

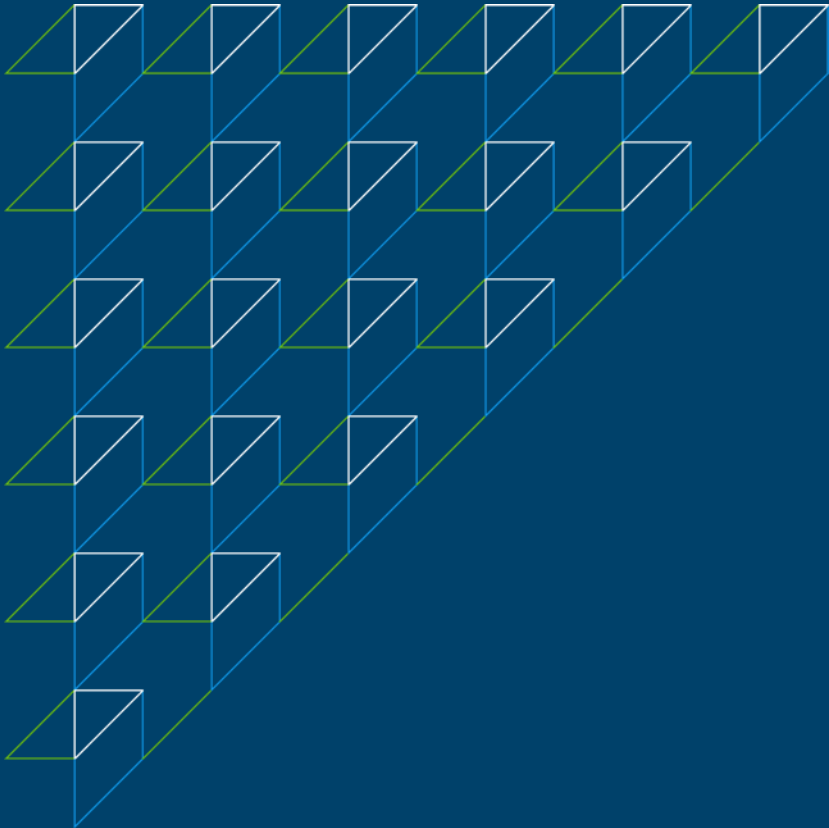
Weekly Stock Market Ownership and Trading Activity Report

Market Reports

Week Ending 23 November 2017



Definitions



Weekly Stock Market Ownership and Trading Activity Report

Definitions

Nationality	Investor Type	Description
Saudi	Individuals*	Saudi Individuals have been classified under 4 categories as defined below:
	- Retail	Retail investors are Saudi individuals , excluding IPIs (see below) and HNWIs .
	- High Net Worth Investors (HNWIs)	HNWIs are Saudi individuals who have had an average portfolio size of SAR 1m (and above) for the preceding 12 months, excluding IPIs (see below).
	- Individual Professional Investors (IPIs)	IPIs are Saudi individuals who have had an average portfolio size of SAR 50m (and above) for the preceding 12 months and a portfolio turnover ratio of not more than 4 times annually.
	- Individual DPMs	Saudi individual investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Institutions	Saudi Institutions have been classified under 4 categories as defined below:
	- Corporates	Saudi company with a commercial registration permitting its investment in the stock market .
	- Mutual Funds	A mutual fund managed by an Authorized Person and licensed by the CMA.
	- Government Related Entities (GREs)	A Government entity or a supranational authority recognized by the CMA.
	- Institutional DPMs	Saudi institutional investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
GCC	Individuals*	GCC natural person
	Institutions	GCC legal person with a commercial registration certificate (corporate or mutual fund), in addition to GCC government entities.
	GCC DPMs	GCC investment account (institutional or individual) in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
Foreign	SWAP Holders	Authorized Person with the permission to enter into SWAP agreements to transfer the economic benefits of its ownership of shares in Saudi companies listed on the Saudi Stock Exchange to its non-resident foreign clients.
	Foreign Residents*	Foreign investors domiciled in the Kingdom with a valid residency permit (Iqama) (excluding GCC nationals).
	QFIs	Qualified Foreign Institutional investors registered with the CMA in accordance with the QFI Rules, to invest in shares listed on the Saudi Stock Exchange.
	Foreign DPMs	Foreign resident investment account in which the manager (Authorized Person) makes the buy/sell decisions for its client without referring to the account owner (based on to the agreed terms between them).
	Strategic Partners	Foreign investors considered founders and partners of Saudi listed companies.

