

Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 14 March 2019



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
GCC	- Government Related Entities (GRES)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Individuals*	GCC natural person
GCC	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.
	NRFI	Non-Resident Foreign Investors in accordance with the Guidance Note for the investment of Non- Resident Foreigners in Parallel Market

*Nomu-Parallel Market Individual Investors

- Individuals who fulfill any of the following:
- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
 - Have an average portfolio size of SAR 5m for the preceding 12 months
 - Worked for at least 3 years in financial sectors.
 - Hold General Securities Qualification Certificate which is recognized by the Authority.
 - Holds professional certificate that is related to securities business and accredited by an internationally recognized entity

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	6,148,945,654	39.25%	6,548,174,185	41.80%	-399,228,531	-2.55%
	HNWIs	3,454,435,505	22.05%	3,978,882,144	25.40%	-524,446,639	-3.35%
	IPIs	711,331,165	4.54%	1,005,708,933	6.42%	-294,377,767	-1.88%
	Individual DPMs	159,678,771	1.02%	176,846,119	1.13%	-17,167,348	-0.11%
	Sub Total (Individuals)	10,474,391,096	66.87%	11,709,611,382	74.75%	-1,235,220,286	-7.89%
	Institutions:						
	Corporates	881,411,605	5.63%	803,616,295	5.13%	77,795,310	0.50%
	Mutual Funds	520,304,775	3.32%	468,892,081	2.99%	51,412,694	0.33%
	GRE's	1,601,094	0.01%	315,044,534	2.01%	-313,443,441	-2.00%
	Institution DPMs	196,141,919	1.25%	172,362,368	1.10%	23,779,551	0.15%
	Sub Total (Institutions)	1,599,459,393	10.21%	1,759,915,279	11.23%	-160,455,886	-1.02%
Total Saudi Investors	12,073,850,489	77.08%	13,469,526,660	85.99%	-1,395,676,171	-8.91%	
GCC	Individuals	36,836,231	0.24%	23,128,481	0.15%	13,707,751	0.09%
	Institutions	195,622,875	1.25%	366,658,005	2.34%	-171,035,130	-1.09%
	GCC DPMs	31,759	0.00%	37,722,876	0.24%	-37,691,117	-0.24%
	Total GCC Investors	232,490,865	1.48%	427,509,362	2.73%	-195,018,496	-1.24%
Foreign	SWAP Holders	195,426,134	1.25%	447,853,388	2.86%	-252,427,254	-1.61%
	Foreign Residents	151,890,831	0.97%	173,127,886	1.11%	-21,237,055	-0.14%
	QFIs	3,010,968,388	19.22%	1,145,288,344	7.31%	1,865,680,043	11.91%
	Foreign DPMs	69,276	0.00%	19,204	0.00%	50,072	0.00%
	Strategic Partners	0	0.00%	1,371,139	0.01%	-1,371,139	-0.01%
	Total Foreign Investors	3,358,354,629	21.44%	1,767,659,961	11.28%	1,590,694,668	10.15%
Grand Total		15,664,695,983	100.00%	15,664,695,983	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	5,872,587,762	37.49%	4,941,383,287	31.54%	931,204,475	5.94%
Non-Institutional	9,792,108,222	62.51%	10,723,312,696	68.46%	-931,204,475	-5.94%
Grand Total	15,664,695,983	100.00%	15,664,695,983	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 7 March 2019		As of 14 March 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	76,220,553,104	3.79%	78,056,809,490	3.83%	1,836,256,385	0.04%
	HNWIs	156,791,093,828	7.81%	158,716,093,754	7.80%	1,924,999,926	-0.01%
	IPIs	273,083,392,415	13.60%	274,164,777,687	13.47%	1,081,385,272	-0.13%
	Individual DPMs	5,404,350,633	0.27%	5,452,577,245	0.27%	48,226,612	0.00%
	Sub Total (Individuals)	511,499,389,980	25.47%	516,390,258,176	25.37%	4,890,868,195	-0.10%
	Institutions:						
	Corporates	304,494,421,705	15.16%	305,263,636,632	15.00%	769,214,927	-0.16%
	Mutual Funds	183,862,065,129	9.15%	193,540,532,616	9.51%	9,678,467,488	0.35%
	GRE's	812,258,179,852	40.44%	829,271,141,902	40.74%	17,012,962,050	0.30%
	Institution DPMs	52,388,277,719	2.61%	45,892,468,291	2.25%	-6,495,809,428	-0.35%
	Sub Total (Institutions)	1,353,002,944,405	67.36%	1,373,967,779,442	67.50%	20,964,835,037	0.14%
Total Saudi Investors	1,864,502,334,385	92.83%	1,890,358,037,617	92.87%	25,855,703,232	0.05%	
GCC	Individuals	3,041,297,416	0.15%	3,099,415,906	0.15%	58,118,491	0.00%
	Institutions	37,393,574,930	1.86%	38,004,313,878	1.87%	610,738,948	0.01%
	GCC DPMs	875,166,389	0.04%	873,889,864	0.04%	-1,276,526	0.00%
	Total GCC Investors	41,310,038,735	2.06%	41,977,619,648	2.06%	667,580,913	0.01%
Foreign	SWAP Holders	13,237,596,109	0.66%	13,551,845,447	0.67%	314,249,338	0.01%
	Foreign Residents	3,596,791,568	0.18%	3,633,381,180	0.18%	36,589,612	0.00%
	QFIs	19,395,737,570	0.97%	20,405,567,272	1.00%	1,009,829,702	0.04%
	Foreign DPMs	4,254,532,083	0.21%	4,288,379,874	0.21%	33,847,791	0.00%
	Strategic Partners	62,318,807,719	3.10%	61,219,559,054	3.01%	-1,099,248,665	-0.09%
	Total Foreign Investors	102,803,465,051	5.12%	103,098,732,829	5.07%	295,267,778	-0.05%
Grand Total		2,008,615,838,171	100.00%	2,035,434,390,094	100.00%	26,818,551,923	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 7 March 2019		As of 14 March 2019		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,768,966,102,254	88.07%	1,791,928,689,764	88.04%	22,962,587,509	-0.03%
Non-Institutional	239,649,735,917	11.93%	243,505,700,330	11.96%	3,855,964,414	0.03%
Grand Total	2,008,615,838,171	100.00%	2,035,434,390,094	100.00%	26,818,551,923	

