	12.50%	2,924,084,615	3.86%
	Foreign DPMs	198,165	0.00%	427,446	0.00%	-229,282	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	13,873,648,223	18.30%	11,053,398,247	14.58%	2,820,249,976	3.72%
Grand Total		75,801,262,961	100.00%	75,801,262,961	100.00%		

Monthly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	22,891,914,027	30.20%	22,319,424,444	29.44%	572,489,583	0.76%
Non-Institutional	52,909,348,934	69.80%	53,481,838,517	70.56%	-572,489,583	-0.76%
Grand Total	75,801,262,961	100.00%	75,801,262,961	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Monthly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 30 April 2020			As of 31 May 2020			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	99,198,056,495	1.24%	9.52%	100,010,499,665	1.20%	9.46%	812,443,171	-0.04%	-0.05%
	HNWIs	144,662,760,105	1.81%	13.66%	145,345,138,449	1.75%	13.58%	682,378,344	-0.06%	-0.08%
	IPIs	248,498,167,777	3.10%	17.70%	249,776,197,684	3.00%	17.52%	1,278,029,907	-0.10%	-0.18%
	Individual DPMS	5,253,323,402	0.07%	0.50%	4,986,510,002	0.06%	0.47%	-266,813,401	-0.01%	-0.03%
	Sub Total (Individuals)	497,612,307,779	6.22%	41.38%	500,118,345,800	6.01%	41.03%	2,506,038,021	-0.21%	-0.35%
	Institutions:									
	Corporates	335,032,207,952	4.19%	23.24%	339,292,381,851	4.07%	23.03%	4,260,173,899	-0.11%	-0.20%
	Mutual Funds	170,697,452,200	2.13%	11.12%	172,524,708,244	2.07%	11.14%	1,827,256,044	-0.06%	0.02%
	GRE's	6,769,856,331,839	84.57%	7.24%	7,078,009,839,438	85.00%	7.49%	308,153,507,599	0.43%	0.25%
	Institution DPMS	32,297,806,951	0.40%	3.10%	32,790,187,919	0.39%	3.10%	492,380,969	-0.01%	0.00%
Sub Total (Institutions)	7,307,883,798,941	91.29%	44.69%	7,622,617,117,452	91.54%	44.76%	314,733,318,511	0.25%	0.06%	
Total Saudi Investors	7,805,496,106,720	97.50%	86.07%	8,122,735,463,252	97.55%	85.79%	317,239,356,532	0.04%	-0.28%	
GCC	Individuals	2,120,910,816	0.03%	0.20%	2,188,050,677	0.03%	0.20%	67,139,861	0.00%	0.00%
	Institutions	33,960,164,277	0.42%	1.95%	33,574,570,604	0.40%	1.91%	-385,593,674	-0.02%	-0.03%
	GCC DPMS	729,771,888	0.01%	0.07%	697,773,277	0.01%	0.07%	-31,998,611	0.00%	0.00%
	Total GCC Investors	36,810,846,981	0.46%	2.22%	36,460,394,557	0.44%	2.18%	-350,452,423	-0.02%	-0.03%
Foreign	SWAP Holders	2,231,167,172	0.03%	0.21%	2,139,967,894	0.03%	0.20%	-91,199,277	0.00%	-0.01%
	Foreign Residents & Others	8,490,206,814	0.11%	0.56%	8,392,299,684	0.10%	0.55%	-97,907,130	-0.01%	-0.01%
	QFIs	113,400,739,896	1.42%	10.76%	118,909,726,861	1.43%	11.13%	5,508,986,965	0.01%	0.36%
	Foreign DPMS	1,872,940,163	0.02%	0.18%	1,613,139,454	0.02%	0.15%	-259,800,709	0.00%	-0.03%
	Strategic Investors	37,120,220,295	0.46%	0.00%	36,827,780,894	0.44%	0.00%	-292,439,401	-0.02%	0.00%
	Total Foreign Investors	163,115,274,339	2.04%	11.71%	167,882,914,787	2.02%	12.02%	4,767,640,448	-0.02%	0.31%
Grand Total		8,005,422,228,040	100.00%	100.00%	8,327,078,772,596	100.00%	100.00%	321,656,544,557		

* Non deposited shares was not reflected as on 31 May holding value (Saudi Fisheries 6050 - Capital Increase - Right Issue)