*Nomu-Parallel
Market Individual
Investors

Individuals who fulfill any of the following:

- Conducted transactions not less than SAR 40m, and not less than 10 transaction in each quarter during last 12 months.
- Have an average portfolio size of SAR 10m for the preceding 12 months
- Hold General Securities Qualification Certificate which is recognized by the Authority.

Main Market - Value Traded & Ownership Breakdown



Weekly Report - Main Market

Value Traded (by Nationality and Investor Type)

Main Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals:						
	Retail	5,205,235,541	40.31%	6,263,959,821	48.51%	-1,058,724,280	-8.20%
	HNWIs	3,849,146,282	29.81%	3,741,848,143	28.98%	107,298,139	0.83%
	IPIs	398,107,354	3.08%	420,402,326	3.26%	-22,294,972	-0.17%
	Individual DPMs	94,260,628	0.73%	110,281,936	0.85%	-16,021,308	-0.12%
	Sub Total (Individuals)	9,546,749,805	73.93%	10,536,492,226	81.59%	-989,742,421	-7.66%
	Institutions:						
	Corporates	1,530,608,623	11.85%	758,082,998	5.87%	772,525,626	5.98%
	Mutual Funds	955,869,043	7.40%	364,329,441	2.82%	591,539,602	4.58%
	GRE's	0	0.00%	0	0.00%	0	0.00%
	Institution DPMs	98,133,082	0.76%	125,058,814	0.97%	-26,925,733	-0.21%
	Sub Total (Institutions)	2,584,610,748	20.01%	1,247,471,253	9.66%	1,337,139,495	10.35%
Total Saudi Investors	12,131,360,553	93.94%	11,783,963,479	91.25%	347,397,074	2.69%	
GCC	Individuals	44,782,698	0.35%	82,943,942	0.64%	-38,161,244	-0.30%
	Institutions	140,099,801	1.08%	211,928,957	1.64%	-71,829,157	-0.56%
	GCC DPMs	120,380	0.00%	10,238	0.00%	110,142	0.00%
	Total GCC Investors	185,002,878	1.43%	294,883,137	2.28%	-109,880,259	-0.85%
Foreign	SWAP Holders	61,314,754	0.47%	171,289,299	1.33%	-109,974,545	-0.85%
	Foreign Residents	190,370,678	1.47%	213,888,549	1.66%	-23,517,871	-0.18%
	QFIs	127,882,213	0.99%	247,521,127	1.92%	-119,638,914	-0.93%
	Foreign DPMs	218,056,996	1.69%	84,649,482	0.66%	133,407,514	1.03%
	Strategic Partners	0	0.00%	117,793,000	0.91%	-117,793,000	-0.91%
Total Foreign Investors	597,624,642	4.63%	835,141,457	6.47%	-237,516,815	-1.84%	
Grand Total		12,913,988,073	100.00%	12,913,988,073	100.00%		

Weekly Report - Main Market

Value Traded (by Investor Classification)

Main Market Value Traded Breakdown - By Investor Classification						
Investor Classification	Buy		Sell		Net Value Traded	
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Institutional	3,624,452,874	28.07%	2,611,347,619	20.22%	1,013,105,255	7.85%
Non-Institutional	9,289,535,199	71.93%	10,302,640,454	79.78%	-1,013,105,255	-7.85%
Grand Total	12,913,988,073	100.00%	12,913,988,073	100.00%		

Definitions

Institutional:

Refers to investors with institutional behavior including GREs, Saudi Corporates, Saudi Mutual Funds, Saudi and Non-Saudi DPMs, Saudi Individual Professional Investors (IPI), SWAP Holders, Foreign Strategic Partners and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Weekly Report - Main Market

Ownership Value (by Nationality and Investor Type)