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	5,509,787	82.15%	4,653,257	69.38%	856,530	12.77%
	Corporates	95,303	1.42%	1,464,635	21.84%	-1,369,332	-20.42%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution	253,365	3.78%	456,144	6.80%	-202,779	-3.02%
	Total Saudi Investors	5,858,455	87.35%	6,574,035	98.02%	-715,581	-10.67%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	57,196	0.85%	-57,196	-0.85%
	Total GCC Investors	0	0.00%	57,196	0.85%	-57,196	-0.85%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	51,419	0.77%	40,438	0.60%	10,981	0.16%
	QFIs	797,168	11.89%	35,373	0.53%	761,795	11.36%
	Total Foreign Investors	848,587	12.65%	75,811	1.13%	772,776	11.52%
Grand Total		6,707,042	100.00%	6,707,042	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	1,145,836	17.08%	2,013,347	30.02%	-867,511	-12.93%
Non-Institutional	5,561,206	82.92%	4,693,695	69.98%	867,511	12.93%
Grand Total	6,707,042	100.00%	6,707,042	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 7 March 2019		As of 14 March 2019		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	4,199,236,685	94.98%	4,231,582,675	95.13%	32,345,990	0.15%
	Corporates	151,729,973	3.43%	146,834,702	3.30%	-4,895,271	-0.13%
	GRE's	-	-	-	-	-	-
	Institution	38,933,312	0.88%	39,497,564	0.89%	564,252	0.01%
	Total Saudi Investors	4,389,899,970	99.29%	4,417,914,941	99.31%	28,014,971	0.02%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	12,524,123	0.28%	12,031,518	0.27%	-492,605	-0.01%
	Total GCC Investors	12,524,123	0.28%	12,031,518	0.27%	-492,605	-0.01%
Foreign	SWAP Holders	229,023	0.01%	255,628	0.01%	26,605	0.00%
	Foreign Residents	3,883,678	0.09%	3,906,946	0.09%	23,268	0.00%
	QFIs	14,722,346	0.33%	14,291,067	0.32%	-431,279	-0.01%
	Total Foreign Investors	18,835,047	0.43%	18,453,641	0.41%	-381,406	-0.01%
Grand Total		4,421,259,140	100.00%	4,448,400,100	100.00%	27,140,960	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 7 March 2019		As of 14 March 2019		Weekly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Institutional	218,138,777	4.93%	212,910,479	4.79%	-5,228,298	-0.15%	
Non-Institutional	4,203,120,363	95.07%	4,235,489,621	95.21%	32,369,258	0.15%	
Grand Total	4,421,259,140	100.00%	4,448,400,100	100.00%	27,140,960		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

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Thank you

