

Weekly Stock Market Ownership and Trading Activity Report

تداول السعودية
Saudi Exchange



Market Reports

Week Ending 28 October 2021



Definitions

Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs.
	High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents & Others	Investors with valid residency permit (Iqama) and others (excluding SWAP, QFI, DPM & Strategic Investors)
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Investors	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel Market Individual Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 5m for the preceding 12 months
- Worked for at least 3 years in financial sectors.
- Hold General Securities Qualification Certificate which is recognized by the Authority.
- Holds professional certificate that is related to securities business and accredited by an internationally recognized entity



Main Market - Value Traded & Ownership Breakdown

Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded		
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Saudi	Individuals:							
	Retail	15,633,039,839	46.93%	14,774,313,647	44.36%	858,726,192	2.58%	
	HNWIs	10,329,991,430	31.01%	10,009,016,628	30.05%	320,974,802	0.96%	
	IPIs	1,785,764,826	5.36%	1,675,168,599	5.03%	110,596,227	0.33%	
	Individual DPMs	173,139,612	0.52%	432,817,325	1.30%	-259,677,713	-0.78%	
	Sub Total (Individuals)	27,921,935,706	83.83%	26,891,316,199	80.73%	1,030,619,507	3.09%	
	Institutions:							
	Corporates	1,439,662,493	4.32%	1,238,958,542	3.72%	200,703,951	0.60%	
	Mutual Funds	490,091,361	1.47%	1,147,077,513	3.44%	-656,986,152	-1.97%	
	GRE's	984,001	0.00%	4,829,170	0.01%	-3,845,169	-0.01%	
	Institution DPMs	229,800,292	0.69%	395,412,667	1.19%	-165,612,375	-0.50%	
	Sub Total (Institutions)	2,160,538,145	6.49%	2,786,277,892	8.37%	-625,739,746	-1.88%	
	Total Saudi Investors	30,082,473,852	90.31%	29,677,594,091	89.10%	404,879,761	1.22%	
GCC	Individuals	82,123,509	0.25%	72,456,914	0.22%	9,666,594	0.03%	
	Institutions	261,891,628	0.79%	470,487,016	1.41%	-208,595,389	-0.63%	
	GCC DPMs	1,286,157	0.00%	6,070,390	0.02%	-4,784,233	-0.01%	
	Total GCC Investors	345,301,293	1.04%	549,014,321	1.65%	-203,713,028	-0.61%	
Foreign	SWAP Holders	15,565,087	0.05%	7,727,283	0.02%	7,837,804	0.02%	
	Foreign Residents & Others	457,072,144	1.37%	423,160,578	1.27%	33,911,566	0.10%	
	QFIs	2,407,320,828	7.23%	2,651,241,928	7.96%	-243,921,099	-0.73%	
	Foreign DPMs	1,004,997	0.00%	0	0.00%	1,004,997	0.00%	
	Strategic Investor	0	0.00%	0	0.00%	0	0.00%	
	Total Foreign Investors	2,880,963,055	8.65%	3,082,129,789	9.25%	-201,166,733	-0.60%	
Grand Total		33,308,738,200	100.00%	33,308,738,200	100.00%			

