

Weekly Stock Market Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Week Ending 16 September 2021



Definitions

Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GRES)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	17,151,338,424	45.07%	17,212,749,910	45.24%	-61,411,486	-0.16%
	HNWIs	10,143,548,985	26.66%	10,281,004,567	27.02%	-137,455,582	-0.36%
	IPIs	825,342,351	2.17%	990,734,582	2.60%	-165,392,231	-0.43%
	Individual DPMs	397,695,048	1.05%	544,420,788	1.43%	-146,725,740	-0.39%
	Sub Total (Individuals)	28,517,924,809	74.95%	29,028,909,848	76.29%	-510,985,040	-1.34%
	Institutions:						
	Corporates	1,145,855,224	3.01%	1,077,126,649	2.83%	68,728,575	0.18%
	Mutual Funds	1,154,244,807	3.03%	689,186,891	1.81%	465,057,915	1.22%
	GRE's	108,827,292	0.29%	136,071,724	0.36%	-27,244,432	-0.07%
	Institution DPMs	608,275,930	1.60%	664,987,483	1.75%	-56,711,553	-0.15%
	Sub Total (Institutions)	3,017,203,253	7.93%	2,567,372,748	6.75%	449,830,505	1.18%
	Total Saudi Investors	31,535,128,062	82.88%	31,596,282,596	83.04%	-61,154,535	-0.16%
GCC	Individuals	92,744,288	0.24%	104,017,243	0.27%	-11,272,955	-0.03%
	Institutions	228,348,923	0.60%	390,322,046	1.03%	-161,973,122	-0.43%
	GCC DPMs	4,206,331	0.01%	4,295,543	0.01%	-89,212	0.00%
	Total GCC Investors	325,299,542	0.85%	498,634,832	1.31%	-173,335,289	-0.46%
Foreign	SWAP Holders	6,446,742	0.02%	6,758,706	0.02%	-311,964	0.00%
	Foreign Residents & Others	448,360,876	1.18%	482,927,392	1.27%	-34,566,516	-0.09%
	QFIs	5,735,633,352	15.07%	5,466,265,048	14.37%	269,368,304	0.71%
	Foreign DPMs	0	0.00%	0	0.00%	0	0.00%
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%
	Total Foreign Investors	6,190,440,970	16.27%	5,955,951,146	15.65%	234,489,824	0.62%
Grand Total		38,050,868,574	100.00%	38,050,868,574	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	10,214,876,001	26.85%	9,970,169,461	26.20%	244,706,540	0.64%
Non-Institutional	27,835,992,573	73.15%	28,080,699,113	73.80%	-244,706,540	-0.64%
Grand Total	38,050,868,574	100.00%	38,050,868,574	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 09 September 2021			As of 16 September 2021			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	198,330,129,012	2.02%	10.17%	202,447,620,088	2.05%	10.43%	4,117,491,076	0.03%	0.26%
	HNWIs	233,065,731,394	2.37%	13.82%	233,055,794,625	2.36%	13.81%	-9,936,769	-0.01%	-0.01%
	IPIs	368,932,268,228	3.76%	17.07%	364,845,685,754	3.70%	16.93%	-4,086,582,474	-0.06%	-0.14%
	Individual DPMs	11,097,712,245	0.11%	0.67%	10,959,007,423	0.11%	0.66%	-138,704,822	0.00%	-0.01%
	Sub Total (Individuals)	811,425,840,878	8.27%	41.72%	811,308,107,890	8.23%	41.82%	-117,732,988	-0.04%	0.09%
	Institutions:									
	Corporates	818,853,510,277	8.34%	22.95%	821,963,524,324	8.34%	22.90%	3,110,014,047	0.00%	-0.05%
	Mutual Funds	277,771,275,108	2.83%	11.53%	279,092,502,983	2.83%	11.50%	1,321,227,876	0.00%	-0.03%
	GRE's	7,479,176,332,391	76.19%	2.30%	7,516,579,893,493	76.26%	2.31%	37,403,561,102	0.07%	0.01%
	Institution DPMs	83,164,044,060	0.85%	4.98%	82,361,186,408	0.84%	4.93%	-802,857,652	-0.01%	-0.05%
	Sub Total (Institutions)	8,658,965,161,835	88.21%	41.75%	8,699,997,107,209	88.27%	41.63%	41,031,945,374	0.05%	-0.12%
	Total Saudi Investors	9,470,391,002,713	96.48%	83.47%	9,511,305,215,099	96.50%	83.45%	40,914,212,386	0.02%	-0.03%
	GCC	Individuals	3,270,937,536	0.03%	0.20%	3,339,817,024	0.03%	0.20%	68,879,487	0.00%
Institutions		47,095,160,174	0.48%	1.94%	46,795,058,896	0.47%	1.93%	-300,101,278	-0.01%	-0.01%
GCC DPMs		177,408,037	0.00%	0.01%	178,701,892	0.00%	0.01%	1,293,854	0.00%	0.00%
Total GCC Investors		50,543,505,747	0.51%	2.14%	50,313,577,811	0.51%	2.14%	-229,927,936	0.00%	-0.01%
Foreign	SWAP Holders	2,265,739,183	0.02%	0.14%	2,250,557,526	0.02%	0.13%	-15,181,657	0.00%	0.00%
	Foreign Residents & Others	7,699,373,802	0.08%	0.37%	7,673,824,738	0.08%	0.37%	-25,549,064	0.00%	0.00%
	QFIs	231,145,521,279	2.35%	13.88%	231,946,783,646	2.35%	13.91%	801,262,366	0.00%	0.04%
	Foreign DPMs	22,174,358	0.00%	0.00%	22,260,168	0.00%	0.00%	85,809	0.00%	0.00%
	Strategic Investors	53,950,925,610	0.55%	0.00%	52,993,206,238	0.54%	0.00%	-957,719,372	-0.01%	0.00%
Total Foreign Investors	295,083,734,233	3.01%	14.38%	294,886,632,316	2.99%	14.42%	-197,101,917	-0.01%	0.03%	
Grand Total		9,816,018,242,693	100.00%	100.00%	9,856,505,425,226	100.00%	100.00%	40,487,182,533		