Main Market Ownership Breakdown - By Nationality and Investor Type

Nationality	Investor Type	As of 16 November 2017		As of 23 November 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals:						
	Retail	68,709,570,542	4.17%	69,278,607,378	4.23%	569,036,836	0.06%
	HNWIs	126,948,272,510	7.71%	127,235,629,234	7.78%	287,356,724	0.07%
	IPIs	237,135,449,626	14.40%	234,411,979,870	14.33%	-2,723,469,755	-0.07%
	Individual DPMs	4,358,073,821	0.26%	4,373,920,978	0.27%	15,847,158	0.00%
	Sub Total (Individuals)	437,151,366,498	26.54%	435,300,137,461	26.61%	-1,851,229,037	0.07%
	Institutions:						
	Corporates	265,856,645,667	16.14%	265,276,939,317	16.22%	-579,706,349	0.08%
	Mutual Funds	168,522,874,584	10.23%	168,522,537,622	10.30%	-336,962	0.07%
	GRE's	626,388,991,851	38.03%	617,552,443,560	37.75%	-8,836,548,291	-0.28%
	Institution DPMs	47,678,631,068	2.89%	47,587,730,219	2.91%	-90,900,850	0.01%
	Sub Total (Institutions)	1,108,447,143,169	67.29%	1,098,939,650,717	67.17%	-9,507,492,451	-0.12%
Total Saudi Investors	1,545,598,509,667	93.83%	1,534,239,788,178	93.78%	-11,358,721,489	-0.05%	
GCC	Individuals	2,685,201,473	0.16%	2,637,083,466	0.16%	-48,118,007	0.00%
	Institutions	29,355,072,987	1.78%	29,272,203,662	1.79%	-82,869,325	0.01%
	GCC DPMs	536,742,658	0.03%	535,447,061	0.03%	-1,295,597	0.00%
	Total GCC Investors	32,577,017,118	1.98%	32,444,734,189	1.98%	-132,282,929	0.01%
Foreign	SWAP Holders	8,728,625,984	0.53%	8,512,618,888	0.52%	-216,007,096	-0.01%
	Foreign Residents	4,059,553,452	0.25%	4,014,880,984	0.25%	-44,672,468	0.00%
	QFIs	6,563,750,206	0.40%	6,381,959,471	0.39%	-181,790,734	-0.01%
	Foreign DPMs	3,014,665,561	0.18%	3,032,234,605	0.19%	17,569,044	0.00%
	Strategic Partners	46,737,446,568	2.84%	47,347,091,005	2.89%	609,644,438	0.06%
	Total Foreign Investors	69,104,041,770	4.20%	69,288,784,953	4.24%	184,743,183	0.04%
Grand Total		1,647,279,568,556	100.00%	1,635,973,307,321	100.00%	-11,306,261,235	

Weekly Report - Main Market

Ownership Value (by Investor Classification)

Main Market Ownership Breakdown - By Investor Classification						
Investor Classification	As of 16 November 2017		As of 23 November 2017		Weekly Change	
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Institutional	1,444,876,970,579	87.71%	1,432,807,106,258	87.58%	-12,069,864,320	-0.13%
Non-Institutional	202,402,597,977	12.29%	203,166,201,062	12.42%	763,603,085	0.13%
Grand Total	1,647,279,568,556	100.00%	1,635,973,307,321	100.00%	-11,306,261,235	

Definitions

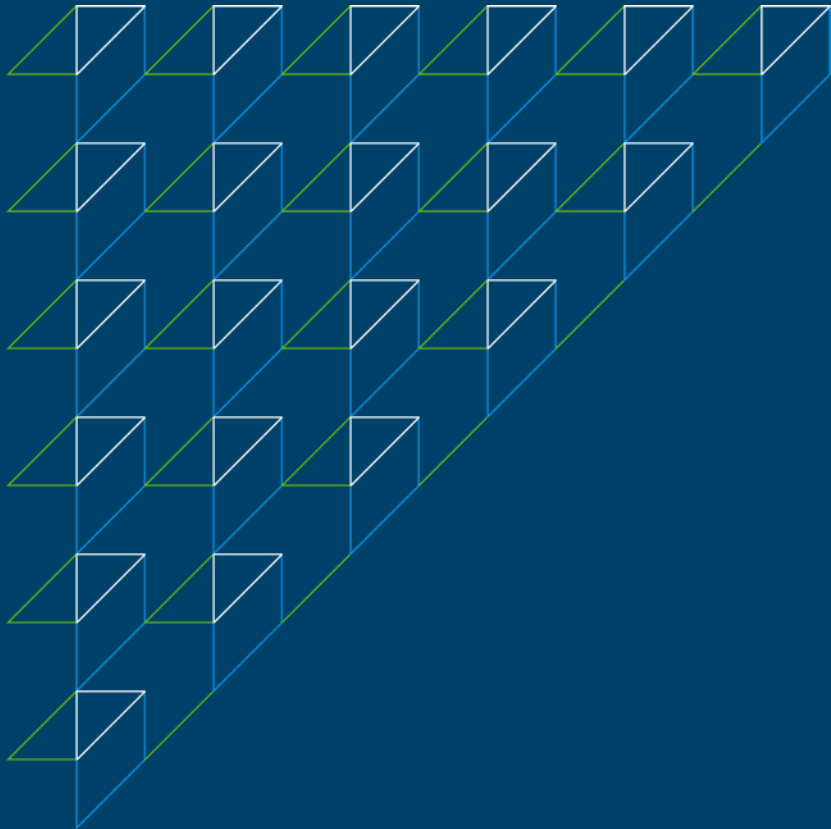
Institutional:

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Non Institutional:

Refers to investors with non-institutional behavior including Saudi Retail Investors, Saudi High Net Worth Investors (HNWI), GCC Individuals and Foreign Residents.