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	6,806,511,280	20.43%	8,029,790,433	24.11%	-1,223,279,154	-3.67%
Non-Institutional	26,502,226,921	79.57%	25,278,947,767	75.89%	1,223,279,154	3.67%
Grand Total	33,308,738,200	100.00%	33,308,738,200	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 21 October 2021			As of 28 October 2021			Weekly Change		
		Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
			Issued (%)	Free Float (%)		Issued (%)	Free Float (%)		Δ Issued (%)	Δ Free Float (%)
Saudi	Individuals:									
	Retail	172,104,875,548	1.64%	9.56%	174,122,137,263	1.66%	9.81%	2,017,261,715	0.02%	0.26%
	HNWIs	250,497,288,772	2.38%	13.83%	245,593,774,995	2.34%	13.75%	-4,903,513,777	-0.05%	-0.07%
	IPIs	409,066,799,838	3.89%	16.70%	398,601,463,697	3.79%	16.48%	-10,465,336,141	-0.10%	-0.22%
	Individual DPMs	10,382,906,136	0.10%	0.58%	9,899,448,036	0.09%	0.56%	-483,458,100	0.00%	-0.02%
	Sub Total (Individuals)	842,051,870,294	8.02%	40.66%	828,216,823,991	7.88%	40.60%	-13,835,046,303	-0.13%	-0.05%
	Institutions:									
	Corporates	913,999,896,064	8.70%	23.63%	890,301,810,070	8.47%	23.70%	-23,698,085,994	-0.23%	0.06%
	Mutual Funds	290,111,020,249	2.76%	11.41%	290,167,805,591	2.76%	11.40%	56,785,341	0.00%	0.00%
	GRE's	8,003,219,183,477	76.18%	2.43%	8,051,911,959,682	76.62%	2.46%	48,692,776,205	0.44%	0.02%
	Institution DPMs	87,159,773,508	0.83%	4.86%	85,588,689,273	0.81%	4.84%	-1,571,084,234	-0.02%	-0.02%
	Sub Total (Institutions)	9,294,489,873,298	88.47%	42.33%	9,317,970,264,616	88.67%	42.40%	23,480,391,319	0.20%	0.07%
	Total Saudi Investors	10,136,541,743,591	96.49%	82.99%	10,146,187,088,607	96.55%	83.01%	9,645,345,016	0.07%	0.02%
	GCC	Individuals	3,592,539,854	0.03%	0.20%	3,510,305,287	0.03%	0.20%	-82,234,567	0.00%
Institutions		48,420,278,634	0.46%	1.94%	48,020,768,694	0.46%	1.94%	-399,509,940	0.00%	0.00%
GCC DPMs		189,493,499	0.00%	0.01%	183,661,961	0.00%	0.01%	-5,831,538	0.00%	0.00%
Total GCC Investors		52,202,311,986	0.50%	2.16%	51,714,735,942	0.49%	2.16%	-487,576,044	0.00%	0.00%
Foreign	SWAP Holders	2,332,847,641	0.02%	0.13%	2,314,838,962	0.02%	0.13%	-18,008,679	0.00%	0.00%
	Foreign Residents & Others	7,817,749,136	0.07%	0.36%	7,772,995,269	0.07%	0.36%	-44,753,867	0.00%	0.00%
	QFIs	251,711,360,733	2.40%	14.36%	247,470,875,789	2.35%	14.33%	-4,240,484,944	-0.04%	-0.02%
	Foreign DPMs	24,688,762	0.00%	0.00%	24,658,498	0.00%	0.00%	-30,264	0.00%	0.00%
	Strategic Investors	55,121,087,855	0.52%	0.00%	53,099,123,102	0.51%	0.00%	-2,021,964,753	-0.02%	0.00%
Total Foreign Investors	317,007,734,127	3.02%	14.85%	310,682,491,620	2.96%	14.83%	-6,325,242,507	-0.06%	-0.02%	
Grand Total		10,505,751,789,705	100.00%	100.00%	10,508,584,316,169	100.00%	100.00%	2,832,526,464		

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 21 October 2021			As of 28 October 2021			Weekly Change		
	Holding Value (SAR)	Ownership		Holding Value (SAR)	Ownership		Δ Holding Value (SAR)	Ownership	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	10,073,299,063,087	95.87%	76.06%	10,079,124,599,269	95.90%	75.87%	5,825,536,182	0.03%	-0.19%
Non-Institutional	432,452,726,618	4.13%	23.94%	429,459,716,900	4.10%	24.13%	-2,993,009,718	-0.03%	0.19%
Grand Total	10,505,751,789,705	100.00%	100.00%	10,508,584,316,169	100.00%	100.00%	2,832,526,464		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.