Monthly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 30 April 2020			As of 31 May 2020			Monthly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	7,753,538,499,170	96.82%	76.06%	8,073,651,331,916	96.93%	76.21%	320,112,832,746	0.11%	0.15%
Non-Institutional	251,883,728,869	3.18%	23.94%	253,427,440,680	3.07%	23.79%	1,543,711,811	-0.11%	-0.15%
Grand Total	8,005,422,228,040	100.00%	100.00%	8,327,078,772,596	100.00%	100.00%	321,656,544,557		

Definitions

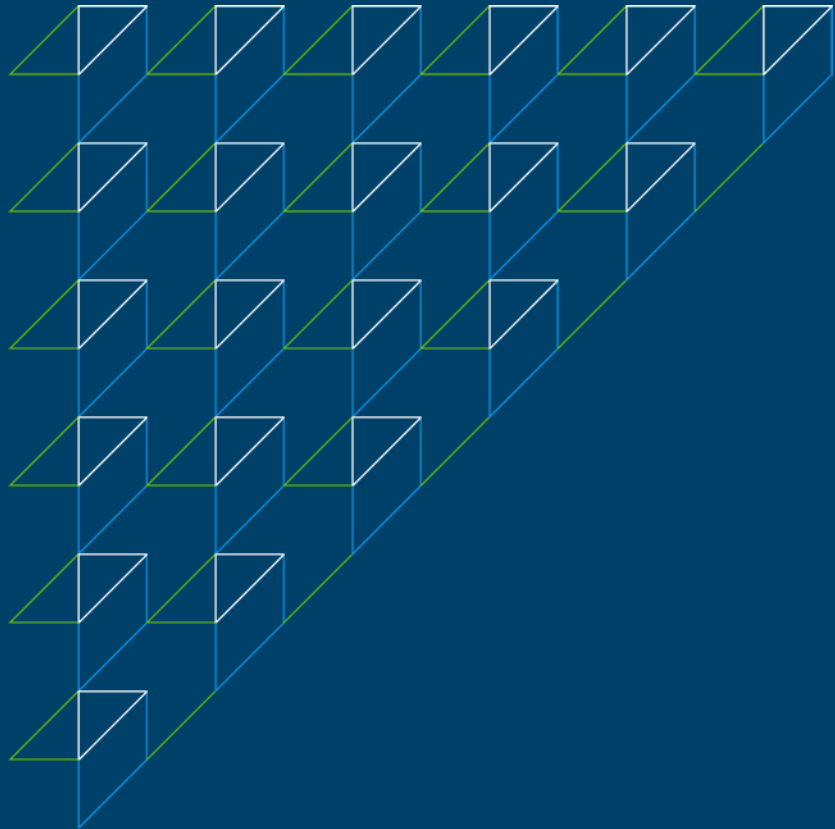
Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Highlights