Nomu-Parallel Market - Value Traded & Ownership Breakdown



Weekly Report – Nomu-Parallel Market

Value Traded (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Value Traded Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	Buy		Sell		Net Value Traded	
		SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)
Saudi	Individuals	5,918,295	86.93%	4,789,790	70.35%	1,128,504	16.58%
	Corporates	487,000	7.15%	0	0.00%	487,000	7.15%
	Institution	166,290	2.44%	1,897,373	27.87%	-1,731,083	-25.43%
	Total Saudi Investors	6,571,585	96.52%	6,687,164	98.22%	-115,579	-1.70%
GCC	Individuals	0	0.00%	0	0.00%	0	0.00%
	Institutions	0	0.00%	0	0.00%	0	0.00%
	Total GCC Investors	0	0.00%	0	0.00%	0	0.00%
Foreign	SWAP Holders	0	0.00%	0	0.00%	0	0.00%
	Foreign Residents	72,371	1.06%	121,192	1.78%	-48,821	-0.72%
	QFIs	164,447	2.42%	47	0.00%	164,400	2.41%
	Total Foreign Investors	236,818	3.48%	121,239	1.78%	115,579	1.70%
Grand Total		6,808,403	100.00%	6,808,403	100.00%		

Nomu-Parallel Market Value Traded Breakdown - By Investor Classification							
Investor Classification	Buy		Sell		Net Value Traded		
	SAR	% of Total Buys	SAR	% of Total Sells	SAR (Buy - Sell)	Difference (% Buy - % Sell)	
Institutional	817,737	12.01%	1,897,421	27.87%	-1,079,684	-15.86%	
Non-Institutional	5,990,666	87.99%	4,910,982	72.13%	1,079,684	15.86%	
Grand Total	6,808,403	100.00%	6,808,403	100.00%			

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

Weekly Report – Nomu-Parallel Market

Ownership Value (by Nationality, Investor Type, & Classification)

Nomu-Parallel Market Ownership Breakdown - By Nationality and Investor Type							
Nationality	Investor Type	As of 16 November 2017		As of 23 November 2017		Weekly Change	
		Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)
Saudi	Individuals	113,453,373	5.44%	104,826,916	5.16%	-8,626,457	-0.27%
	Corporates	1,843,529,235	88.38%	1,801,196,239	88.74%	-42,332,996	0.36%
	Institution	109,976,200	5.27%	105,597,604	5.20%	-4,378,597	-0.07%
	Total Saudi Investors	2,066,958,808	99.09%	2,011,620,759	99.11%	-55,338,049	0.02%
GCC	Individuals	26,972	0.00%	25,730	0.00%	-1,242	0.00%
	Institutions	12,625,376	0.61%	11,947,028	0.59%	-678,348	-0.02%
	Total GCC Investors	12,652,349	0.61%	11,972,758	0.59%	-679,590	-0.02%
Foreign	SWAP Holders	194,060	0.01%	187,399	0.01%	-6,662	0.00%
	Foreign Residents	3,118,399	0.15%	2,943,460	0.15%	-174,938	0.00%
	QFIs	2,984,575	0.14%	2,939,934	0.14%	-44,641	0.00%
	Total Foreign Investors	6,297,034	0.30%	6,070,793	0.30%	-226,241	0.00%
Grand Total		2,085,908,190	100.00%	2,029,664,310	100.00%	-56,243,880	

Nomu-Parallel Market Ownership Breakdown - By Investor Classification							
Investor Classification	As of 16 November 2017		As of 23 November 2017		Weekly Change		
	Holding Value (SAR)	Ownership (%)	Holding Value (SAR)	Ownership (%)	Δ Holding Value (SAR)	Δ Ownership (%)	
Institutional	1,969,309,446	94.41%	1,921,868,204	94.69%	-47,441,243	0.28%	
Non-Institutional	116,598,744	5.59%	107,796,106	5.31%	-8,802,637	-0.28%	
Grand Total	2,085,908,190	100.00%	2,029,664,310	100.00%	-56,243,880		

Institutional:

Refers to investors with institutional behavior including Saudi Corporates and Institutions (GREs & Mutual Funds), GCC Institutions (GREs, Corporate & Mutual Funds), SWAP Holders, and Qualified Foreign Investors (QFI).

Non Institutional:

Refers to investors with non-institutional behavior including Qualified Saudi and GCC Individuals and Qualified Foreign Residents.

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Saudi Stock Exchange

تداول
Tadawul

Thank you