Nomu-Parallel Market - Value Traded & Ownership Breakdown

Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type

Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	107,706,441	93.15%	106,154,751	91.80%	1,551,690	1.34%
	Corporates	1,065,103	0.92%	3,202,296	2.77%	-2,137,193	-1.85%
	Institution	1,021,210	0.88%	461,450	0.40%	559,760	0.48%
	Total Saudi Investors	109,792,754	94.95%	109,818,497	94.97%	-25,743	-0.02%
GCC	Individuals	164,812	0.14%	200,231	0.17%	-35,419	-0.03%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	164,812	0.14%	200,231	0.17%	-35,419	-0.03%
Foreign	SWAP Holders	0	0.00%	371,298	0.32%	-371,298	-0.32%
	Foreign Residents	3,440,844	2.98%	3,372,369	2.92%	68,475	0.06%
	QFIs	2,233,927	1.93%	1,869,943	1.62%	363,985	0.31%
	Total Foreign Investors	5,674,771	4.91%	5,613,610	4.85%	61,161	0.05%
Grand Total		115,632,338	100.00%	115,632,338	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification

Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	4,320,240	3.74%	5,904,987	5.11%	-1,584,747	-1.37%
Non-Institutional	111,312,097	96.26%	109,727,350	94.89%	1,584,747	1.37%
Grand Total	115,632,338	100.00%	115,632,338	100.00%		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 21 October 2021			As of 28 October 2021			Weekly Change		
		Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Δ Ownership (%)	
			Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Saudi	Individuals	10,652,428,297	68.74%	62.70%	11,775,186,681	71.05%	65.20%	1,122,758,384	2.32%	2.50%
	Corporates	4,621,736,222	29.82%	34.93%	4,572,505,678	27.59%	32.52%	-49,230,544	-2.23%	-2.41%
	Institution	109,896,273	0.71%	0.83%	111,736,689	0.67%	0.79%	1,840,415	-0.03%	-0.04%
	Total Saudi Investors	15,384,060,792	99.27%	98.46%	16,459,429,047	99.32%	98.51%	1,075,368,255	0.05%	0.06%
GCC	Individuals	4,701,228	0.03%	0.06%	4,533,819	0.03%	0.06%	-167,410	0.00%	0.00%
	Institutions	21,991,086	0.14%	0.30%	21,935,690	0.13%	0.29%	-55,396	-0.01%	-0.01%
	Total GCC Investors	26,692,315	0.17%	0.36%	26,469,509	0.16%	0.35%	-222,806	-0.01%	-0.02%
Foreign	SWAP Holders	17,947,984	0.12%	0.25%	17,525,542	0.11%	0.23%	-422,442	-0.01%	-0.01%
	Foreign Residents	13,773,124	0.09%	0.19%	13,924,538	0.08%	0.18%	151,414	0.00%	0.00%
	QFIs	54,660,785	0.35%	0.75%	54,826,364	0.33%	0.72%	165,579	-0.02%	-0.02%
	Total Foreign Investors	86,381,893	0.56%	1.18%	86,276,444	0.52%	1.14%	-105,449	-0.04%	-0.04%
Grand Total		15,497,135,000	100.00%	100.00%	16,572,175,000	100.00%	100.00%	1,075,040,000		

Nomu-Parallel Market Ownership Breakdown - By Investor Classification

Investor Classification	As of 21 October 2021			As of 28 October 2021			Weekly Change		
	Holding Value (SAR)	Ownership (%)		Holding Value (SAR)	Ownership (%)		Δ Holding Value (SAR)	Ownership (%)	
		Issued (%)	FreeFloat (%)		Issued (%)	FreeFloat (%)		Δ Issued (%)	Δ FreeFloat (%)
Institutional	4,826,232,350	31.14%	37.05%	4,778,529,962	28.83%	34.55%	-47,702,388	-2.31%	-2.49%
Non-Institutional	10,670,902,650	68.86%	62.95%	11,793,645,038	71.17%	65.45%	1,122,742,388	2.31%	2.49%
Grand Total	15,497,135,000	100.00%	100.00%	16,572,175,000	100.00%	100.00%	1,075,040,000		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Thank you