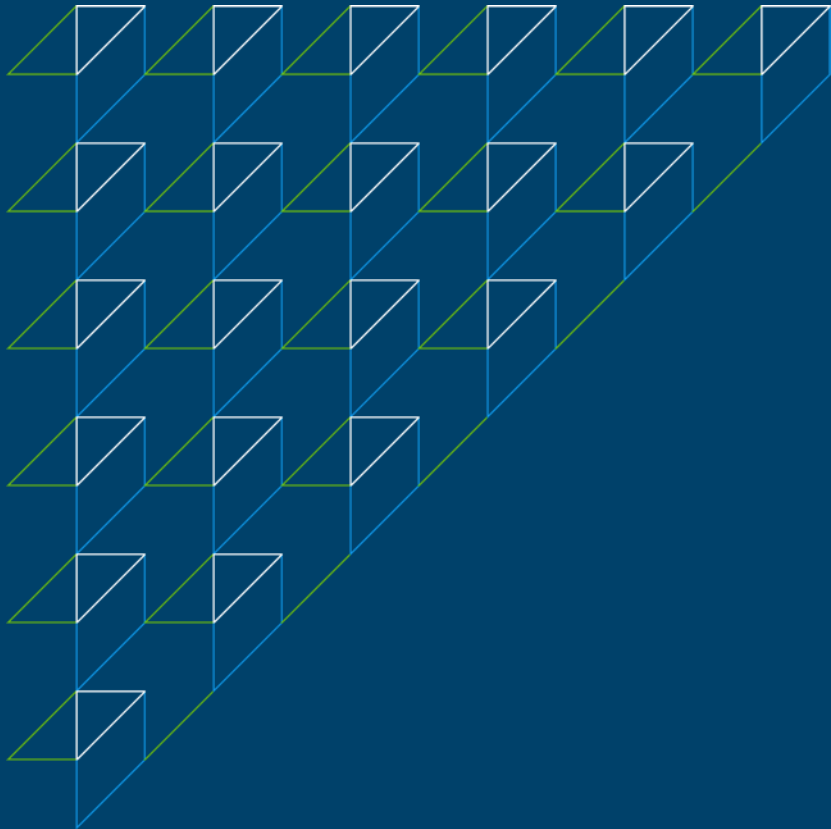


The total value of shares traded for the month ending 31 May 2020 amounted to SAR 402.27 million, decreasing by 40.71% over the previous month; while total stock market capitalization reached SAR 3,077.85 million at the end of this period, increasing by 63.02 % over the previous month.

- ▶ The total value of shares purchased by “Saudi Investors” during this period amounted to SAR 396.58 million representing 98.59% of total buying activity, and sales of SAR 396.31 million representing 98.52% of total selling activity. Total ownership of “Saudi Investors” stood at 99.88% of total market capitalization as of 31 May 2020, representing an increase of 0.06% from the previous month.
- ▶ The total value of shares purchased and sold by “GCC Investors” during this period amounted to SAR 0.00 million. Total ownership of “GCC Investors” stood at 0.00% of total market capitalization as of 31 May 2020.
- ▶ The total value of shares purchased by “Foreign Investors” during this period amounted to SAR 5.69 million representing 1.41% of total buying activity, and sales of SAR 5.96 million representing 1.48% of total selling activity. Total ownership of “Foreign Investors” stood at 0.12% of total market capitalization as of 31 May 2020, representing a decrease of 0.06% from the previous month.



Nomu-Parallel Market - Value Traded & Ownership Breakdown



Monthly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	396,308,514	98.52%	390,234,957	97.01%	6,073,556	1.51%
	Corporates	204,000	0.05%	5,738,893	1.43%	-5,534,893	-1.38%
	Institution	68,715	0.02%	335,009	0.08%	-266,294	-0.07%
	Total Saudi Investors	396,581,228	98.59%	396,308,860	98.52%	272,369	0.07%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	5,685,603	1.41%	5,957,972	1.48%	-272,369	-0.07%
	QFIs	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	5,685,603	1.41%	5,957,972	1.48%	-272,369	-0.07%
Grand Total		402,266,832	100.00%	402,266,832	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	272,715	0.07%	6,073,902	1.51%	-5,801,187	-1.44%
Non-Institutional	401,994,117	99.93%	396,192,929	98.49%	5,801,187	1.44%
Grand Total	402,266,832	100.00%	402,266,832	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Monthly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 30 April 2020			As of 31 May 2020			Monthly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	1,861,777,110	98.61%	96.16%	1,835,437,329	59.63%	91.67%	-26,339,781	-38.98%	-4.49%
	Corporates	21,189,199	1.12%	3.08%	1,236,677,033	40.18%	7.56%	1,215,487,834	39.06%	4.48%
	Institution	1,675,530	0.09%	0.24%	2,142,641	0.07%	0.28%	467,111	-0.02%	0.04%
	Total Saudi Investors	1,884,641,838	99.82%	99.48%	3,074,257,002	99.88%	99.51%	1,189,615,165	0.06%	0.03%
GCC	Individuals	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Institutions	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total GCC Investors	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
Foreign	SWAP Holders	14,030	0.00%	0.00%	15,811	0.00%	0.00%	1,781	0.00%	0.00%
	Foreign Residents	3,344,132	0.18%	0.51%	3,577,187	0.12%	0.49%	233,054	-0.06%	-0.03%
	QFIs	0	0.00%	0.00%	0	0.00%	0.00%	0	0.00%	0.00%
	Total Foreign Investors	3,358,162	0.18%	0.52%	3,592,998	0.12%	0.49%	234,835	-0.06%	-0.03%
Grand Total		1,888,000,000	100.00%	100.00%	3,077,850,000	100.00%	100.00%	1,189,850,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 30 April 2020			As of 31 May 2020			Monthly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	22,878,758	1.21%	3.33%	1,238,835,485	40.25%	7.84%	1,215,956,727	39.04%	4.51%
Non-Institutional	1,865,121,242	98.79%	96.67%	1,839,014,515	59.75%	92.16%	-26,106,727	-39.04%	-4.51%
Grand Total	1,888,000,000	100%	100%	3,077,850,000	100%	100%	1,189,850,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

السوق المالية السعودية
Saudi Stock Exchange

تداول
Tadawul

Thank you