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 09 September 2021			As of 16 September 2021			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	9,375,244,627,851	95.49%	75.45%	9,411,565,483,727	95.47%	75.20%	36,320,855,876	-0.02%	-0.25%
Non-Institutional	440,773,614,842	4.51%	24.55%	444,939,941,499	4.53%	24.80%	4,166,326,657	0.02%	0.25%
Grand Total	9,816,018,242,693	100.00%	100.00%	9,856,505,425,226	100.00%	100.00%	40,487,182,533		

Definitions

Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	269,359,241	89.68%	269,996,522	89.89%	-637,282	-0.21%
	Corporates	3,083,433	1.03%	6,144,626	2.05%	-3,061,193	-1.02%
	Institution	4,457,298	1.48%	14,317,988	4.77%	-9,860,690	-3.28%
	Total Saudi Investors	276,899,972	92.19%	290,459,136	96.70%	-13,559,164	-4.51%
GCC	Individuals	3,230,986	1.08%	2,478,842	0.83%	752,145	0.25%
	Institutions	9,700,722	3.23%	3,787,337	1.26%	5,913,384	1.97%
	Total GCC Investors	12,931,708	4.31%	6,266,179	2.09%	6,665,529	2.22%
Foreign	SWAP Holders	4,248,848	1.41%	0	0.00%	4,248,848	1.41%
	Foreign Residents	1,933,259	0.64%	2,101,204	0.70%	-167,945	-0.06%
	QFIs	4,354,309	1.45%	1,541,577	0.51%	2,812,732	0.94%
	Total Foreign Investors	10,536,417	3.51%	3,642,782	1.21%	6,893,635	2.30%
Grand Total		300,368,096	100.00%	300,368,096	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	25,844,610	8.60%	25,791,528	8.59%	53,082	0.02%
Non-Institutional	274,523,486	91.40%	274,576,568	91.41%	-53,082	-0.02%
Grand Total	300,368,096	100.00%	300,368,096	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type										
Nationality	Investor Type	As of 09 September 2021			As of 16 September 2021			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	11,481,214,652	71.40%	65.64%	11,188,261,849	71.09%	65.47%	-292,952,803	-0.30%	-0.17%
	Corporates	4,344,906,530	27.02%	31.43%	4,299,015,534	27.32%	31.58%	-45,890,996	0.30%	0.14%
	Institution	137,001,068	0.85%	0.99%	130,757,730	0.83%	0.96%	-6,243,339	-0.02%	-0.03%
	Total Saudi Investors	15,963,122,250	99.27%	98.06%	15,618,035,113	99.24%	98.01%	-345,087,138	-0.03%	-0.06%
GCC	Individuals	6,026,608	0.04%	0.10%	5,954,081	0.04%	0.10%	-72,528	0.00%	0.00%
	Institutions	18,072,127	0.11%	0.30%	20,953,032	0.13%	0.35%	2,880,905	0.02%	0.05%
	Total GCC Investors	24,098,735	0.15%	0.40%	26,907,113	0.17%	0.45%	2,808,378	0.02%	0.05%
Foreign	SWAP Holders	17,830,400	0.11%	0.29%	18,887,028	0.12%	0.32%	1,056,628	0.01%	0.02%
	Foreign Residents	15,710,352	0.10%	0.26%	16,380,580	0.10%	0.27%	670,228	0.01%	0.01%
	QFIs	59,706,262	0.37%	0.99%	57,068,167	0.36%	0.95%	-2,638,095	-0.01%	-0.03%
	Total Foreign Investors	93,247,014	0.58%	1.54%	92,335,775	0.59%	1.54%	-911,240	0.01%	0.01%
Grand Total		16,080,468,000	100.00%	100.00%	15,737,278,000	100.00%	100.00%	-343,190,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification									
Investor Classification	As of 09 September 2021			As of 16 September 2021			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,577,516,387	28.47%	34.00%	4,526,681,491	28.76%	34.16%	-50,834,897	0.30%	0.16%
Non-Institutional	11,502,951,613	71.53%	66.00%	11,210,596,509	71.24%	65.84%	-292,355,103	-0.30%	-0.16%
Grand Total	16,080,468,000	100.00%	100.00%	15,737,278,000	100.00%	100.00%	-343,190,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you